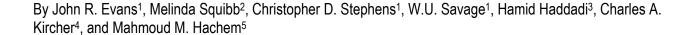


# The Virtual Data Center Tagged-Format Tool—Introduction and Executive Summary



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# U.S. Department of the Interior U.S. Geological Survey

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# The Virtual Data Center Tagged-Format Tool: Introduction and Executive Summary

By John R. Evans, Melinda Squibb, Christopher D. Stephens, W.U. Savage, Hamid Haddadi, Charles A. Kircher, and Mahmoud M. Hachem

# **Executive Summary**

This Report introduces and summarizes the new Virtual Data Center (VDC) Tagged Format (VTF) Tool, which was developed by a diverse group of seismologists, earthquake engineers, and information technology professionals for internal use by the COSMOS VDC and other interested parties for the exchange, archiving, and analysis of earthquake strong-ground-motion data.

#### **Background**

For many decades, specialized formats have been defined and used to encode and store digitized acceleration time-series data from strong-motion sensors, as well as information derived from these data (for example, the "response spectra" widely used by structural engineers for design and retrofit of structures subject to seismic hazards). While many strong-motion formats currently in use derive in some way from the California Institute of Technology Blue Book format (circa 1970), there is little accepted standardization. Additionally, as compared to other seismic disciplines, the strong-motion and engineering communities require much more extensive supporting and derivative data to adequately qualify a record; thus, the use of more modern formats, such as SEED and SAC, is precluded.

In many ways current strong-motion formats reflect an early period of digital computation and storage when such resources were limited by today's standards. These early facilities also relied on the column-formatted "flat files" used by Fortran and lacked modern information-technology (IT) notions, such as "structured" storage, "object-oriented" and "platform-independent" programming, markup languages like xml, and relational databases.

The COSMOS v1.20 fixed-field format successfully amalgamates the various derivatives of the "Blue Book" fixed-field format and other compatible formats and could be used as a standard mechanism for exchanging data among various institutions and individuals worldwide; indeed, it is being used in the exchange of data between several institutions within California (the California Integrated Seismic Network), for example.

There is an opportunity and a need for mechanisms of strong-motion formatting, data exchange, and processing that exploit modern resources and techniques. There is also an opportunity to create a viable international exchange and format-conversion medium—strong-motion seismology and earthquake engineering depend upon international exchange of data and results. For example, the data provided to the world by Taiwan's Central Weather Bureau for the Chi-Chi earthquake mainshock more than doubled the worldwide strong-motion database and addressed issues that were previously

untenable. In particular, the global scope of VDC activities necessitated a medium of conversion between the numerous extant, historical, and likely future formats for strong-motion data and products. This VTF tool is intended to fill this need. By analogy to telephony switching centers, the VTF tool will be used as a crossbar switch, interconnected and compatible with all sources and users of strong-motion data. The VTF, and specifically its xml mirror, currently serve as a primary data-storage, data-exchange, and operational tool within the VDC IT structure.

The limitations inherent to fixed-field formats and legacy IT techniques motivated our indepth review of strong-motion formatting, data exchange, operations, and management, resulting in this proposed VTF along with its exactly equivalent xml representation and provision of key user tools for both the VDC and other interested parties.

#### **Approach**

The VTF tool is designed with the following key goals and constraints:

- 1. To be readily and unambiguously readable throughout by both humans and computers;
- 2. To be expressly international in its viability, including support of non-English scripts and the use of English—the current international standard for science and engineering—as its basis;
- 3. To be inherently both "backward compatible" with older versions of the VTF and prospectively extensible with minimal effort by the VDC and all potential users (that is, no tag will ever be dropped from subsequent versions, so that software that can read a later version of the VTF will also be able to read legacy VTF files);
- 4. To be inherently self-documenting and autonomous (that is, not requiring reference to *any* external information for the meaning of all parts of a record to be clear to future users even when *all* supporting information, such as this document, is lost; and
- 5. To be easily translated to and from both fixed-field-formats and formally structured formats, including xml, Excel<sup>TM</sup>, relational databases, and other media now in use or likely to evolve.

To a lesser degree, the VTF tool also is designed to minimize redundancy and duplication within each file—that is, to express a given piece of information in exactly one location. Because of widely reported difficulty with parsing multiple-record files, we also limit VTF records to a single component of data per file. Finally, the notion of separate text, real, and integer header sections is supplanted by a universal form of data-type identifier, allowing either alphabetical or logical arrangements of the information for ease of use and for making evident the relationships between tags. The notions of data types remains, but only as guidance incorporated directly in the name of each variable (in the formal IT sense, *all* VTF data are text, including the text representations of numbers).

To accomplish these goals, we have chosen a simple tagged format exemplified by **Descriptive. Tag\_type** = **value units**; Within this tagged format we also implement a modern "structured" or "class" design that is easily understood by a reader but readily translated by computers to and from other structured forms. Structuring the tag names also makes relationships between them more evident. Thus, we have made every tag name, its value, and its units of measure explicitly descriptive (and have provided for extensive comments). This design is the essence of dual human- and computer-readability, as well as of extensibility and autonomy.

An example of one minor set of entries for a VTF file is:

```
DataSeries.Sa(1).Period_db1 = 0.3 s;
DataSeries.Sa(1).StructureDamping_db1 = 0.05;
DataSeries.Sa(1).Value db1 = 32.0 cm/s/s;
Period
Damping, 0-1
Savalue
```

This example defines the response spectral acceleration (32 cm/s<sup>2</sup>) at a period of 0.3 s for 5 percent structure damping (0.05 fractional damping). The comments at the right or in the numerous *Comments* tags are anything the users believe will be helpful to themselves or others. The index (1) illustrates that arrays of tags—arrays of any length—can be created.

Three departures from Blue-Book-style formats are:

- 1. There is no longer either a fixed number or any concatenation of real, integer, or text variables (in order to allow greater efficiency and extensibility as well as more convenient or logical organization);
- 2. There are no coded entries, that is, no look-up tables requiring the survival of those tables for the entry to be meaningful. Instead, all table values are clearly understandable words, phrases, or values (±units) whose meaning will be the same in thirty years as they are today without reference to the tables from which they come; and
- 3. We have corrected the few typographical errors and omissions in the v1.20 fixed-field format while the opportunity for complete, detailed descriptions also is greatly expanded.

Where look-up tables are used, in addition to the listed self-evident values most such tables are extensible. That is, VTF users may define new entries if they do not find a suitable value already listed, so long as it is terse and clear to all users. Similarly, users may invoke *Private* tags when no extant tag suffices. While it is highly desirable to use standardized values and tags, and we have attempted to be comprehensive, these facilities accommodate items we have not anticipated and provide a means for suggesting additions to the VTF, which will be reviewed and acted upon.

#### **Utility**

Applications of the VTF include providing a generalized storage and translation medium for use by the VDC to simplify and expedite translations between all sources and users of strong-motion data internationally. The VTF tool is available for download and use by any interested party and is complemented by a series of portable software applications designed to make implementation straightforward.

Because we have kept the essence of the COSMOS v1.20 fixed-field format in the VTF tool, all of the Blue Book data dictionary is reproduced here, though sometimes rearranged or generalized. Thus, it is straightforward to translate unambiguously between VTF and the v1.20 fixed-field format and, by extension, all other formats.

The support tools currently available from the VDC are self-updating Java<sup>TM</sup> routines for access, display, and input/output; these subroutines can become the front and back ends of existing processing systems as desired. Initially, we are supporting Fortran, C, MatLab<sup>TM</sup>, and Excel<sup>TM</sup>, which are used widely by these communities. We also ask the strong-motion engineering and seismology community for consensus on other software worthy of direct support. By design, the translation routines are easily extended to other formats, thus are the basis of a universal translator at the VDC.

# **Appendix**

Attached at the following page is the current governing document for the VTF. Although this document is detailed and in some ways difficult to read, it is the sole formal definition of the format and is kept up-to-date and distributed only by the VDC and its assigns. The most current version can be downloaded at <a href="http://db.cosmos-eq.org/FormatConversion.html">http://db.cosmos-eq.org/FormatConversion.html</a> if they postdate this Open-file Report.

# The COSMOS Virtual Data Center Tagged-Format

## **Tool: Formal Definition [Version VTF.1.0]**

(16 October 2008)

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(There is a Table of Contents at the front and an Index of Tags at the end of this document. This is the sole, formal, governing document for this format, and as such is authoritative but difficult reading. The Executive Summary and other supporting items are supplied only for the user's convenience.)

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## **Introductory Material**

#### **Overview**

The COSMOS v1.20 fixed-field format was an effort to unite in a single forum the various derivatives of the CalTech "Blue Book" formats being used to store and distribute strong-motion data. Issues of readability, generality, comprehensiveness, structure, and autonomy motivated revisiting the format issue in depth, resulting in this proposed COSMOS VDC Tagged Format (VTF) and its equivalent xml mirror as additional tools for the VDC (Virtual Data Center) and others who may find these tools useful. Efforts are underway to revise the fixed-field format, as well.

Primarily, the VTF tool is designed to be readily and unambiguously readable throughout by both humans and computers, to be expressly international in its viability, to be inherently both backward compatible and extensible with little effort (thus, easily maintained), to be inherently self documenting and autonomous (that is, not requiring reference to any external information for the meaning of all parts to be clear in the future even when all supporting information, including this document, are lost), and to be translated easily to and from structured formats, including xml, Excel<sup>TM</sup>, databases, and other common media. To a lesser degree, this format tool also is designed to minimize redundancy and duplication in each file (to express a given piece of information in one location only, feasible because of its dual human and computer readability). The notion on text, real, and integer header sections is supplanted by a universal form of header information.

The tagged format's applications include providing a generalized storage and translation medium for the COSMOS VDC, a medium that can absorb and translate data from all sources internationally. Thus, the format is intended to be a superset of all formats now used in strong-motion work and to anticipate likely future needs. The tagged format also is available for use by any interested party, along with a series of tools designed to make that use straightforward.

By "backward compatible" we mean that parsing (reading) software for VTF Version VTF.1.1 and beyond will be able to read earlier VTF versions without difficulty, and this functionality will persist as a natural part of the format definition.

To accomplish the goals enumerated above, we have chosen a simple "tagged" format (and within this tagged format a modern "structured" or "class" design that will be both user friendly and still readily translatable by computers to and from other formats).

We have made every tag name (and its value) explicitly descriptive and have added extensive comments in this governing document about each tag's purpose and rules of use. Indeed, most of these tag names should look familiar to those who know the Blue Book formats and, where not so, we have commented about what equivalent variables our tags replace.

This design is the essence of dual human- and computer-readability. Everything expressed in VTF is made easy to read, understand, and bring into analysis systems.

Two departures from the Blue Book formats are, first, that there is no longer a fixed number of real or integer variables; this allows both greater efficiency and extensibility. Second, there are no longer any "coded" entries—no references to tables of entry definitions that must survive into posterity. Where there are tables of values that can be entered, all the values in those tables are clearly understandable words, phrases, or values whose meanings will remain the same and will not require reference to the tables from which they come.

In all instances we have tried to keep the essence of the v1.20 fixed-field format alive and well in the VTF tool. Although rearranged and recast into a more parseable form, all of the Blue Book data dictionary is reproduced here in some form. It is, therefore, straightforward to translate unambiguously between VTF and any of these Blue-Book formats. The VDC will be providing translation matrices and Java translation facilities, as well as subroutines for parsing and for writing VTF; these subroutines can become the front and back ends of existing *Processing* systems if desired. We will provide these front- and back-end routines in Fortran, C, MatLab<sup>TM</sup>, and Excel<sup>TM</sup>, and will ask the COSMOS community for consensus on other widely used software. The general translation routine will be in (automatically selfupdating) Java<sup>TM</sup> and driven by Excel<sup>TM</sup> tables describing the various formats. Thus, the translation routine is easily extended to additional formats and we anticipate supporting all widely used formats. Similarly, VTF can be used as the core of a "universal translator" connecting any of the formats described in those Excel<sup>TM</sup> tables. This translator and the Java<sup>TM</sup> interface to VTF will be key tools for implementing, refining, and extending COSMOS VDC functionality and user friendliness.

Finally, we have added items not available in v1.20 of the fixed-field format, such as various new types of instruments and manufacturers from Japan and Germany, Laplace, and z-plane descriptions of instrument and filter responses and to correct a number of trivial typographical errors.

The version of this document released by the COSMOS VDC is the sole formal definition of the COSMOS VDC Tagged Format Tool (VTF). Therefore, no change to the format or its use-rules from any other source is permitted. This document is long and detailed; a more easily understood executive summary and access tools for the format are available from the COSMOS VDC.

#### Formal Definition of the Cosmos Virtual Data Center Tagged Format

- 1. Exactly one time series or spectrum is allowed per VTF file, except in the case of response spectra, for which multiple damping values may be represented in a single file, all derived together from a single time series. Multiple channels are not to be concatenated.
- 2. In each VTF file:
  - a. Two mandatory header lines begin the file, giving the VDC Tagged Format version name and text-encoding scheme (for example, "US-ASCII"),
  - b. Next follow a variable number of other "header" lines,
  - c. Optionally, a URL (Web address) pointing to an alternate source for this/these **DataSeries** (time series or spectrum),
  - d. Optionally, a data-series checksum, and
  - e. A *DataSeries* listing matrix containing the primary data as described by the following three items, f. through h.:
  - f. A data-initiator line, "DataSeries.DataSeriesValues txt = {",
  - g. A *DataSeries* listing matrix, each row (that is, line) containing, if given explicitly, an abscissa value (time, frequency, or period) followed by: (i) one time-series or amplitude-or power-spectral value (real or complex, hence one or two columns); or (ii) response spectral value(s) (real) for one or more damping values, such that columns 2 and beyond of the listing matrix form vectors of one response spectral period with varying damping;
  - h. Lastly, a data-terminator line, "};", closing the DataSeries listing matrix,
- 3. All tag-value pairs shall be of the following format:

#### Formal Syntax

```
<TagName>_<VariableType> = <value>[ <units>];[[<white space>]|| <comments>]
    or
[<white space>]|| <comments>
```

where **TagName** is defined by

```
<TagName> = <ClassName>[(m)][.<SubClassName>[(n)][.<SubSubClassName>[(o)]...]]<MemberName>
```

As is common in software documentation, "<>" delineates a description of what belongs there while "[]" delineates optional or occasional elements, possibly nested. Note that <TagName>\_<VariableType> (as well as any text values taken from the tables below) are case sensitive. Tag values are usually in English; tag names are always in English.

Similarly, neither tag names nor text values may contain any of the following characters: tabs, ", ' (double and single quotes), and ` (grave accent). Such characters can be misunderstood by xml and databases.

Furthermore, while tag names shall not contain white space characters, there shall be white space on both sides of the equal sign (" = ") and between the **<value>** and any **<units>**. White space may be blanks or tabs. Finally, note the presence of the semicolon after either the **<units>** or a unitless **<value>**—that is, the semicolon terminates the "tag = value [units]" sequence and must be present.

White space also is allowed almost anywhere else, but not within tag names nor within numerical values (you can put white space inside <u>text that you create yourself</u>, but you <u>must not</u> inject it into <u>text values taken from tables</u>—use those exactly as they appear in the tables).

Numerical values may appear in integer, floating point, or scientific notation, but must be <u>in base ten</u>. (To avoid decimal-binary-decimal translations, thus preserving accuracy, numerical values are treated as text by most VTF utilities until such time that they must be operated upon as numbers, such as for plotting the *DataSeries*.)

In most cases tags can be given in any order, however, it is recommended that either an alphabetic order or the functionally organized order shown here generally be respected so that tags can be located easily. The *Index of Tags* near the end of this document is a comprehensive alphabetical list that may aid users.

(There are three exceptions to the flexible-order rule, one at the top and two at the bottom of the file. (1) The first tag in table 3A must appear first in the file, (2) the tags surrounding the *DataSeries* are in a specific order, and (3) the *DataSeries* listing matrix, when the abscissa is implicit, must be in increasing order of the abscissa's value. We encourage the same practice even when the abscissa is given explicitly as the first (or first two) columns of the *DataSeries* listing matrix.

As implied above, there are instances in which the abscissa of a time series or spectrum must be given explicitly as the first one (real) or two (complex) columns of the *DataSeries* listing matrix. However, there are many common situations in which is it sufficient to define the abscissa implicitly, as with regularly sampled time series and Discrete Fourier Transform (DFT) spectra. Many other spectral forms will require an explicit spectrum. The rule is that if an abscissa can be correctly defined by a starting point and an increment, it <u>may be</u> (but does not have to be) given explicitly. All other cases <u>must</u> provide an explicit abscissa. Instances of requisite explicit abscissas commonly include response spectra and power spectra computed from a DFT that is spaced evenly in frequency but where the power spectrum is provided as a function of period.

#### Authority

<u>The only authoritative definition</u> of the COSMOS VDC Tagged Format (VTF) is <u>this document</u>, as maintained by the <u>COSMOS Virtual Data Center</u> or its assign.

Nevertheless, the VTF has many "user-extensible lists" (tables of values) for which a user may assign any reasonable value, <u>as long as it starts</u> with the string "User's description:" (to help us make format-verification work well in the most general case). Such user-created values in extensible lists are likely to help us expand this authoritative VDC document. Similarly, use of *Private* tag sets, defined in table 3Q, also may inform our modifications of the VTF.

**Private** tag sets and user-created text values should be limited to necessity and kept reasonable and likely to be understandable to any user at any future time.

#### Language

The official language of all tags (*including Private* tags) and of most text values is <u>English</u>. However, we recognize that certain text values, such as the descriptive name of a geographic location (*GeoLocation.Name.Description*) and addresses (*GeoLocation.Name.Address*), sometimes must be in their local languages to be meaningful. Furthermore, translation of comments and other errata into English should not be allowed to become a barrier to the dissemination of data.

#### A Note on Fourier Spectra

For a DFT, if only the non-negative frequencies for a real time series are to be given in this VTF file, then the power in the negative-frequency bins generally <u>must be</u> added to their corresponding positive-frequency bins so that the positive-frequency bins represent the <u>total power</u> at each frequency (generating a true one-sided spectrum). For real time series, this correction commonly can be accomplished by scaling up the non-Nyquist positive-frequency bins by the square root of two, however, caution is appropriate.

(Many FFT implementations of the DFT yield their output in some order or another, such that it contains negative-frequency bins, one 0-Hz ("DC") bin, positive-frequency bins, and one Nyquist bin. Typically then, half the power of non-Nyquist finite-frequency bins is to be found in the negative-frequency bins. Thus all non-Nyquist positive-frequency bins are likely to be too small by a factor of the square root of two. In contrast, most of these FFT routines put all the power of the 0-Hz and Nyquist bins each into a single bin, so that these two bins do not need correction.)

Since many FFT routines differ in their output formats and rules, it is essential that the user test the behavior of their FFT routine with real data to determine whether this correction for power lost to negative-frequency bins is required as well as to determine the particular behavior of the 0-Hz and Nyquist bins.

To test an FFT routine, take the FFT of one or more pure sine waves <u>fitting exactly</u> into the input window and using no weighting in that window (that is, a boxcar window). "Fitting exactly" means that the time-series point <u>just after</u> the end of the input window and, therefore, not present in the data provided to the FFT, must exactly equal the <u>first</u> point in the input window and be in the same phase. That is, that the window duration is <u>one sample shorter</u> than a full integer

number of cycles. This arrangement is called "DFT symmetry"—because the DFT assumes a precisely periodic input, repeating the first point just <u>after</u> the last and so forth, DFT symmetry yields an effectively infinite-duration pure sine wave which will put all its power into exactly one frequency bin or exactly two complementary-frequency bins, positive and negative—there will be no side lobes. The total power contained in either the one bin or the positive-negative pair of bins will equal the power computed in the time domain. If a pair of bins is required to match the total power in the time domain, then the correction to non-Nyquist positive-frequency bins is required when providing only the non-negative bins in the VTF file. Similarly, it is possible to verify whether all or half the power of the 0-Hz or Nyquist bins is in one bin.

#### Tag Arrays

The VTF allows *Subscript*ed <u>arrays</u> of variables (that is, arrays of tags). This facility allows for things like enumerations of filter poles and zeros, several earthquakes appearing in a single record (for example, rapid-fire aftershocks or swarm earthquakes), and historical information about an instrument.

These "tag arrays" are implemented by the "(m)" part that is shown at the ends of class or subclass names as indicated in this document—in other words, *Subscript*s are <u>not</u> allowed for a tag or portion of a tag unless shown in this document. *Subscript*s must be positive integers (1, 2, 3, ...) with no missing values, so they are in the MatLab<sup>TM</sup> or Fortran style. *Subscript*s are <u>not</u> in the C style of non-negative *Subscript*ing (0, 1, 2, ...).

For example if a user wants to declare several possible versions of an *Event* location those tags would be *Event.Hypocenter(n)*. In contrast, locations for multiple *Events* appearing within a single record would be rendered as *Event(m)*. However, it would not make any sense to write *Event.Hypocenter.Agency(n)*, which would imply one *Event* location with several authors, so this *Subscript*ing is not permitted.

In another example, a user can document multiple instances of names for a spot on the Earth or in a structure (a *GeoLocation*)—a history of changing names or differing names used by different *Agencies* for the same instrument. A user might, for example, want to document some name multiplicity with *GeoLocation.Name(1).ShortName*, *GeoLocation.Name(2).ShortName*, and so forth, in cases where the *Sensor* never moved or moved only slightly in the past and is functionally at the same location. *GeoLocation(n)* makes no sense since in the above example as it would imply a *Sensor* is in several places at once; this usage is disallowed.

Classes and subclasses end with periods while class members end with an underscore ("\_"). The "(m)" is just before that period or underscore character and just after the class or member name, as in "Sa(2)." or "ResponseSpectrumDamping(3)".

To limit ambiguity, users are urged to keep these *Subscript*s time-, frequency- or period-sequential where those concepts are meaningful. Note the prevalence of explicit time stamps in this format, which also facilitate documenting historical associations.

Our *Subscript*ing guidance is either "[(n)]", meaning "optional *Subscript* here", or "(n)" meaning "required *Subscript* here". As mentioned, *Subscript*s may not appear anywhere else. If a tag allows *Subscript*s but none are given, it defaults to an implicit *Subscript* of "(1)"—note that only the last of repeated un *Subscript* ed tags will be saved.

#### **Primary Classes**

Both the physical grouping of tags and their <[Sub]ClassName> and <MemberName> are attempts to associate variables in a logical manner. For example, variables having to do with the Data Acquisition Units (DAUs, which are recorders and data loggers) are named by the DAU class; tags associated with the geographic locations ("station names", loosely speaking) are GeoLocation.Name; and tags associated with the source event are Event. The following table includes a complete list of the primary ("top") set of Classes and their definitions.

# **Table of Primary Tag Classes and Definitions of Terms**

ClassName	Meaning
ThisFile	A small set of special tags which apply to this VTF <u>file</u> , such as those naming the version of the format and the VDC's unique identifier of this record.
Array	Any set of <b>Sensor</b> s plus <b>DAU</b> s at one or more <b>GeoLocations</b> that is managed as or logically forms a single data-acquisition system—for example, a structural <b>Array</b> , a geotechnical <b>Array</b> , or a single free-field instrument at a single <b>GeoLocation</b> . (An <b>Array</b> with a single- <b>GeoLocation</b> is the usual case in the free field, but it is still an <b>Array</b> in the same sense that a scalar is a one-element vector).
	Note that arrangements many of us think of as and call "an array" (such as the NESMP "array"), are <u>not <b>Array</b>s as narrowly defined here</u> . In the VTF, such agglomerations are more properly called an <b>Agency</b> .†
such agglomerations are more properly called an Agency.†  The exact geographic location in three dimensions of the point of measurement (strictly speaking, of the Sensor's proof mass).  Note that this is a very narrow definition and defines the location of a single Sensor or package of several axes. Even some classical simple "stations" with Sensors spaced a few meters apart might be considered by some users to occupy several distinct GeoLocations, for example, in cases of precise GPS location and large ground-motion spatial variance.  We strongly discourage the practice of giving the same set of coordinates for all members of an Array, such as the Array monitoring a dam site. This practice obviates many applications of the data.†	
GeoLocationRupture	Tags relating <i>GeoLocation</i> s to fault-rupture surfaces.
Event	The <u>signal of interest</u> (for example, the natural or artificial source of ground motion, a calibration signal, and so forth).
<b>EventGeoLocation</b>	Tags relating <i>Event</i> s to the <i>GeoLocation</i> s of <i>Sensor</i> s.

DAU	Data Acquisition Unit—the recorder or data logger. Typically a preamplifier, analog-to-digital converter, and some recording and/or telemetry medium, when taken in combination.  Formerly, often a film recorder.	
Sensor	The transducer that converts the Earth or structural motion to an electronic (or sometimes optical) signal. Generally, the accelerometer.	
DataSeries	The single, delimited, time-, frequency-, or period-series or group of response spectra, as referenced by or included in this VTF file—that is, the primary data of this file.  Also, any other values closely associated with, or generated from, these primary data (the start time of the time series, its RMS or maximum value, its Sa or Housner Intensity, the data format and number of points, and so on).	
RawSeries	Values closely associated with, or generated from, the time- or frequency- series from which this processed <i>DataSeries</i> originated—the immediate predecessor to this VTF file.	
Processing	Parameters describing the record- <b>Processing</b> steps applied to the <b>RawSeries</b> or original time series to obtain the <b>DataSeries</b> in this file.	
Private	Private variables for use only when there is not yet any appropriate VTF tag. Search the Index of Tags carefully before defining a Private tag.	

†The reader may notice that we rarely use the word "station", instead nearly always using either the word *Array* or the word *GeoLocation* as they are <u>narrowly defined</u> above. We do so because the word "station" means many different things to different practitioners, in some cases signifying an entire *Array*. Similarly, we limit our use of the word "*Site*" to mean the site conditions at a particular *GeoLocation*; "site" has other special meanings to large portions of the COSMOS community, as in "work site", which is broader then our definition (notwithstanding that many *GeoLocation.Site* data are generalized for an entire work site, often for reasons of cost, and not as specific to a particular *GeoLocation* as one might desire.)

In tags identifying entities responsible for various parts of this VTF file (such as the owner/operator of the instrument in question) we use the word "Agency". By selecting this word, we do not mean to limit in any way the type of entity (organization or individual) that may be named in such variables. The term Agency is nothing more than a reasonably general **MemberName>** with a meaning tolerably close to its intended use. We expect that many an "Agency" will be an individual person (such as amateurs of the Public Seismic Network), a secondary-school classroom, a Government agency, a consortium such as IRIS, or a college or university (this name is analogous to a GVDC "Business Associate").

In all cases, we intend that preference be given to identifying *Agencies* by FDSN network names, some of which are shown in the second column of table 3E; additional names that may be used are given in the first column of that table. If no appropriate *Agency* name is available from these lists, the user may insert some terse, well known, identifiable abbreviation for an organization's name or insert the individual's name. That is, the *Agency* list is "user extensible"—it is unlikely that the we have been comprehensive and we do not wish to exclude any *Agency* from the VTF.

#### **Tag-Value Example**

To illustrate this format, the following lines represent typical spectral acceleration values at the two periods, 0.3 and 1.0 s, for 5 percent damping:

#### **A Note on Comments**

<u>There are two kinds of comments</u> in the VTF: (1) comments associated with a particular group of tags (any **Comments**, **Description**, **Annotations**, or **Citation** tags) and (2) comments that can be put almost anywhere, but which are given limited treatment by the parser (for example, "I This value needs updating.").

For all important comments, please give preference to the group of tags ending with Comments, particularly those that you wish to associate rigorously with a particular subject matter. Although "||" comments are brought forward by the parser, they are associated only loosely with their original subject (by position in the VTF file). For example, in the xml mirror of the VTF "||" comments are added to the item at which they are given as a "Note" xml type. That is, the parser simply attaches all "||" comments to the nearest tag above the "||" comment). Thus, "||" comments are primarily for human consumption and not computer manipulation and should be avoided.

Nevertheless, "II" comments are used extensively in <u>this</u> governing document and other supporting materials to elucidate details of usage—these comments generally should <u>not</u> be included in any working file.

In practice, "||" comments might be used to provide <u>temporary</u> notes by the user to the user (for example, "|| still need to fix this") or to make minor comments that do not need to be associated rigorously with any particular tag. However, "||" <u>comments should not be used</u> to make comments that are important in any significant way to the data.

<u>Note that this is a change from the similar looking comments of the Blue Book formats</u>. Important comments should be put into the variable *ThisFile.Annotations.TextValue* if not elsewhere associated with specific portions of the header in one of the other *Comments* (or *Description*, *Annotations*, or *Citation* tags) variables.

(The xml class *Note* is not visible to the user. It is used by parsers to store "ll" comments in proximal association with the tags to which they are appended. All such comments are attached to the nearest previously encountered tag.)

#### **Additional Matters of Format**

Blank lines and lines consisting entirely of comments may appear anywhere after the first line excepting within the **DataSeries.DataSeriesValues\_txt** = {...}; sequence of lines. Studies have shown that simply inserting blank lines in appropriate locations, such as between major header sections and between logically associated blocks of information, greatly improves the human readability of files. We commend such uses of white space to the reader and make extensive use of it in this governing document.

There is no firm limit on line length since the COSMOS community is no longer bound by the rigors of punched cards. Nevertheless, for the sake of keeping files easy to read on modern workstation screens, <u>users are urged to keep line lengths below 100 characters where feasible</u>. This length easily fits onto screens possessed of moderate resolution at reasonable character size without imposing unreasonable limits on the sizes of tags or their values. (This document uses about a 100-character line length, for example.)

The primary exception to this voluntary constraint is for *Comments*, *Description*, *Annotations*, and *Citation* tags (the preferred sorts of comments), which users may wish to make long in many cases. A word-based wrap-around display rule in your editor of choice should be sufficient to ensure readability of such text, as long as users are reasonably careful to write with adequate white space inside the comment text.

In particular, *please replace line break characters* with the four-character sequence "hnl" to ease the reader's job. (The "h" is the C-language symbol for "newline".)

In any case, <u>lines shall not be blank padded</u> on the right. (All lines will be read in as strings by the parsers, so blank padding simply wastes file space.)

#### File Naming

We suggest, but do not require, a format such as the following for naming VTF files:

```
<Date and time>[_<Network Code>]_<GeoLocation Name, possibly full SNCL>[_<Processing instance, stage, volume, etc.>][ Ch<Channel>[In<Index>]][_<Etc.>]_<[A|V|D|...]>.COSM
```

The COSMOS VDC will use such filenames in data it translates into VTF for a user.

For example, "20021103\_221245\_XX\_PS09\_Op1\_Vo2\_Ch2\_V.COSM" is a record from the Denali fault earthquake of 03 November 2002 ("20021103\_221245") for Alyeska ("\_XX" == "other network") station [GeoLocation] "PS09", evaluated with "Processing option/instance" one ("\_Op1"), fully processed ("Vo2" == Volume 2), for Channel 2 ("\_Ch2"), and is a velocity trace ("\_V"). The "COSM" (or "cosm") means "a COSMos vdc tagged format".

The *Date and time* stamp should be in largest-to-smallest order and generally should be to the nearest second; we suggest the format YYYYMMDD\_hhmmss, as in "20051117\_173802", which is a variant of the ISO time format we use here.

Note that this **Date and time** should be the best available time, preferably the time of the first sample. If that instant is not known, use the trigger time of that record. If even that is not known, use the origin time of the **Event**. (This ordered list of options is for the sake of consistency between records and to take account of legacy data, which often have very poorly known timing.)

The **Network Code** is from either *Array.Agency* or the "NN" field in *GeoLocation.Name.SNCL* or *GeoLocation.Name.ExpandedSNCL*.

The **GeoLocation Name, possibly full SNCL>** is whichever of **GeoLocation.Name.SNCL**, **GeoLocation.Name.ExpandedSNCL**, or **GeoLocation.Name.ShortName** is used to identify the **GeoLocation** of this **Sensor**, with a SNCL name preferred if several are given. Obviously, use the **GeoLocation.Name** that is in force at the time of the **Event** and as used by the **Agency** providing this record.

The portion "[\_Ch<Channel>[In<Index>]]" comes from the tags Sensor.ArrayChannel or Sensor.DAUchannel (giving preference to the former) and from GeoLocation.Name.Index.

In any case, the combination "\_[<Network Code>]\_< GeoLocation Name> ... [\_Ch<Channel>[In<Index>]]" should be as detailed as is required to identify uniquely the signals from a specific proof mass (both within that particular Array and among all your international colleagues). That is why a full SNCL name is preferred in place of the entire sequence of strings—the FDSN designed SNCL network names to be unique worldwide.

The portion "\_<[AlVIDI...]>" should always be present and is a string of from one to three letters indicating the type of trace, "\_A" for acceleration, "\_V" for velocity, "\_D" for displacement, and so forth as shown in column two of table 3B(b).

The optional "[\_<**Processing instance, stage, volume, etc.>**]" identifies the <u>stage of **Processing**</u>, for example "\_Vo2" for the equivalent of a Blue Book "Volume 2" record. This portion of the VTF filename should correspond to the meaning of one or more of the following five tags from table 3N as shown here:

Tag and value	Filename fragment
<pre>Processing.BlueBookVolume_int = [0-3];</pre>	"_Vo[0 1 2 3]"
<pre>Processing.Stage_txt = [ "Raw"   "Preliminary"   "Final" ];</pre>	"_St[R P F]"
<pre>Processing.HumanReview_txt = [ "None"   "Reviewed"   "Updated" ];</pre>	"_Hn[N R M]"
<pre>Processing.Instance_int = <non-negative value="">;</non-negative></pre>	"_Op <value>"</value>
<pre>Processing.SpecialProcessing_txt = "<value>";</value></pre>	"_SP <value>"</value>

These substrings should be concatenated as needed, as in the example above of "\_Op1\_Vo2".

Finally, some users may desire additional differentiating codes, the "[\_<**Etc.>**]".

When filenames begin in this manner with a *Date and time*, followed by a string that uniquely identifies the *DataSeries*, and end with a string that uniquely identifies the data-*Processing* stage, the resulting files generally will sort easily into *Event*s with the help of typical system file-name-sorting and file-listing algorithms. It also will be clear to colleagues what the name means. Therefore, the portions of the filename after the *Date and time* should as specific as is required for the particular *Array* and *Agency*. Ideally, a filename should include a full SNCL name and strings identifying the type of *DataSeries* and data-*Processing* leading to the file. As long as an FDSN network code (*Agency*) appears in the name, there should be no naming conflicts with other *Array*s.

Another example may make this convention clearer for the case of a SNCL name:

```
20041115_093415_CH4AW.NC.HNZ.01_V2_A.COSM
```

identifies a "Volume 2" vertical acceleration ("HNZ") record from a temporary USGS instrument co-located with the CSMIP instrument "Cholame 4AW" near Parkfield. The corresponding CSMIP record might be named

```
20041115_093414_36412.CE.HNZ.01_V2_A.COSM
```

in this case using the CSMIP five-digit name for the same *GeoLocation*. There can never be confusion of a differing *DataSeries* because of the different FDSN network name "CE".

#### **Required and Lesser Tags**

<u>VTF tags come in four flavors: REQUIRED, CONDITIONALly required, Recommended, and Optional.</u> On rare occasions, you also will encounter <u>Avoid</u>, which include <u>Optional</u> tags that are <u>not recommended</u> for use but are kept for backward compatibility and similar reasons.

All **REQUIRED** tags <u>must</u> be present in every VTF file, <u>even when there is no value</u> corresponding to the tag. **REQUIRED** tags with no values must be specified as NULL (<u>without quotes</u> for all variable types, including for text strings)—see discussion and table below. Of course, **Processing** software may not be able to function if required tags have NULL values (which are translated into a NaN (IEEE "not a number" value) or into an empty string, depending on tag type), so such values partly serve as reminders to the author that they still have values that probably should be filled in before the file is shipped. Nevertheless, if a required tag is simply unavailable, ship the file and let the users make due, "some data" generally being preferable to "no data".

Conditionally required (*CONDITIONAL*) tags are required in certain contexts that are explained in the comments associated with each *CONDITIONAL* tag. For example, when poles and zeros are used, all associated tags are then *REQUIRED*, including that the number of poles and zeros become required and each of the poles and zeros themselves are also required.

**Recommended** tags are those which form a thoroughly documented record, but which may not be available for all data, particularly for legacy data, and are not essential to that record's use.

*Optional* tags are those which generally should be included if available but are not *REQUIRED*.

Whether a tag is *REQUIRED*, *CONDITIONAL*ly required, *Recommended*, or *Optional* is indicated by the comment to the immediate right of or just below the tag definition. (Please remove these annotations from working VTF files.)

Finally, because of the comments specifying this degree of requirement or need sometimes wrap past the end of the line for some of the longer-named tags and for some tag values that are long lists of possibilities provided there rather than in another table, it is often necessary to break a line that defines a tag. <u>Please do not break these lines</u> in actual use.

#### **Notation**

As mentioned above, angle brackets, "<>", form a description of what should be substituted at that spot. Similarly, square brackets, "[]", delineate optional or occasional items.

In addition, a construct like "[a | b]" means "choose either a or b, <u>but</u> you must choose at least one of them". That is, you make a choice from a list of "options", not that having a value there at all is optional.

Users familiar with Unix manuals will find this pattern familiar, while others may find it curious. The "l" character is the Boolean (logical) "OR" operator in many computer languages, thus the notion of "one OR the other". Since the notation is terse and fairly widely used, we have adopted it here. In places where it is less than obvious in meaning, we try to make that meaning clearer through examples, as in the ugly formal definition of an ISO *DateTime* value.

#### **Organization of this Document**

This formal definition of the VTF is presented as (1) the primary format statement given above, and (2) a large set of tables below, which define and describe the tags and supporting information.

**Table 1** enumerates the terse set of allowed variable types and their associated suffixes. (*Please* see the ON PRECISION section below.)

**Table 2** lists all recognized physical units (we would be happy to add more as needed).

**Tables 3A-3R** are the bulk of the format description. These tables describe the numerous tags comprising the "header" as well as a number of lists of text strings that can be entered as the values of certain tags (for example, accelerometer-model names).

**Table 4** describes the main *DataSeries* listing matrix (the time series or spectra). Note that some of the supporting tags in the class *DataSeries* are in tables 3A-3R.

#### **ISO Date-and-Time Format for** *DateTime* **Tags**

When a <u>date ± time</u> variable is required, we use the "ISO 8601:2004" *DateTime* standard format, with a few (widely used) exceptions and permitting any degree of right-side truncation to obtain lowered precision. Please <u>do</u> tell the user whatever you know, even when those data do not have much precision or are incompletely known.

Our modified ISO format is

YYYY-MM-DD hh:mm:ss.sss[Z|[+|-]hh[:mm]]

As mentioned, you may use this format to any level of precision, including by using any number of fractional digits in "ss.sss" (including just "ss", the nearest second), down to the least precise *DateTime*, which would contain only "YYYY" (note that <u>YYYY must always be a four-digit year</u> in the Gregorian calendar, Common Era).

If you do not provide an explicit *TimeError* for a given *DateTime*, users are likely to infer that the actual uncertainty is implied by the degree of precision given in the *DateTime* value. In a particularly clear example, *DateTime*s given as only "YYYY-MM-DD" may reasonably be assumed to have occurred sometime during that (generally UTC) day, unless otherwise stated. (Indeed, many *DateTime* variables only require accuracy to one day.) In any case, this implication is

often intentional on the part of the data provider—just be aware of the likely implications of a truncated *DateTime*, absent a *TimeError*.

The primary distinction of the VTF from the ISO standard is replacing ISO Standard's "T" between the date and time with a blank so that it reads "...-DD hh:..." rather than "...-DDThh:...", thus is a little easier on the eye. This alteration also is widely used.

(For descriptions of ISO 8601:2004, see <a href="http://www.cl.cam.ac.uk/~mgk25/iso-time.html">http://www.iso.org/iso/en/prods-services/popstds/datesandtime.html</a>.)

Note that the end, "[Zl[+l-]hh[:mm]]" reduces to "Z" for UTC time ("Zero" or "Zulu" time). The "[+l-]hh[:mm]" part gives a time offset from UTC to any local time zone in hours and possibly minutes (a few time zones are 15 or 30 minutes off the hour). For example, "[Zl[+l-]hh[:mm]]" is "-08" (eight hours behind UTC) for Pacific Standard Time in the USA, and "+05:30" (five and a half hours ahead of UTC) for India Standard Time.

**Examples:** This description was finished at "2007-01-05 23:59:55Z" (rigorous ISO 8601:2004 would render that "2007-01-05T23:59:55Z"). If I only admit when this happened to the hour, to the day, to the month, or to the year, it would be "2007-01-05 23Z", "2007-01-05", "2007-01", or "2007". The latter three may also include the "Z" if desired. Absent specificity, the date are likely to be assumed to be on the local calendar (some legacy data) but more likely in UTC.

#### On Precision: This is Important!

The COSMOS formats (as well as Blue Book derived formats) are inherently <u>text based</u> and, therefore, <u>are not referenced</u> to any computer's internal precision, such as 64-bit IEEE floating point or 32-bit integers.

If you are creating your own VTF files, <u>it is strongly recommended</u> that you print floating point numbers with a sufficient number of digits to reflect the actual precision of your data, plus one or two more digits to limit round-off error (an old technique in the design of computers).

If you are reading VTF files, be certain to allocate binary space of adequate precision in your computer so that it preserves the values presented in text of the VTF file (thus, the label "\_dbl" suggesting use of "double precision"). Because computation is now fast and inexpensive, we recommend using double-precision or better for all \_dbl and \_cpx values—there is almost nothing to loose and plenty to gain.

Also because the VTF file is text, the precision is only as good as presented in the VTF file, notwithstanding "\_dbl" and other notations.

#### **Null Values**

The following special values shall be used both on input and output whenever a value is unknown.

Representation of NULL value	Comment	Most often translates into
<pre><some tag="">_txt = NULL;</some></pre>	Null text-NO QUOTES	Empty string
<pre><some tag="">_int = NULL [units];</some></pre>	Null integer	ThisFile.NullIntValue or the largest allowed negative value on that computer
<pre><some tag="">_dbl = NULL [units];</some></pre>	Null double precision	NaN‡
<pre><some tag="">_cpx = NULL NULL [units]; or <some tag="">_cpx = NULL [units];</some></some></pre>	Null complex double	Nan nan‡

‡ When read into computational software, a NULL value generally will cause the parser to return a NaN (IEEE-float value "not a number") or an empty string. But in the case of integers, or on systems that do not support NaN, the values returned generally will be the largest possible (finite) negative values for that computer.

If provided in the VTF file, the three values *ThisFile.NullComplexValue*, *ThisFile.NullRealValue*, or *ThisFile.NullIntValue* may be returned instead, as appropriate to the computer, the application, and the wishes of the user. (All VTF parsers should include a user option for overriding these NULL value translations with other values, such as the user's own favorite "null" number, like 999. However, we strongly urge that the NULL values be a improbable value (so not 999) and that the user never use zero as a NULL value since zero is far too often a real, intended data value, not at all NULL. A maximal negative is unlikely

in nearly all situations.) Finally, note that "maximal negative" does not mean negative infinity (which can be portrayed in IEEE floats)—this value should be reserved for its rare but valid uses.

When any VTF file is created that DOES NOT have available <u>all</u> of the REQUIRED tag values, then it is required that the "missing" tags be written in anyway and given the value NULL, as above. Also, these NULL values are used to fill out the "empty" header supplied at the COSMOS VDC Web site as an educational and quick-use tool. Finally, the NULL values may be used as place holders for anticipated data to be supplied later.

## THE COSMOS VDC TAGGED FORMAT TABLES (VTF.1.0)

## **Table 1. Variable-Types Suffix List**

Suffix	Storage type
_txt	Text strings, always enclosed within double quotes (""). Use no blank padding at the ends; text justification is never needed.
_int	Integer values (32-bit). Preferably two's complement (thus in the range $\pm 2,147,483,647$ , that is, $\pm (2^{31}-1)$ .
_dbl	Double-precision (64-bit) floating point, preferably IEEE.
_срх	If a complex value is required, two _dbl values are given, real then imaginary, separated by white space, unless the value is real, in which case either <real value=""> or [<real value=""> 0] are both allowed and equivalent.</real></real>

The significance of variable types is informational and suggestive (except as they imply particular formats for the VTF text values). The implications of these suffixes is that users should allocate comparable binary storage to accommodate the equivalent of the stated data type and precision.

There is no longer much reason to use single-precision floating-point or complex numbers, computation being fast and inexpensive, so we urge the use of storage by using the variable types implied by these suffixes.

# **Table 2. Recognized Units**

SI units, or at least metric units, are preferred but not required, recognizing the engineering context of strong motion data and applications.

Name	Unit	
Time		
years		
months		
days	Large time units used mainly to indicate <i>TimeError</i> s (where not implied by rounding of the associated <i>DateTime</i> s)	
hours	implied 2, loanding of one absociated 20022mes)	
minutes		
S	seconds (do not use "sec", which is not the SI abbreviation)	
Hz	Hertz; inverse seconds (do not use "sps")	
ms	milliseconds	
us	microseconds	
mHz	millihertz (0.001 Hz)	
	Gravitational acceleration	
g_local	Local Earth acceleration at the Sensor GeoLocation*	
mg_local	0.001 of local Earth acceleration	
ug_local	0.000001 of local Earth acceleration	
g_standard	Standard Earth acceleration (9.80665 m/s/s)	
mg_standard	0.001 of standard Earth acceleration	
ug_standard	0.000001 of standard Earth acceleration	

Scaling		
cm/g_local	centimeters offset on film record per local g	
cm/g_standard	centimeters offset on film record per standard g	
mm/g_local	millimeters offset on film record per local g	
mm/g_standard	millimeters offset on film record per standard g	
in/g_local	inches offset on film record per local g	
in/g_standard	inches offset on film record per standard g	
	Linear distances and rates	
km	kilometers	
km/s	kilometers per second	
mi	statute miles (1.609344 km)	
mi/s	statute miles per second	
m	meters	
m/s	meters per second	
m/s/s	meters per second per second	
cm	centimeters	
cm/s	centimeters per second	
cm/s/s	centimeters per second per second (do not use "gal")	
ft	feet (30.48 cm)	
ft/s	feet per second	
ft/s/s	feet per second per second	
in	inches (2.54 cm)	
in/s	inches per second	
in/s/s	inches per second per second	
um	micrometers ( <i>do not use "microns"</i> or "μ"; use "u" for ASCII compatibility)	
mil	0.0001 inches, typically a machinist's unit	
	Area	
<distance unit="">^2</distance>	squared <distance unit="">, for example, km^2</distance>	

Angles and angular rates			
deg	degrees (always decimal)	_ , , , , , , ,	
deg/s	degrees per second	To be used for all azimuth and orientation values.	
deg/s/s	degrees per second per second	offencacion values.	
rad	radians (always decimal)		
rad/s	radians per second		
rad/s/s	radians per second per second		
mrad	milliradians (always decimal)		
mrad/s	milliradians per second	Mainly used as the units of	
mrad/s/s	milliradians per second per second	rotational time series.	
urad	microradians (always decimal)		
urad/s	microradians per second		
urad/s/s	microradians per second per second		
	Miscellaneous		
counts or count  digital counts (the singular is used for the denominator of some compound unit name, as in Conversion Factors below			
	a simple number (unitless)		
૪	percent		
%FS	percent of (single sided) full scale		
	Voltage		
V	Volts		
mV	milliVolts		
uV	microVolts		
	Conversion factors		
General conversion constant from the denominator's <unit> to the numerator's <unit>. The parentheses are required when a compound unit is used, for example, Sensor.Sensitivity = "(cm/s/s)/count";</unit></unit>			

Stress			
MPa	1,000,000 Pascal		
Pa	Pascal (Newtons per square meter)		
Baryes	dynes per square cm		
bars	stress as bars (0.1 MPa); roughly atmospheres		
psi	pounds per square inch (absolute)		
psig	pounds per square inch ("gauge"—relative to atmospheric)		
	Energy		
joules	SI unit of energy		
ergs	CGS unit of energy		
	Energy/moment		
Nm	Newton-meters		
dyne-cm	dyne-centimeters		
Strain			
ustrain	microstrain		
Logarithmic relative power or amplitude			
dB	decibels		
dB/octave	decibels per factor-of-two in frequency		
dBm	decibels referenced to 1 mV		
dBa	decibels referenced to 1 m/s/s		
Spectra			
( <unit>)/Hz</unit>	Fourier amplitude spectrum, for example, (cm/s/s)/Hz		
( <unit>)/(root Hz)</unit>	rms spectrum, for example, (cm/s/s)/(root Hz)		
( <unit>)^2/Hz</unit>	power spectral density, for example, (cm/s/s)^2/Hz		

<sup>\*</sup> The Tag Array.EarthGravity defines the value of local Earth acceleration at the Array and the Sensor, thus defining the unit "g\_local". (This value is very unlikely to change across an Array, as we narrowly define it, to any degree important in strong-ground-motion applications. It certainly can vary across the network of one Agency, generally in the parts per thousand.)

## Table 3A. Certain General and Special Header Tags

```
ThisFile.Format txt = "VTF.<major.minor";
                                                                                       REQUIRED
              Example:
                                                                                       1st tag
              ThisFile.Format_txt = "VTF.1.0";
              This line MUST be the first in the file.
ThisFile.CharacterEncoding txt = [ "US-ASCII" | "UTF-8" | "UTF-16" ];
                                                                                       REOUIRED
              Informs users and software which of the these character-
                                                                                       2nd tag
              encoding standards is used in this VTF file.
              Ideally, this tag should be second in the file to make
              life easier for both parsers and humans. However, this
              positioning is not required (the VTF file itself is quite
              likely to contain a few invisible bytes at the beginning,
              specifying its encoding standard for use by the computer's
              operating system).
              UTF-8 and -16 are, respectively, one- and two-byte encodings
              of Unicode™ (ISO-10646). UTF-8 contains all 127 of the ASCII
              characters, as well as most other widely used characters, this
              in the same storage space as simple ASCII. However, UTF-8 is
              incomplete in that it is missing Chinese and several other
              major scripts. On the other hand, UTF-16 is complete, but
              it uses about double the storage space of UTF-8.
              Please use either UTF-8 or UTF-16 for all VTF files, giving
              preference to UTF-8 where practical, and to UTF-16
              where comprehensiveness is needed.
```

#### ThisFile.DataSeriesCOSMOSidentifier\_txt = "<value>";

REQUIRED if this file is served from the COSMOS VDC; Optional if served directly from data owner (*Agency*).

The COSMOS VDC unique identifier that has been assigned by the VDC and associated with this **DataSeries**. Providers and users may both apply it subsequently for clarity, if desired, but it must remain unchanged from the VDC's assigned value.

Below, see *ThisFile.DataSeriesHistory(n)* for a means to store a history of these unique identifiers and/or of the filenames of precursor files.

In addition, **DataSeries.AgencysIdentifier** is used to provide the provider's own identifiers for this record.

#### ThisFile.Preparation.Agency\_txt = "<value>";

|| Whatever individual, group, or **Agency** prepared this VTF || file; take this value from table 3E.

ThisFile.Preparation.DateTime txt = "YYYY-MM-DD[ hh:mm:ss[Z|[+|-]hh[:mm]]]";

The date (± time) on which this COSMOS tagged-format (VTF)

file was created. It is not the creation DateTime of any
source file that may be translated into or subsumed by this

VTF file nor of any data copied into this record from other
sources (for example, earthquake-source data).

Instead, use **Processing.DateTime** to identify the originating-**Agency**'s authoritative creation **DateTime** for the **DataSeries** in this file. Various metadata also have **DateTime** tags to indicate their origins separately.

Since this VTF file often will be generated from an Agency's original records kept in some other format, but not the other way around, Processing.DateTime will not be later than ThisFile.Preparation.DateTime. Of course, it is possible for these two DateTimes to be identical if the originating-Agency directly creates this tagged-format file.

| CONDITIONAL

| REQUIRED

| REQUIRED

#### ThisFile.DataSeriesHistory[(n)] txt = "<DataSeries ID>"; Any current or previous DataSeriesCOSMOSidentifier and/or filenames of a predecessor **DataSeries** in the recording and processing sequence leading to this DataSeries. If given, this tag array should begin with the oldest identifier, index (1), and proceed to the current identifier, index (N)-that is, in time order. It to be understood that filenames are mercurial, that anyone along the chain of records can easily change one. The DataSeriesCOSMOSidentifier is preferable when available because it is guaranteed to be unique for all time while filenames given here are not guaranteed to be meaningful. ThisFile.MetadataValid((n)).Start.DateTime txt = "YYYY-MM-DD[ hh:mm:ss[Z|[+|-]hh[:mm]]]"; | Optional ThisFile.MetadataValid((n)).Stop.DateTime txt = "YYYY-MM-DD[ hh:mm:ss[Z|[+|-]hh[:mm]]]"; Optional ThisFile.MetadataValid[(n)].Agency txt = "<from table 3E(b)>"; | CONDITIONAL As distinct from ThisFile.Preparation.DateTime, these tags provide an optional means of indicating the date (± time) | interval during which the provider believes that the metadata in this header that is supplied by the indicated Agency are valid in their entirety (an interval often called an "epoch"). Users occasionally request such epochal information. If either or both the **Start.DateTime** and the **Stop.DateTime** is/are given, then the associated Agency is REQUIRED (meaning, of course, that the stated epoch applies only to metadata generated by said Agency).

|| Optional

The most common application of these epochal tags is likely to be just leaving them out, thus accepting their default values.

If given at all, it is likely that one set of these epochal tags will be given, and is likely to (but not required to) refer to the same *Agency* as *ThisFile.Preparation.Agency*, the author of this VTF file. That is, the author of this VTF file is not likely to make any promises for other suppliers of metadata, such as earthquake *Hypocenter* data.

```
ThisFile.ValidBand.HighPass.Corner_dbl = <value> [ Hz | s ];
ThisFile.ValidBand.LowPass.Corner_dbl = <value> [ Hz | s ];
ThisFile.ValidBand.Agency_txt = "<from table 3E(b)>";
ThisFile.ValidBand.Comments txt = "<Comments>";
```

In the event that these are preliminary ("quick release") **DataSeries**, it is often the case that response spectra and other information are valid only over a limited bandwidth. If this is so, we recommend an explicit statement of the valid frequency or period band *via* these tags. Obviously, **HighPass** and **LowPass** have opposite meanings when expressed in period than when they are expressed in frequency.

See also, Processing. Human Review, Processing. Stage, Processing. Constants Used, and Processing. Problem. Status.

While we think them unlikely to be needed, for completeness we provide space for both *Comments* and an *Agency* associated with this band-limit determination. (If not specified here, the *Agency* may safely be assumed to be the *Processing.Agency*.)

Optional
Optional
Optional
Optional

ThisFile.NullComplexValue\_dbl = <value>;
ThisFile.NullRealValue\_dbl = <value>;
ThisFile.NullIntValue int = <value>;

Recommended Recommended Recommended

These values <u>are not used in the VTF</u> per se. They are used only as "option two of four" (below) for parser handling of NULL tag values.

For NULL-valued tags, from high to low priority:

\_\_\_\_\_

"Option one" is to use user-supplied values as given to the VTF reading routine; these are to override the other three "options", though such action is not recommended because of the potential for value conflicts.

"Option two" is to use the values given by these **ThisFile.Null...**tags for numerics and empty strings for <u>txt</u>. (<u>That is, if you desire</u>
Options three or four, do not provide these tags or remove them from
a file sent to you.)

"Option three", the preferred one, is to use an IEEE NaN for  $\_db1$  and a pair of these for  $\_cpx$  values, empty strings for  $\_txt$  values, and the largest possible negative integer (in most cases,  $[1 - 2^3] = -2,147,483,647$ ) for  $\_int$ .

"Option four" is to use the largest possible negative values on that computer for all numerics and empty strings for  $\_txt$ .

Note that with "Option one of four" all parsers <u>must allow</u> <u>users</u> to override these three NULL-values, as well as the preferred default of "Option three".

```
ThisFile.Annotations[(m)].TextValue txt = "<Comments>";
                                                                                       Optional
ThisFile.Annotations[(m)].Agency txt = "<from table 3E(b)>";
                                                                                       CONDITIONAL
            | Agency which contributed the comments Annotations (m). TextValue
              and those comments. Agency is REQUIRED if TextValue is present.
              (That is, it is wise to identify the source of each TextValue
              because those comments can come from multiple Agencies.)
              If you need more than one line of text put it all into one,
              long string with "lines" separated by the string "/ \ln r".
ThisFile.Citation txt[(n)] = "<citation>";
                                                                                       Optional
ThisFile.URL txt[(n)] = "<Web address>";
                                                                                       Optional
            If there is/are report(s) available for this ThisFile,
            provide a citation with one or both of these tags.
```

Therefore, typical VTF files will begin with:

```
ThisFile.Format_txt = "VTF.1.0";
ThisFile.DataSeriesCOSMOSidentifier_txt = "<value>";
ThisFile.Preparation.Agency_txt = "<value>";
ThisFile.Preparation.DateTime_txt = "YYYY-MM-DD";
```

where the various values are inserted to identify this record and its origins.

# Table 3B(a). Type of *DataSeries*

DataSeries.PhysicalParameter\_txt = "<from table 3B(b)>"; | REQUIRED | Units are given by DataSeries.OrdinateUnits in table 3R, not here. | This tag provides a means of giving a somewhat more detailed text | description of the type of data than units alone would provide. | DataSeries.PhysicalParameter is in the style of the Blue Book | text description of the data (table 3B(b)).

<pre>DataSeries.NonLinearComputation[(m)].Description_txt = "<value>";</value></pre>	CONDITIONAL
<pre>DataSeries.NonLinearComputation[(m)].URL_txt = "<web address="">";</web></pre>	CONDITIONAL
At least one such tag is REQUIRED if the physical parameter	
is a nonlinear response spectrum or the result of some other	
nonlinear computation, in which case the method of computation	
is to be described here, either by using a well-known name or	
a citation ( <i>Description</i> ) or a Web site ( <i>URL</i> ) containing a	
similar description of the computation.	

# Table 3B(b). Codes for Types of DataSeries

Allowed values	*	Meaning	Typical units for DataSeries.OrdinateUnits
"UnProcessed Acceleration"	A	"Volume 1" linear acceleration with calibrations applied but baseline NOT processed, though a simple mean may be removed	cm/s/s, g_local, counts, and so forth
"Processed Acceleration"	A	"Volume 2" linear acceleration with baseline fully processed, ready for double integration, possibly filtered too.	cm/s/s, g_standard, counts, and so forth
"Calibration Input Signal"	CAL	A signal input to the named instrument to calibrate it (in any appropriate units).	<pre>cm/s/s, cm/s, cm, g_local, counts, and so forth</pre>
"Acceleration Correction Baseline"	BL	† A full time series of the total corrections applied to a "Volume 1" acceleration time series to generate an acceleration time series which integrates successfully into the same velocity and displacement time series reported by the providing Agency.	cm/s/s, g_standard, counts, and so forth

"Velocity"	V	Linear velocity	km/s, m/s, cm/s, in/s
"Absolute Displacement"	D	Linear absolute displacement	m, cm, in
"Relative Displacement"	D	Linear relative displacement (for example, displacement computed from an acceleration record)	m, cm, in
"Rotational Acceleration"	RA	Angular acceleration	deg/s/s
"Rotational Velocity"	RV	Angular velocity	deg/s
"Rotational Displacement"	RD	Angular displacement	deg
"Absolute Pressure"	P	Absolute pressure	Pa, Baryes, psi
"Relative Pressure"	GP	"Gage pressure", that is, pressure relative to atmospheric	Pa, Baryes, psi
"Volumetric Strain"	S	Volumetric strain	ustrain
"Linear Strain"	S	Linear strain	ustrain
"Response Spectra (Sa)"	Sa	Acceleration Response Spectra,	cm/s/s, and so forth
"Response Spectra (Sv)"	Sv	Sa, Sv, Sd, pseudovelocity	cm/s, and so forth
"Response Spectra (Sd)"	Sd	$(\omega*Sd = PSV)$ , or	cm, and so forth
"Response Spectra (PSA)"	PSA	pseudoacceleration (ω*ω*Sd = PSA)	cm/s/s, and so forth
"Response Spectra (PSV)"	PSV	,	cm/s, and so forth
"Geometric Mean PSA"	gPSA	Geometric mean of horizontal-component linear PSAs.	cm/s/s, and so forth
"Median PSA over 90 degrees"	mPSA	Median linear PSA for horizontal component rotated through 90 degrees, preferably in one-degree increments.	cm/s/s, and so forth

"Nonlinear Response Spectra (Sa)"	nSa		cm/s/s, and so forth	
"Nonlinear Response Spectra (Sv)"	nSv	Nonlinear response spectra.	cm/s, and so forth	
"Nonlinear Response Spectra (Sd)"	nSd	Describe method of computation in <i>DataSeries.NonLinearCompu-</i>	cm, and so forth	
"Nonlinear Response Spectra (PSA)"	nPSA	tation.Description or .URL.	cm/s/s, and so forth	
"Nonlinear Response Spectra (PSV)"	nPSV		cm/s, and so forth	
"Geometric Mean Nonlinear PSA"	gnPSA	Geometric mean of horizontal-component nonlinear PSAs.	cm/s/s, and so forth	
"Median Nonlinear PSA over 90 degrees"	mnPSA	Median nonlinear PSA for horizontal component rotated through 90 degrees, preferably in one-degree increments.	cm/s/s, and so forth	
"Acceleration Amplitude Spectrum"	DFT	Absolute value of DFT	for example, (cm/s/s)/Hz	
"Acceleration Complex Spectrum"	CFT	Complex DFT Spectrum	TOT example, (CM/S/S)/HZ	
"Acceleration RMS Spectrum"	RMS	RMS of noise in acceleration (square root of power spectrum)	for example, (cm/s/s)/(root Hz)	
"Acceleration Power Spectrum"	PWR	Power spectrum of <b>Event</b> s or noise recorded in acceleration	for example, (cm/s/s)^2/Hz	
<pre><similarly "velocity",="" and="" for="" forth="" so=""></similarly></pre>				
и	"_"	USER-EXTENSIBLE LIST (use established, terse, clear, English names or phrases which must begin with "User's description: ")	<time-series or="" spectral="" units=""></time-series>	

\*Abbreviations recommended for use in VTF filenames to identify type of trace contained in the file.

†Corrections to preliminary velocity and displacement time series can be and should be translated back to the original acceleration record, and this corrected acceleration record ideally should be integrated to produce the processed velocity and displacement *DataSeries* actually distributed. Some authors prefer to correct, integrate, correct, and integrate again, however this process does not yield an acceleration trace that integrates correctly to displacement, and the practice is discouraged. It is easiest for users if corresponding acceleration, velocity, and displacement time series are related to each other by simple, trapezoidal-rule integration.

# Table 3C(a). Record Types

<pre>DataSeries.Cause_txt = "<value>";</value></pre>	REQUIRED
A value from the upper three segments of table 3C(b)   describing what caused this record to be recorded. Note	
that some coordination is required with <b>PhysicalParameter</b> .	 
<pre>DataSeries.Calibration.InputType_txt = "<value>";</value></pre>	CONDITIONAL
If <b>DataSeries.Cause_txt</b> is a " Calibration", then this	
tag is also REQUIRED and must be equal one of the values in	
the last segment of table 3C(b) or to a user-created name.	
DataSeries.Calibration.InputAmplitude[(n)]_dbl = <value> <units>;</units></value>	CONDITIONAL
Recommended for "Step", "Square Wave", and "Random Steps"	
calibrations whenever the value is known. The $(n)$ here	
and in the next tag may be used when the values vary	
during the calibration, as in "Random Steps".	
<pre>DataSeries.Calibration.InputStepDuration[(n)]_dbl = <value> s;</value></pre>	Optional
Recommended for "Square Wave" calibrations if known and	
for "Random Steps" if at all possible. To accommodate	
for "Random Steps" if at all possible. To accommodate the latter, this is the <a <web="" address="" href="https://example.com/https://example.com&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;   or trough.&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;DataSeries.Calibration.InputURL_txt = ">";</a>	Optional
Recommended for signals that are too complex to describe	
well here-Web address(es) of a formal description, which	
may be a time series. Indeed, this URL may point to a	
"Calibration Input Signal" (CAL) type of VTF file.	

# Table 3C(b). Record-Type and Input-Type Codes

Record type	Meaning		
Use any of the following as values for DataSeries. Cause:			
"Seismic Trigger"	An Earth signal triggered the recorder		
"Remote Trigger"	A radio, landline, or other external trigger		
"Preset Trigger"	A timed, preset trigger		
"Manual Trigger"	A human finger on a button at the <b>DAU</b>		
"Function Test"	Some recorder-functionality test other than calibration		
(Avoid the following four)			
"Sensor Calibration"	The <b>Sensor</b> is being calibrated		
"Amplifier Calibration"	The amplifier is being calibrated		
"Recorder Calibration"	The recorder as a whole is being calibrated		
"Other Calibration"	Some other element of the system is being calibrated?		
(Preferred calibration "Event" names			
"Sensor Through Recorder Calibration"	A Calibration pulse or sequence is introduced into the <b>Sensor</b> and recorded after the ADC (or equivalent location for analog recorders).		
"Preamplifier Through Recorder Calibration"	A Calibration pulse or sequence is introduced into the first- stage amplifier and recorded after the ADC or equivalent.		
"Filter Through Recorder Calibration"	A Calibration pulse or sequence is introduced after the amplifiers but before the (anti-alias) filters and recorded after the ADC or equivalent.		
"Recorder Calibration"	A Calibration pulse or sequence is introduced into the ADC inputs (after the final amplifiers and filters for analog).		

Use any of the following as values for DataSeries. Calibration. InputType:				
"Step"	Heavyside function, a step in acceleration.			
"Square Wave"	Square wave of known period and amplitude.			
"Random Steps"	A signal consisting of step offsets of random size at random intervals. (A "random binary" = "telegraph"—two level—calibration signal is considered a special case of "Random Steps"—constant amplitude and varying duration.)			
""	USER-EXTENSIBLE LIST (use terse, clear, English descriptions which <u>must</u> begin with "User's description: ")			

NOTE: The lined-out items and their proposed replacements need to be reconsidered with proper consultation by electrical engineers. What is the intended distinction between an "amplifier" and "recorder" calibration here? How does one calibrate the amplifier, but not the ADC (analog-to-digital converter)? It can be done by elimination, or by isolation from the ADC and recorder, but most systems cannot do this—it is a laboratory test. More discussion is needed and the distinctions need to be drawn here. We offer the subsequent suggestions, which follow the points at which most systems (DASs) allow signals to be injected and recorded.

## **Table 3D. Geographic Naming Information**

GeoLocation.Name[(m)].Agency\_txt = "<from table 3E(b)>";

| Owner or responsible group who/which assigned the following
| GeoLocation identifier(s) (that is, station names) and related
| data. That is, WHOSE naming, coordinates, and other data
| values are these?
| EXACTLY ONE SUCH TAG IS REQUIRED for every (m) appearing with
| any of the following "GeoLocation.Name" tags. Since at least
| one such GeoLocation.Name is REQUIRED, at least one such Agency
| must be identified. Of course, the (m) must correspond between
| the various tags.

REQUIRED

GeoLocation.Name[(m)].DateTime txt = "YYYY-MM-DD[ hh:mm:ss [Z|[+|-]hh[:mm]]]"; | Recommended | This date (± time) is either when this **GeoLocation.Name** was assigned (a "starting date"), or when these naming data were obtained from the Agency. At least one of GeoLocation.Name.SNCL, GeoLocation.Name.ExpandedSNCL, or GeoLocation.Name.ShortName is REQUIRED. More than one may be given. GeoLocation.Name[(m)].SNCL txt = "SSSSS.NN.CCC.LL"; | CONDITIONAL The FDSN SNCL format "Station.Network.Channel.Location"; GeoLocation name. (In SNCL terminology, GeoLocation | | would be "Station", hence "SNCL" and "SSSSS".) GeoLocation.Name((m)).ExpandedSNCL txt = "SSSSSS.NN.CCC.LLL"; CONDITIONAL For use when the user would like to follow the SNCL format but doing so is not practical because field lengths are longer than those defined formally by FDSN. For example, a six-digit or longer GeoLocation.Name or long "Location" (LL) fields designating channels within large Arrays (and certainly across the network operated by one Agency) will require larger SNCL fields. The field lengths for "SSSSSS" and "LLL" are shown only for illustration. There is no limit on the length of any field except "CCC", which should follow FDSN conventions. We also recommended that the "NN" field be used as defined by FDSN (therefore, if your network does not yet have an FDSN code, we recommend that you obtain one-it's easy and FDSN codes are widely recognized as coordinated, international network symbols). The ExpandedSNCL is the VTF preferred solution for names not strictly fitting within the FDSN SNCL format. ExpandedSNCL is self-contained, systematic, and a format familiar to many users, as well as having some potential for compatibility with future FDSN naming evolution.

Whether this extension will be adopted by the FDSN is uncertain, but we recommend it in order to be more accommodating of international practices, such as increasingly common use of GeoLocation names longer than five characters, as well as increasingly ambitious networks with large numbers of sensors, potentially into the thousands.	•
<pre>GeoLocation.Name[(m)].ShortName_txt = "<value>";</value></pre>	CONDITIONAL
GeoLocation.Name[(m)].Index_txt = " <value>";    This tag may be REQUIRED in the context of some Arrays,   as when neither SNCL nor ExpandedSNCL is used and a single   ShortName identifies an entire Array. (If a SNCL name   lacking a sufficient "LL" field is used, Index also may   be needed.) In such cases, Index (± "CCC" codes) must be   used to identify particular locations and component   orientations within the Array.</value>	CONDITIONAL
Index generally is used in conjunction with ShortName and only rarely with SNCL or ExpandedSNCL names. This tag is included here principally for backward compatibility.   We urge that SNCL or ExpandedSNCL names be used wherever feasible.	
<pre>GeoLocation.Name[(m)].Description_txt = "<value>";</value></pre>	Optional
cells, or lines for their internal processing uses.  For the VTF, please indicate these divisions with  the VTF new-line sequence. "/\n/".	

Street **Address** of the **GeoLocation** or of any structure containing the instrument. In some cases, **Address** might be a property description more like a tax-collector's parcel number or a Range-Township-Section description or their international equivalents. If not clearly a simple street **Address**, compose this name so that users in other nations will easily understand its meaning after decades.

Include the word "CONFIDENTIAL" in *Address* if the structure- or property-owner wishes the location or the presence of the instrument kept confidential Or simply omit the whole of *Address* to assure ongoing security.

Separate lines of the Address with the  $\textit{"}/\nspace n$  character sequence.

In the case of structural or geotechnical arrays subsumed within some other, typically regional networks of an **Agency**, the **Description** and **Address** should be consistent with the **Array.Identifier** described below, and these tags together should identify the **Array** properly, the set of logically related **Sensor**s and **DAU**s deployed over a structure or work site.

### On Sensor GeoLocation Naming

There are three ways of naming a GEOGRAPHIC THREE-DIMENSIONAL LOCATION, and at least ONE of these CONDITIONAL tags is REQUIRED: *GeoLocation.Name.SNCL*, *ExpandedSNCL*, or *ShortName*. The *SNCL* or *ExpandedSNCL* tags are the <u>preferred</u> VTF naming formats.

(Users of numeric names please note that "SNCL" names <u>are entirely compatible</u> with numerical names. Simply represent the numerals as characters and put them into the "SSSSS" string. In any case, a string representation is inherent throughout VTF and does not abandon either generality or specificity.)

The SNCL name was carefully thought out by FDSN and IRIS and is quite general. Thus, a large proportion of names can be translated into a compatible SNCL name without loss of generality. (Sadly, however, the "SSSS" portion is too short for a number of naming formats which use six or more characters in their primary *GeoLocation.Name* code. There are circumstances in strong-motion work (for example, structural and geotechnical *Arrays*) where one could make good use of a significantly longer "LL" field to name components within an *Array*. It is for these purposes that we provide *ExpandedSNCL*.) Nevertheless, numeric names certainly are included in the SNCL format up to five digits (and in *ExpandedSNCL* up to any length). Unique two-character network names (the "N" of "SNCL") are available through FDSN for use in these names. We use these FDSN codes extensively elsewhere in the VTF to identify networks and we urge their adoption by all strong-motion networks.

The other method of naming a LOCATION is *GeoLocation.Name.ShortName*, which provides for text, numeric, or mixed strings of any type and size, often with *Index* and additional details.

With any of these three primary naming tags, the user may include the *Index* tag. However, this "sub-name" tag, equivalent to the "LL" field of *SNCL* or *ExpandedSNCL*, is given primarily for backward compatibility. We urge that *Index* not be used and that SNCL names be used in their stead. (*Index* replaces the "Location Index of sensor site" is COSMOS v1.20 fixed-field format *Sensor* information and used to identify *Sensor* elements within *Arrays*.)

The name tag *GeoLocation.Name.Description* is for an <u>additional</u> narrative description, and may be used, if desired. It is <u>not</u> a substitute for using one of the three primary naming tags (*SNCL*, *ExpandedSNCL*, or *ShortName*). This "Long Description" is the former "Station identification" of COSMOS v1.20 and is meant to contain <u>a descriptive naming phrase</u>, sometimes the same name that is abbreviated in an alphabetic *GeoLocation* name (for example, the SNCL "SSSSS" field). An example of these descriptive names is "CA: Murietta Hot Springs; Skinner Dam, Left Abutment, Control Bldg." for the USGS NESMP station with "SSSSS" code "0720".

Note that because one can use *Subscript*s, there is no need for the various aliases and alternate names that existed in COSMOS v1.20. Simply create more *GeoLocation.Name(n).ShortName* tags required to provide all names of that point

in 3D space. Each of these tags requires a corresponding *Name(n).Agency* to identify that *Name*'s owner and/or creator. Between them, *ShortName*, *SNCL* and *ExpandedSNCL* replace "Station number", "Station alphanumeric code", and "Secondary station number" of the COSMOS fixed format v1.20. Similarly, *Subscripts* and the two tags *GeoLocation.Name(m).Agency and DateTime* offer the necessary mechanism for describing the timing of name changes, any secondary naming, resurveying of a *GeoLocation*, small moves of a *GeoLocation*, and any out-of-date names that may have been used in the past for a given *GeoLocation*.

#### **SNCL** Naming Details

GeoLocation.Name.SNCL names must follow the FDSN SNCL format rules while ExpandedSNCL must mimic those rules closely, including use of the same "CCC" sensor orientation-and-type ("channel") codes. For ExpandedSNCL, the "SSSSS" and "LL" (and, reluctantly, the "NN") fields may be lengthened as needed, but should remain as terse as is compatible with the data-provider's practices. If either of these SNCL tags is used as the primary GeoLocation.Name, then the network codes in Array.Agency and GeoLocation.Name.Agency should agree with the network-code field ("NN") of the SNCL name except, perhaps, in rare cases of multiple names for the same GeoLocation used by different Agencies.

The FDSN SNCL naming conventions are: Any five or fewer alpha and/or numeric characters for the *GeoLocation* name "SSSSS"; an FDSN-assigned two-character "network" code, "NN"; a SEED-format "channel" code, "CCC"; and, optionally, a two-character "tie-breaking" "location" code, "LL", to be used in cases where you have more than one channel with the same "SSSSS.NN.CCC" name (as will often be the case in structural and geotechnical *Arrays*). An "LL" might be used, for example, if one specified a structure by "SSSSS.NN", a location within a structure by "LL", and the orientation and type of sensor at that location by "CCC". (Since many components inside a structure point the same direction, there will routinely be conflicting names unless one includes the "LL" code to distinguish specific locations [and/or orientations] within the structural *Array*.)

For the SEED-format channel code, "CCC", consult Appendix A of the SEED manual, which may be downloaded from <a href="http://www.iris.edu/manuals/SEEDManual V2.4.pdf">http://www.iris.edu/manuals/SEEDManual V2.4.pdf</a>. Succinctly, the three letters correspond to (1) a frequency band, (2) the type of *Sensor*, and (3) the orientation of the *Sensor*. The most common SEED-format CHANNEL codes for accelerometers sampled at 80 Hz or higher would be "HNZ", "HNN", and "HNE", for truly cardinally oriented

components, or "HNZ", "HN2", and "HN3" for a vertical and two non-cardinal, orthogonal, horizontals, and possibly "HNZ", "HNT", and "HNR" for a beam-forming *Array* or components rotated toward the source azimuth ("R" and "T" meaning "Radial" and "Transverse" in this context), as will be the case for some geotechnical *Array*s. The "N" means "acceleration", and the "H" means a lower-corner period of 10 s or longer and a sampling rate of 80 Hz or faster.

Note that SNCL names <u>are case sensitive</u>, but there are **no** rules in the "SSSSS" part about what order or amount of alphas and numerics there may be. <u>In other words, users may put five-digit or shorter numeric names</u> into **SNCL** and <u>any six-digit or longer numeric names</u> into the **ExpandedSNCL** name.

If you are using <u>not</u> *ExpandedSNCL* or *SNCL* (that is, you are using <u>only</u> *ShortName*, possibly in conjunction with *Index*), then <u>you must also include</u> an *Array.Agency* identification tag from table 3E(b) (the value generally should equal the value of *GeoLocation.Name.Agency*). In all cases, the FDSN/IRIS/SNCL network name code (the second column in table 3E(b)) is <u>strongly preferred</u> in this application also, since it is assigned and recognized through international agreements.

## Table 3E(a). Array Variables, including Network/Owner/Agency Codes

Array.Agency txt = "<from table 3E(b)>";

| CONDITIONAL

The Array's owner or operator of the recording network.

REQUIRED unless using either **SNCL** or **ExpandedSNCL**. If present, generally should equal the value given to **GeoLocation.Name.Agency**.

This tag refers to the **Agency** (typically an organization but sometimes an individual) operating this geotechnical, structural, or similarly compact **Array**. It is <u>not</u> meant to be the owner/operator of any larger network subsuming this local **Array**. However, it will commonly be the same as **Name.Agency**.

(For example, although the USGS's NESMP operates many structural Arrays, of various names or addresses, the | | Array.Agency, Name.Agency, and Processing.Agency tags || will all be "NP". In contrast, both the NGA and PEER data sets would normally use a different value for Processing.Agency—themselves, because they do their own processing of other Agencies' records.) CAUTION: The DateTime associated with this Agency is assumed to be the same as Processing. DateTime. Array.Identifier txt = "<value>"; || Optional If the structural, geotechnical, or otherwise distinct Array of which this instrument is part has some overarching | name other than that associated with the GeoLocation.Name given in Array. Agency, provide it here. | | Make this *Identifier* consistent with the values of GeoLocation.Name.Description and Address when these tags also describe structural and other local Arrays. Array.Owner txt = "<from table 3E(b)>"; Avoid | Table 3E identification of Array owner, if different from Array. Agency. It is unlikely that this tag will | be any more than rarely used since nearly all Arrays have a name or code that implies the Owner. Array.Operator txt = "<from table 3E(b)>"; Avoid | Table 3E identification of Array operator, if different || from Array.Agency or Array.Owner. Array.Affiliate txt = "<from table 3E(b)>"; | Avoid | Identification of some other Array affiliate, if the above names are an incomplete list of Agencies associated with || this Array.

The three tags just above are partially conflicting with *Array.Agency* and *ThisFile.MetadataValid.Agency* and are only given to provide backward compatibility; their use is *not* recommended.

#### Array.EarthGravity dbl = <value> cm/s/s;

| CONDITIONAL

Local value of Earth acceleration at the **Array**. This value DEFINES the unit "g\_local", and therefore is REQUIRED if the units "g\_local", "mg\_local", or "ug\_local" are used anywhere including if the values of the time series used here were converted from one originally expressed in g\_local. In other words, **Array.EarthGravity** may be the scaling factor used to convert "Volume 1" units of g\_local into absolute units such as cm/s/s when the original is only known in units of local Earth acceleration, and, if so, this conversion factor must be given.

CONDITIONAL CONDITIONAL

RawSeries.GravityCalibratedAgainst\_txt = [ "g\_local" | "g\_standard" ];
RawSeries.GtoGalConversionConstant\_txt = [ "g\_local" | "g\_standard" ];

Both are REQUIRED whenever the **RawSeries** was converted  $\underline{\text{from}}$  acceleration values expressed in "g\_local" or "g\_standard" into absolute units such as "cm/s/s".

In some (many?) cases, unfortunately, these two values will differ, as when the instrument is calibrated against a local Earth-acceleration value (generally by a flip test at the site), but they are converted with the standard value, 980.665 cm/s/s. Although this distinction generally makes only a several part-per-thousand difference and is smaller than some other uncertainties, it is best to document it.

RawSeries.GravityCalibratedAgainst indicates whether values of acceleration given in this VTF file as absolute units like "cm/s/s" originally were expressed (generally in the RawSeries) in units of either "g\_standard" (980.665 cm/s/s) or "g\_local" (defined by Array.EarthGravity) and converted to absolute units using the value RawSeries.GtoGalConversionConstant.

Note that this distinction is implicit in the manner in which the Agency calibrated its instruments and processed its data. In practice, many operators do calibrations by doing a flip test on site at the Sensor's GeoLocation, so that it is calibrated relative to Array. EarthGravity. These data typically are converted by g standard.

# Table 3E(b). COSMOS and FDSN Array/Network Codes

COSMOS Code	FDSN Code	Network; Owner
USCGS	-	U.S. Coast and Geodetic Survey
NESMP	NP	National Engineering Strong Motion Program (NESMP), U.S. Geological Survey (USGS)
USBR	RE	U.S. Bureau of Reclamation
ACOE	-	U.S. Army Corps of Engineers
CDMG or CGS	CE	Calif. Geological Survey, formerly Div. of Mines and Geology (CGS/California Strong Motion Instrumentation Program (CSMIP))
CIT	CI	Calif. Institute of Technology
UCB	ВК	Berkeley Digital Seismic Network (BDSN); University of California, Berkeley
CWB	TW	Taiwan Central Weather Bureau (CWB)

-	AA	Anchorage Strong Motion Network; Geophysical Institute, Univ. of Alaska, Fairbanks
_	AV	Alaska Volcano Observatory; USGS, Anchorage
-	AZ	ANZA Regional Network; University of California, San Diego and USGS, Menlo Park, Calif.
-	во	NIED (Bosai-Ken Network); National Institute of Earth Science and Disaster Prevention
_	CN	Canadian National Seismic Network; Geological Survey of Canada
_	NC	USGS Northern California Regional Network; USGS, Menlo Park, Calif.
_	NN	Western Great Basin/Eastern Sierra Nevada; Univ. of Nevada, Reno
_	SN	Southern Great Basin Network; Univ. of Nevada, Reno
-	US	U.S. National Seismic Network; ANSS backbone of USGS/NEIC, USGS/ASL and EarthScope Project of IRIS
-	טט	University of Utah Regional Network; University of Utah
_	UW	Pacific Northwest net; University of Washington and USGS
-	WY	Yellowstone Seismic Network; University of Utah (formerly by USGS, Menlo Park)
	**	All other FDSN/IRIS/SNCL network names are subsumed as acceptable names here.
		USER-EXTENSIBLE LIST (for networks not named above, use established, terse, clear, English names or well-known abbreviations which <u>must</u> begin with "User's description: ")

#### NOTES:

A complete set of these SNCL network abbreviations can be found at the following URLs.

For permanent networks: <a href="http://www.iris.washington.edu/stations/networks.txt">http://www.iris.washington.edu/stations/networks.txt</a>.

For portable networks: <a href="http://www.iris.edu/stations/networks.portable.txt">http://www.iris.edu/stations/networks.portable.txt</a>.

Array.Agency is REQUIRED unless the SNCL name GeoLocation.Name.SNCL or the SNCL-like name GeoLocation. Name.ExpandedSNCL is used.

#### **Geographic-Position Issues**

<u>Regarding the following, table 3F</u>, we believe that two methods are necessary and sufficient for specifying the threedimensional position and of a **GeoLocation** and the orientation of a **Sensor** in space:

- (1) Absolute coordinates and orientations (that is, locations within established geographic datums and orientations as azimuths from geographic true North and declinations from plumb vertical (that is, to the local gravity acceleration vector); or
- (2) locations relative to a specified reference location and orientations relative to a reference orientation (such as the azimuth of a structure's long axis), with both references given in the absolute frames of (1).

Description method (2) typically would be used for structural and geotechnical *Array*s and certain other compact *Array*s. While it may be the case that the reference point and orientation are not precisely known, they should always be given, even when large corresponding error estimates must also be given. It is better to have a general idea where the *Array* is than no idea at all. (Of course, if "no idea at all" is all there is, give the value NULL.) It will often be the case in situations where absolute reference location and azimuth are poorly known that the offsets in location and orientation from these references will be well characterized (as in meters along, across, and up a structure and whether the sensor is wall-parallel or -perpendicular). Even if not, provide the available information and reasonable error estimates for same.

Both forms of *Sensor* location (for example, *GeoLocation.Location.Latitude*) are addressed in table 3F. Both forms of *Sensor* orientation are addressed in table 3K(a). There are more- and less-preferred methods for both, the less preferred methods provided for backward compatibility.

The first 13 tags of table 3F are the only place in which the absolute location of the **Sensor** is defined. The first five define the absolute location while the latter eight define an absolute (**Array**) reference location point plus the offsets from that point to the **Sensor**. Absolute locations typically are used in single-**GeoLocation** "**Array**s" (typically free-field sites) while relative locations are typically used in structural and other **Array**s containing **GeoLocations** distributed over some larger but relatively compact area or volume of observation.

Of the CONDITIONAL tags among those first 13, at least <u>one complete set</u> must be selected, either the first three (absolute) or the latter six (relative).

### On the Accuracy of Geographic Positions

Processing, then in theory it is possible to store locations accurate to 15.65 digits (52-bit mantissa). (One would write out 16 to 17 digits to avoid round-off errors and maintain this degree of accuracy.) For Latitude, this precision equates to about 25 pm (picometers), vastly better than is required. Geographic location precision of 10 cm (differential GPS accuracy) requires at least seven digits after the decimal for Latitude. Longitude values are similarly or more precise when given with the same number of digits after the decimal point.

# Table 3F. Other Geographic and Array Tags—Coordinates

Absolute geographic position of the **Sensor** (there may be (n) location attempts by one or more **Agencies**, including a history of small station relocations (that is, by a few meters to tens of meters, a common exigency to accommodate changing field conditions):

Please note that it is generally desirable to provide <u>exactly one</u> such *Location*, the one pertaining to the *DataSeries* in this VTF file (and, therefore, no explicit (n)). However, we provide the option of giving a history of *GeoLocation* movements and remeasurements.

If more than one GeoLocation.Location is given, put last (the highest index so "most recent" values) the authoritative values applicable to this DataSeries and be sure its DateTime is consistent with the DateTime of this VTF file (ThisFile.Preparation.DateTime and ThisFile.MetadataValid).

### <u>Absolute</u> geographic position <u>of the proof mass</u>:

<pre>GeoLocation.Location[(m)].Latitude_dbl = <value> deg;</value></pre>	CONDITIONAL
Absolute, positive North, decimal degrees	• •
<pre>GeoLocation.Location[(m)].Longitude_dbl = <value> deg;</value></pre>	CONDITIONAL
Absolute, positive East, decimal degrees	• •
<pre>GeoLocation.Location[(m)].Elevation_dbl = <value> <units>;</units></value></pre>	CONDITIONAL
Elevation above datum (meters preferred).	• •
NOTE: While <b>Sensor Elevation</b> s have been routinely omitted from strong-motion site coordinates (these not generally being of interest to engineers), they <u>are</u> of interest to seismologists and some research engineers. <b>Elevation</b> should be given in all future data sets if at all possible.  See <b>ElevationOfGradeLevel</b> (and <b>NumberOfStories.[Above/Below]Grade</b> ) for all sensors located away from grade level.	
<pre>GeoLocation.Location[(m)].ElevationOfGradeLevel dbl = <value> <units>;</units></value></pre>	CONDITIONAL
Depth of proof mass below surface (meters preferred).	001/211101/112
REQUIRED if the sensor is more than one meter from grade level (as in a borehole or other underground location).  Also viable for above-grade installations, but these, in general, should be supplied by using relative coordinates.  This tag is the only way, other than Comments, to indicate a borehole or equivalent location. Use it with absolute or relative coordinates, or to give an explicit statement of grade-level elevation.	
<pre>GeoLocation.Location((m)).HorizontalDatum txt = "<value>";</value></pre>	Recommended
Table 3G, "Horizontal" or "Mixed" datum	
<pre>GeoLocation.Location[(m)].VerticalDatum txt = "<value>";</value></pre>	Recommended
Table 3G, "Vertical" or "Mixed" datum	

```
GeoLocation.Location(m)].HorizontalError dbl = <value> <units>;
                                                                                          Optional
GeoLocation.Location[(m)].VerticalError dbl = <value> <units>;
                                                                                          Optional
             | (km preferred for <units>)
            We note the possibility of representing an uncertainty
            ellipsoid and seek comment on this matter.
Relative geographic positions consist of an absolute reference point and offsets from there to the Sensors:
Array.Location[(m)].Latitude dbl = <value> deg;
                                                                                          CONDITIONAL
            | Absolute of reference, positive North, decimal degrees
Array.Location[(m)].Longitude dbl = <value> deg;
                                                                                          CONDITIONAL
            | Absolute of reference, positive East, decimal degrees
Array.Location[(m)].Elevation dbl = <value> <units>;
                                                                                        | CONDITIONAL
             | Absolute of reference, elevation above datum (meters
               preferred).
             In the case of ANY BOREHOLE or other underground sensors,
             you also must supply ElevationOfGradeLevel. You also may
            supply NumberOfStories.[Above/Below]Grade, defined below.
Array.Location[(m)].HorizontalDatum txt = "<value>";
                                                                                        | | Recommended
            || Table 3G, "Horizontal" or "Mixed" datum
Array.Location[(m)].VerticalDatum txt = "<value>";
                                                                                        | Recommended
            || Table 3G, "Vertical" or "Mixed" datum
Array.Location[(m)].HorizontalError dbl = <value> <units>;
                                                                                          Optional
Array.Location[(m)].VerticalError dbl = <value> <units>;
                                                                                         Optional
             | | (km preferred for <units>)
             We note the possibility of representing an uncertainty ellipsoid and seek comment on this matter.
```

```
GeoLocation.Location((m)).NorthOffset dbl = <value> <units>;
                                                                                       CONDITTONAL
GeoLocation.Location[(m)].EastOffset dbl = <value> <units>;
                                                                                       CONDITIONAL
GeoLocation.Location((m)).ElevationOffset dbl = <value> <units>;
                                                                                       CONDITIONAL
              North-, East-, and upward-positive offsets from the
              Array.Location..., the reference location, for use in
              Array-element positioning. (Meters are preferred
            || for all these units.)
GeoLocation.Location((m)).WhichStory dbl = <value>:
                                                                                    || Optional
            Relates to GeoLocation.Building.NumberOfStories....
              indicating at which story of the structure the
              Sensor is to be found. The grade-level floor is
              at story "0.0", upper floors have positive values,
              and basements have negative values. Use of " dbl"
              implies an option to specify partial stories, for
            | example, mid-column locations.
For all GeoLocations:
GeoLocation.Location((m)).Agency txt = "<from table 3E(b)>";
                                                                                    | REQUIRED
            | Attribution of the above GeoLocation coordinates
             (either relative or absolute). Owner (Agency) of
            set (m) of the GeoLocation.Location coordinates.
GeoLocation.Location((m)).DateTime txt =
                                                                                    || Optional
                                    "YYYY-MM-DD[ hh:mm:ss [Z | [+ | - ]hh[:mm]]]";
             | Either the date (± time) on which this Location was
            determined, or the date (± time) on which these data
            were obtained from the Agency. If not given, this
              DateTime may be assumed to be Processing.DateTime
              or ThisFile.Preparation.DateTime, that is, either
            | the authoritative creation date of this DataSeries or
              of this VTF file, with no clear preference.
```

<pre>Array.Location[(m)].Agency_txt = "<from 3e(b)="" table="">";</from></pre>	CONDITIONAL
Attribution for the above <b>Array</b> (reference) location.   Owner/provider of this set of coordinates. Often the   same as <b>GeoLocation.Location.Agency</b> , but REQUIRED	
Owner/provider of this set of coordinates. Often the	
same as <b>GeoLocation.Location.Agency</b> , but REQUIRED	
if an <b>Array.Location</b> is given.	
<pre>Array.Location[(m)].DateTime_txt = "YYYY-MM-DD hh:mm:ss[Z [+ -]hh[:mm]]";</pre>	Optional
Either the DateTime that this Location was determined,	
or the <b>DateTime</b> these data were obtained from the <b>Agency</b> . If not given, assumed to be <b>Processing.DateTime</b> , the	
If not given, assumed to be <b>Processing.DateTime</b> , the	
authoritative creation date of this <b>DataSeries</b> .	
<pre>Array.TotalRecorders_int = <value>;</value></pre>	Recommended
$\parallel$ Number of <u>recorders</u> in the recording system of this <b>Array.</b>	
<pre>Array.TotalChannels_int = <value>;</value></pre>	Recommended
$\mid\mid$ Total number of <u>channels</u> in the recording system of this	
Array. (Note also DAU. Total Channels, needed because	
there may be several <b>DAU</b> s in the <b>Array</b> . Similarly, the tags <b>Sensor.ArrayChannel</b> and <b>Sensor.DAUchannel</b> are used	
tags <b>Sensor.ArrayChannel</b> and <b>Sensor.DAUchannel</b> are used	
to identify this <b>DataSeries'</b> particular channel in the	
Array and DAU.)	

## **Table 3G. Geodetic Elevation Datums**

Type of datum	Name of datum
Horizontal	"NAD83"
	"NAD27"
	"NZGD49"
Mixed	"WGS72"
	"WGS84"
	"ITRF00"
Vertical	"GEOID99"
	"NAVD88"
	"NGVD29"
USER-EXTENSIBLE LIST (use	
established, terse,	,, ,,
clear, English names which must begin with	
"User's description: ")	

<u>This list needs to be expanded to include, at least,</u> the numerous datums used internationally, and perhaps those of historical interest. Full names and citations also would be useful additions.

Please use <u>direct messages to the authors</u> and/or the user-extensible-list option to help us make this list more complete. In particular, indicate the standard abbreviations and types of datums (horizontal, vertical, or both) for any or all of the following datums:

Adindan; Afgooye; Ain El\_Abd\_1970; Alaska\_(NAD-27); Alaska\_(Canada\_NAD-27; Anna\_1\_Astro\_1965; ARC-1950 mean; ARC-1960 mean; Ascension Island '58; Astro B4 Sor.Atoll; Astro Beacon "E"; Astro Pos 71/4; Astronomic Stn. '52; Australian Geodetic 1984; Bahamas (NAD-27); Bellevue (IGN); Bermuda\_1957; Bogota Observatory; Bukit Rimpah; Camp Area Astro; Campo Inchauspe; Canada Mean (NAD27); Canal\_Zone\_(NAD27); Canton\_Island\_1966; Cape; Cape\_Canaveral\_mean; Carribean\_(NAD27); Carthage; Corrego Alegre (Provisional); Central America (NAD27); Chua Astro; Corrego Alegre; Chatham 1971: Cuba (NAD27); Cyprus; Diakarta(Batavia); DOS 1968; Easter Island 1967; Egypt: European 1950; European 1950 mean; European 1979 mean; Finnish Nautical Chart; Gandajika Base; Geodetic Datum '49; Ghana; Greenland\_(NAD27); Guam\_1963; Gunung\_Segara; Gunung\_Serindung\_1962; GUX\_1\_Astro; Herat\_North; Hjorsey 1955; Hong Kong 1963; Hu-Tzu-Shan; Indian; Iran; Ireland 1965; ISTS 073 Astro '69; Johnston Island '61; Kandawala; Kerguelen Island; Kertau '48; L.C. 5 Astro; La Reunion; Liberia 1964; Luzon; Mahe 1971; Marco Astro; Merchich; Mexico (NAD27); Midway Astro '61; Mindanao; Masirah Is. (Nahrwan); Massawa; Montjong\_Lowe; Nahrwan; Naparima\_BWI; North\_America\_'83; North\_America\_1927\_mean; Observatorio\_1966; Old Egyptian; Old Hawaiian mean; Old Hawaiian Kauai; Old Hawaiian Maui; Old Hawaiian Oahu; Oman; Ordnance Survey of Great Britain '36; Pico De Las Nieves; Pitcairn Astro '67; Potsdam Rauenberg DHDN; Provisional\_South\_American\_1956\_mean; Provisional\_South\_Chilean\_1963; Puerto Rico; Pulkovo 1942; Oornog; Ouatar National; Rome 1940; S 42; S.E.Asia (Indian); SAD-69/Brazil; Santa Braz; Santo (DOS); Sapper Hill '43; Schwarzeck; Sicily; Sierra Leone 1960; South American 1969 mean; South Asia; Southeast Base; Southwest Base; Tananarive Observatory '25; Thai/Viet (Indian); Tokyo mean; Timbalai 1948: Tristan Astro 1968: Unites Arab Emirates (Nahrwan); Viti Levu 1916; Wake-Eniwetok '60; WGS-72; WGS-84; Yacare; and Zanderij, (Dana, 1997).

### Table 3H(a). Site Type and the Built Environment

Geologic, geophysical, and geotechnical considerations:

There may be more than one determination, (*m*), of the following values. Further, the sense of "Recommended" here is "strongly recommended" for at least some subset of these site-condition characterizations.

```
GeoLocation.Site.Vs[(m)].IntervalSvelocity_dbl = <value> <velocity units>;

GeoLocation.Site.Vs[(m)].FromDepth_dbl = <value> <distance units>;

GeoLocation.Site.Vs[(m)].ToDepth_dbl = <value> <distance units>;

GeoLocation.Site.Vs[(m)].Agency_txt = "<from table 3E(b)>";

GeoLocation.Site.Vs[(m)].DateTime_txt = "YYYY-MM-DD[ ...]";

GeoLocation.Site.VsSubscript_int = <points to preferred (m)>;

CONDITIONAL
Recommended
CONDITIONAL
```

The first three of these tags are REQUIRED when any of them is given; otherwise they are Recommended. The index (m) presumes there may be several measurements of **GeoLocation** for a site, often made by differing methods.

| IntervalSvelocity is the average  $V_s$  (m/s preferred) over | some depth interval indicated by FromDepth and ToDepth—the | shallower and greater depth (preferably in meters) over which | the average is taken. These values either were supplied by | the stated Agency at the stated date ( $\pm$  time), or created by | it on that date ( $\pm$  time).

For example, Vs30 would be represented by FromDepth\_db1 = 0 m; and ToDepth\_db1 = 30 m; with the Vs30 interval velocity given in IntervalSvelocity, generally in m/s.

If multiple values *(m)* are given above, then *VsSubscript* is REQUIRED and points to the values considered "preferred" for this *Sensor*.

```
GeoLocation.Site.Code((m)).Agency txt = "<from table 3E(b)>";
                                                                                   Recommended
             The organization or individual (Agency) that provides
            | this Site.Code.
GeoLocation.Site.Code[(m)].DateTime txt = "YYYY-MM-DD hh:mm:ss[Z|[+|-]hh[:mm]]";
                                                                                   | Optional
            || Either the date (± time) that this Site.Code was first used,
            | or the date (± time) the code was obtained from the Agency.
GeoLocation.Site.Code((m)).Citation txt = "<description of codes>";
                                                                                      Recommended
GeoLocation.Site.Code[(m)].URL txt = "<Web address>";
                                                                                      Recommended
             If possible, cite a description the type of the Site.Code
            || used here.
GeoLocation.Site.Code((m)).TextValue txt = "<the site-condition code>";
                                                                                    | Recommended
             Any site-condition code developed by any organization
              or individual. The values for Agency may be those listed
              in table 3E, or any other "owner" of these codes, but not
            | necessarily the organization that developed the code.
              For example, for NEHRP Vs30 site codes:
```

NEHRP Code	VS30 Range (m/s)
А	> 1500
В	760 to 1500
С	360 to 760
D	180 to 360
E	< 180

```
the values generally would be

GeoLocation.Site.Code(n).Agency_txt = "<DataSeries network code>";

GeoLocation.Site.Code(n).DateTime_txt = "<Year-Month-Day>";

GeoLocation.Site.Code(n).Citation_txt = "NEHRP (revised)";

GeoLocation.Site.Code(n).TextValue_txt = [ "A" | "B" | "C" | "D" | "E" ];

Other examples of codes are the GeoMatrix three-character code,

Campbell's GEOCODE, UBC site class codes (Wills and others, 2000,
and their subsequent work), and Bray and Rodriquez-Marek's (1997,
and their subsequent work) site codes. It is likely that there
are many others.
```

GeoLocation.Site.CodeSubscript_int = <points (m)="" preferred="" to="">;    If more than one site code is given here, indicate   which (m) is "preferred" above. REQUIRED if more   than one index is given, but may be NULL to indicate   "no stated preference" or "unknown".</points>	CONDITIONAL
<pre>GeoLocation.Site.IsoPleth.ShearVelocity_dbl = <velocity> <units>; GeoLocation.Site.IsoPleth.Depth_dbl = <depth> <units>;</units></depth></units></velocity></pre>	CONDITIONAL
<pre>GeoLocation.Site.Bedrock.Depth_dbl = <value> <units>; GeoLocation.Site.Bedrock.Comments_txt = "<comments name="" unit="" ±="">";</comments></units></value></pre>	Optional    Optional
<pre>GeoLocation.Site.Basin.Depth.Description_txt = [ "Shallow"   "Deep" ]; GeoLocation.Site.Basin.Depth.Value_dbl = <value> <units>; GeoLocation.Site.Basin.Depth.Error_dbl = <value> <units>; GeoLocation.Site.Basin.Name_txt = "<basin's name="">"; GeoLocation.Site.Basin.Citation_txt = "<citation>"; GeoLocation.Site.Basin.Comments_txt = "<comments>";</comments></citation></basin's></units></value></units></value></pre>	Optional    Optional    Optional    Optional    Optional    Optional

The depth, at the **Sensor**'s **GeoLocation**, of a sedimentary and/or volcaniclastic basin or any surface low-velocity unit having a similar effect on the data. When a numeric **DepthValue** is unknown but a general sense of the basin is available, **GeneralDepth** is this general assessment of **Basin** depth, for example, as used by **Bray and Rodriquez-Marek** (1997), who separate "Shallow" from "Deep" at 60-m depth. In contrast, **DepthValue** is an explicit depth estimate (for example, in meters). **Name**, **Citation**, and **Comments** identify the **Basin**, to which the information applies, as well as any present issues.

**CAUTION:** At some point, some sort of **BasinEdge** information also should be defined as for the above six classes, describing distances from the **GeoLocation** or the **Event.Hypocenter** to the edges of **Hypocenter** relevant **Basin**s, and perhaps the detailed geography of the **Basin**, but this is a complex and potentially expansive issue not yet addressed.

#### GeoLocation.Site.Geology[(m)] txt = "<value>";

Surface-unit geologic name (for example, "Qal"). While this is an important value, we note that it is widely believed to be an insufficient replacement for a GeoLocation.Site.Code.

If the Earth structure beneath the station is known or inferred, the user may list a layered medium by using (m), with (1) always being the surface layer.

#### GeoLocation.Site.SurfaceAge txt = "<value>";

|| Age or epoch of the surface geologic unit. For || example, "Holocene" or "8000 years".

| | Recommended

|| Optional

| Optional

```
GeoLocation.Site.Citation txt = "<Citation>";
                                                                                       Optional
GeoLocation.Site.URL txt = "<Web address>";
                                                                                      Optional
            | A citation or pointer to a provider's detailed site
              description (geology, geophysics, geotechnical,
              structures, and any other site information
              affecting response).
GeoLocation.Site.GeotechnicalFeature((m)).Identifier txt = "<value>";
                                                                                       Optional
GeoLocation.Site.GeotechnicalFeature((m)).Citation txt = "<value>";
                                                                                       Optional
GeoLocation.Site.GeotechnicalFeature((m)).URL txt = "<Web address>";
                                                                                       Optional
GeoLocation.Site.GeotechnicalFeature[(m)].Distance dbl = <value> <units>;
                                                                                       Optional
GeoLocation.Site.GeotechnicalFeature[(m)].Type txt = "<value>";
                                                                                       Optional
GeoLocation.Site.GeotechnicalFeature((m)).Distributor txt =
                                                [ "GVDC" | "<other value>" 1:
                                                                                      Optional
GeoLocation.Site.GeotechnicalFeature[(m)].Agency txt = "<value>";
                                                                                    || Optional
              The COSMOS Geotechnical Virtual Data Center (GVDC) will soon
              become a leading means of reaching large stores of detailed
              geotechnical data. The tags here anticipate the "unique
              Identifier" of features in that virtual data base.
              Additionally, these tags are general enough to reference
              geotechnical data from any source, either by using the
              Identifier, Citation, or URL, or by using data from an original
              source Agency often through a Distributor, such as the GVDC.
              These features are likely to include boreholes, CPT soundings,
              trench logs, SASW and other seismic profiles, and so forth.
              Distance provides the distance from the GeoLocation of this
              DataSeries measurement to the location of the geotechnical
              feature. Similarly, Type names the type of feature, preferably
              by using the names of GVDC's xml structures identifying the
              feature (for example, "hole" for boreholes).
```

The built environment *versus* reference and free field:

One set of the following CONDITIONAL tags is REQUIRED to properly describe the siting conditions of this individual *Sensor* at this specific *GeoLocation*.

We note that it might be thought that these should be *Array* tags, however, different *GeoLocations* within an *Array* of instruments can be structural, reference or free-field, and in different settings within a structure (abutment, mid-span, and so forth). For example, consider the San Francisco Bay Bridge, which has abutments on hard rock and floating in deep Bay mud, has reference sites in boreholes and on the surface of both environments, and has *Sensor*s in numerous settings along the 10-km-long structure, on steel or concrete, old and new.

Therefore, the description must be specific to each *GeoLocation* within the *Array*, specific to the <u>single</u> record represented in this file. While current tags do not capture the full complexity of possible descriptions, the way is opened for complete descriptions, and the process is at least brought to the point where distinctions can be made between reference sites and those on the structure.

Note that tags are grouped and a logical set of related tags is required to complete this section. If the *GeoLocation* is in reference of free-field conditions, then only the first tag is needed. If it is a built setting, one of the structure sets of tags is required.

Note that where an index (m) appears below, it is being used in most cases to indicate that some structure varies significantly from one area to another, or that there are several, adjacent or nearly adjacent structures, with these parts possibly performing differently or interacting in some way (for example, they may have contrasting mode periods, or there might be collisions between them, or the grouping creates a "many body" soil-structure interaction). In this case, it is preferable also to include the corresponding **TypeOfStructure>Subscript** tag to tell the user which description applies best to this specific **GeoLocation.Location** (coordinates).

Between the REQUIRED *GeoLocation.StructureInfluence*, and the set of CONDITIONAL tags (*NonStructuralDeploymentDescription*, *OtheStructure.Description*, *GeoLocation.Building....*, *GeoLocation.Bridge....*, and *GeoLocation.Dam....*) at least a basic description of *Sensor* siting conditions is REQUIRED (a sufficient set of these tags).

One tag that applies to <u>all GeoLocations</u>, all Sensors:

```
GeoLocation.StructureInfluence_txt =

[ "Free field" | "Reference" | "In or on structure" ]; | REQUIRED

| Select which of the three strings best describes the degree to
| which the built-environment (structures) impact the response of
| this Sensor—in effect, no influence, possibly some influence,
| or significant influence. Please give preference to using the
| COSMOS/ANSS standards in making this distinction.

Descriptors that apply to all non-structural GeoLocations and Sensors:
```

Descriptors that apply to all <u>structural</u> *GeoLocations* and *Sensors*:

```
GeoLocation.StructurePedigree.DateApplied.DateTime txt = "YYYY-MM-DD[ ...]";
                                                                                  || Optional
GeoLocation.StructurePedigree.DateApplied.TimeError dbl =
                                          <value> [ years | months | days | ... ];
                                                                                      Optional
GeoLocation.StructurePedigree.DesignCodeUsed[(m)] txt = "<Building Code(s) Used>"; | Optional
              The estimated or actual DateTime of design or construction,
              generally to the nearest year only, thus specifying the year
            | controlling structural qualities. That is, the inception,
            completion, or other controlling date (± time), of the
            | structure and the uncertainty in this design-controlling
            DateTime.
            Also, the building code or codes (m) under which this
             structure was designed and built. For example, "2006 IBC".
Descriptors that apply only to <u>buildings</u>:
GeoLocation.Building[(m)].StructuralSystem[(n)] txt = "<Terse Description>";
                                                                                   | CONDITIONAL
              Recommended when the GeoLocation is in or on a building.
              Use one or more descriptions from table 3H(c).
             (If a code from table 3H(e or f) is desired, instead use
            GeoLocation.Building.HAZUSoccupancyClass and/or
            GeoLocation.Building.HAZUSbuildingType.)
            Use tags enumerated elsewhere below when the structure
              is not a building (is a Bridge, Dam, or OtherStructure).
              It is assumed that all (n) pertain equally.
GeoLocation.Building[(m)].HAZUSbuildingType txt = "<From table 3H(e)>";
                                                                                    || Optional
GeoLocation.Building[(m)].HAZUSoccupancyClass txt = "<From table 3H(f)>";
                                                                                    | Optional
            | Two HAZUS codes indicating the type of building, and its
            occupancy pattern. These tags may be used in place of or
            in addition to other descriptors.
```

<pre>GeoLocation.Building[(m)].NumberOfStories.AboveGrade_int = <value>; GeoLocation.Building[(m)].NumberOfStories.BelowGrade_int = <value>;</value></value></pre>	CONDITIONAL
Note the relationship to <b>GeoLocation.Location.WhichStory</b> , and with <b>ElevationOfGradeLevel</b> .	
<pre>GeoLocation.Building[(m)].Height_txt = "[ Low   Medium   High ] Rise";</pre>	CONDITIONAL
CSMIP usage is  "L" = "Low Rise" = 1 to 3 stories  "M" = "Medium Rise" = 4 to 7 stories  "H" = "High Rise" = 8 or more stories.  and is a recommended usage.	
Exactly one <b>GeoLocation.Building.NumberOfStories</b> or <b>GeoLocation.Building.Height</b> is REQUIRED if the <b>GeoLocation</b> is in or on a building.	
GeoLocation.Building[(m)].Description_txt = " <terse description="">";    A brief description of an instrumented <u>building</u> not   elsewhere described or describable. If you need   more than one line of text, use <b>Subscript</b>s (m), or   put the entire description into one long string with   "lines" separated by the character string "/\n/".</terse>	CONDITIONAL
GeoLocation.BuildingSubscript_int = <value (m)="" is="" of="" sensor="" this="" where="">;     If there are more than one (m) above, then this tag is    REQUIRED and points to the GeoLocation.Building[(m)]    pertaining specifically to this Sensor.</value>	CONDITIONAL

#### Descriptors that apply only to bridges:

```
GeoLocation.Bridge[(m)].Description txt = "<Terse English Description>";
                                                                                    | CONDITIONAL
              Brief description of an instrumented bridge not
               elsewhere described or describable. If the Bridge
              has more than one section, use Subscript (m).
              For multiple lines in one description use one, long string
            || with "lines" separated by the character string "/ n".
GeoLocation.Bridge[(m)].HAZUSoccupancyClass txt = "<From table 3H(f)>";
                                                                                    || Optional
              A HAZUS code indicating the occupancy pattern of this
              bridge. Tag may be given in place of or in addition to
              other descriptors.
              We note that HAZUS does not currently list bridge-
              occupancy descriptors for vehicular lifelines so
            | this is a placeholder at present.
GeoLocation.Bridge[(m)].SubstructureMaterial txt = "<from table 3H(d)>";
                                                                                       CONDITIONAL
GeoLocation.Bridge[(m)].SuperstructureConfiguration txt = "<from table 3H(d)>";
                                                                                       CONDITIONAL
GeoLocation.Bridge[(m)].NumberOfSpans txt = "<from table 3H(d)>";
                                                                                       CONDITIONAL
GeoLocation.Bridge[(m)].AbutmentType txt = "<from table 3H(d)>";
                                                                                       CONDITIONAL
GeoLocation.Bridge[(m)].SpanContinuity txt = "<from table 3H(d)>";
                                                                                       CONDITIONAL
GeoLocation.Bridge[(m)].ColumnsPerBent txt = "<from table 3H(d)>";
                                                                                       CONDITIONAL
            || If the GeoLocation structure type is a bridge and the
            | information is available, then use these six values
            | | preferentially to classify the structure according to the
            | descriptive vectors of Basöz and Kiremidjian (1995, 1996).
            | (If there are strongly contrasting sections of the bridge,
            | these may be enumerated by Subscripting (m), as with the
            | East and West sections of the San Francisco Bay Bridge.)
             The following are the equivalent vector-element names of
              Basöz and Kiremidjian (1996):
```

yln: GeoLocation.Bridge.SubstructureMaterial y2n: GeoLocation.Bridge.SuperstructureConfiguration y31: GeoLocation.Bridge.NumberOfSpans y32: GeoLocation.Bridge.AbutmentType y33: GeoLocation.Bridge.SpanContinuity y34: GeoLocation.Bridge.ColumnsPerBent If any one is given, the first four (for single-span bridges), or all six (for multiple-span bridges), should be included. However, for situations where not all are available, subsets are allowed and may be mixed with GeoLocation.Bridge.Description. Note that a few combinations of SubstructureMaterial and SuperstructureConfiguration are believed not to exist, at least in California (see reference). Weighted combinations of values also are possible, but are not treated here (yet) except by the option to use **Subscript**ing (m) to describe separately several major sections of the bridge. Possible values are listed in table 3H(d). GeoLocation.BridgeSubscript int = <value of (m) where this Sensor is>; | CONDITIONAL | If there are more than one (m) above, then this tag is | REQUIRED and points to the GeoLocation.Bridge(m)... that | pertains specifically to this GeoLocation, this Sensor. Descriptors that apply only to dams: GeoLocation.Dam[(m)].Description txt = "<Terse Description>"; CONDITIONAL | Brief description of the dam from table 3H(c), or a brief description of an instrumented dam not elsewhere described or describable. If there is more that one, structurally | unique section of the Dam, use Subscripts (m) to indicate | these different parts. For a particular section of the Dam needing multiple descriptors put it all into one string with "lines" separated by the character string " $/\n$ ".

Noting that the descriptions in table 3H(b) are very general, we seek a more detailed description of *Dams*. This descriptive technique should be clear, concise, fairly complete, and have at least some degree of acceptance by, and standardization within, the engineering community. Please direct suggestions to the authors.

```
GeoLocation.DamSubscript int = <value of (m) where this Sensor is>;
                                                                                    | | CONDITIONAL
             If there are more than one (m) in what follows, this tag is
            REQUIRED and points to the GeoLocation.Dam(m) pertaining
            specifically to this GeoLocation, this Sensor.
Descriptors that apply to any structure not provided for elsewhere above:
GeoLocation.OtherStructure[(m)].Description txt = "<Terse Description>";
                                                                                    | CONDITIONAL
            | A brief description of an instrumented structure not
              elsewhere described (the entries near the bottom of
              table 3H(c), which is a USER-EXTENSIBLE list).
             If there is more than one contrasting section of the
              structure, use Subscripts, (m).
            | For any particular section of the structure put it all
              into one long string with "lines" separated by the
            | | | character string "/ n / ".
GeoLocation.OtherStructure((m)).HAZUSoccupancyClass txt = "<From table 3H(f)>";
                                                                                    | Optional
            | A HAZUS code indicating the occupancy pattern of the
              structure. May be given in place of, or in addition
            | to, other descriptors.
GeoLocation.OtherStructureSubscript int = <value of (m) where this Sensor is>;
                                                                                    | CONDITIONAL
             If there are more than one (m), more than one contrasting
            section of the structure, then this tag is REQUIRED and
            points to the (m) pertaining specifically to this Sensor.
```

## Table 3H(b). Siting Conditions, Reference, and Free Field

Name	Description [or additional information]		
Free field, ground response, or reference sites			
(GeoLocation.NonStructuralDeploymentDescription(m)):			
"Small fiberglass shelter"	Small, prefabricated, fiberglass shelter (typically 1-2 m on each dimension).		
"Small metal shelter"	Small, prefabricated, metal building (typically 1-2 m on each dimension).		
"Small wooden shelter"	Small, prefabricated or place-built, wood or wood- frame structure (typically 1-2 m on each dimension).		
"Shallow"	Sensors buried/set in ground (shallow, near surface).		
Nota Bene	In the case of reference sites in light or mid-weight buildings, use the apropos "Building Type" listing below, but append the text "\n REFERENCE" in capitals to any other descriptors.		
"Other Free Field"	Unspecified or unknown free-field site.		
"Other Reference"	Unspecified or unknown reference site.		
Array types (GeoLocation.NonStructuralDeplo	<pre>ymentDescription(m)):</pre>		
"Geotechnical Array"	Geotechnical Array		
"Dense Array"	Array with mean spacing of 1.0 to 3.0 km		
"Very Dense Array"	Array with mean spacing of 0.3 to 1.0 km		
"Ultra-dense Array"	Array with mean spacing of <0.3 km		
"Other Array"	Unspecified Array		
If all else fails:			
""	USER-EXTENSIBLE LIST (use established, terse, clear, English names or phrases OR tersely cite a paper which <u>must</u> begin with "User's description: "). Try to keep well below 60 characters.		

# Table 3H(c). Built Environment Values (CSMIP)

Building structural system (GeoLocation.Building.StructuralSystem(m))	Append one of these Building Heights **
"Unreinforced Masonry Bearing Wall"	
"Unreinforced Masonry with Load Bearing Frame"	
"Non-ductile Concrete Moment Frame"	
"Concrete Tilt-up"	
"Reinforced Masonry Wall without Moment Frame"	
"Concrete Shear Wall without Moment Frame"	
"Reinforced Masonry Shear Wall without Moment Frame"	
"Concrete Shear Wall and Moment Frame"	
"Steel Braced Concrete Frame"	
"Precast Concrete (Not Tilt-up)"	(This appended text value is now replaced
"Precast Reinforced Concrete (Not Tilt-up)"	by GeoLocation.Building.Height)
"Braced Steel Frame"	
"Reinforced Masonry Shear Wall and Moment Frame"	
"Ductile Concrete Moment Frame"	
"Moment Resisting Perimeter Steel Frame"	
"Wood Frame"	
"Base Isolated"	
"Hospitals since 1989 (OSHPD)"	
"Retrofitted"	
"Other Building Type"	

Dams (GeoLocation.Dam.Description):	
"Concrete Gravity Dam"	Concrete gravity dam
"Concrete Arch Dam"	Concrete arch dam
"Engineered Fill Dam"	Engineered fill dam
"Hydraulic Fill Dam"	Hydraulic fill dam
"Unknown-Fill Dam"	An earth-fill dam of uncertain type
"Small Unengineered Dam"	Small unengineered dam (for example, cattle pond)
"Rock Dam"	Rock dam with impervious core
"Other Dam"	Unspecified dam
Other Structures (GeoLocation.OtherStructure.Descripts	on(m) or
GeoLocation.Building.StructuralSystem(m)):	
"Parking Structure"	Parking structure
"Multistory Mall"	Multistory mall
"Stadium"	Sports or performance open-air structure
"Other Building"	Unspecified building
"Other Structure"	Unspecified structure
<u>"</u> "	USER-EXTENSIBLE LIST (give a terse, clear, English description, which must begin with "User's description: ").

<sup>\*\*</sup>For the CSMIP building-type listing, the appended notations: "L" = Low Rise (1 to 3 stories); "M" = Medium Rise (4 to 7 stories); "H" = High Rise (8 or more stories) is; "OSHPD" = Office of Statewide Health Planning and Development. (Shakal and others 2002).

NOTE: The specific (maximum) number of a building's stories above and/or below grade may be given explicitly in *GeoLocation.Building.NumberOfStories*, or as a general range in *GeoLocation.Building.Height*.

# Table 3H(d). Siting Conditions, Bridges

Bridge values (Basöz and Kiremidjian, 1995,	1996):
"Concrete"	y11, GeoLocation.Bridge.SubstructureMaterial
"Steel"	y12, GeoLocation.Bridge.SubstructureMaterial
"Timber"	y13, GeoLocation.Bridge.SubstructureMaterial
"Masonry"	y14, GeoLocation.Bridge.SubstructureMaterial
"Concrete Girder"	y21, GeoLocation.Bridge.SuperstructureConfiguration
"Steel Girder"	y22, GeoLocation.Bridge.SuperstructureConfiguration
"Steel Truss"	y23, GeoLocation.Bridge.SuperstructureConfiguration
"Suspension"	y24, GeoLocation.Bridge.SuperstructureConfiguration
"Arch"	y25, GeoLocation.Bridge.SuperstructureConfiguration
"Single"	
"Multiple"	y31, GeoLocation.Bridge.NumberOfSpans
" <number>"</number>	
"Monolithic"	w22 Coolegation Buidge Abutmont/Mune
"Not Monolithic"	y32, GeoLocation.Bridge.AbutmentType
"Continuous"	y33, GeoLocation.Bridge.SpanContinuity
"Discontinuous"	yss, Geolocation.Bildge.Spancontinuity
"Single"	
"Multiple"	y34, GeoLocation.Bridge.ColumnsPerBent
" <number>"</number>	
Bridge values for GeoLocation.Bridge.Descri	ption only
"Other Bridge"	Unspecified bridge

## Table 3H(e). Site Type and Built Environment Values—HAZUS Codes

<b>37</b> -	HAZUS		Heigl	Height		
No	building	Description	Ran	ge	Typic	cal
•	type		Name	Stories	Stories	Feet
1	W1	Wood, Light Frame (≤5,000 sq. ft.)		1 - 2	1	14
2	W2	Wood, Commercial and Industrial (>5,000 sq. ft.)		All	2	24
3	S1L		Low-Rise	1 - 3	2	24
4	S1M	Steel Moment Frame	Mid-Rise	4 — 7	5	60
5	S1H	Steel Moment Flame	High- Rise	8+	13	156
6	S2L	Steel Braced Frame	Low-Rise	1 - 3	2	24
7	S2M		Mid-Rise	4 — 7	5	60
8	S2H		High- Rise	8+	13	156
9	<b>S</b> 3	Steel Light Frame		All	1	15
10	S4L		Low-Rise	1 - 3	2	24
11	S4M	Steel Frame with Cast-in-Place	Mid-Rise	4 - 7	5	60
12	S4H	Concrete Shear Walls	High- Rise	8+	13	156
13	S5L		Low-Rise	1 - 3	2	24
14	S5M	Steel Frame with Unreinforced	Mid-Rise	4 — 7	5	60
15	S5H	Masonry Infill Walls	High- Rise	8+	13	156
16	C1L		Low-Rise	1 - 3	2	20
17	C1M	Concrete Moment Frame	Mid-Rise	4 - 7	5	50
18	С1Н		High- Rise	8+	12	120

19	C2L	Concrete Shear Walls	Low-Rise	1 - 3	2	20
20	C2M		Mid-Rise	4 - 7	5	50
21	С2Н	concrete bhear warrs	High- Rise	8+	12	120
22	C3L		Low-Rise	1 - 3	2	20
23	C3M	Concrete Frame with Unreinforced	Mid-Rise	4 - 7	5	50
24	СЗН	Masonry Infill Walls	High- Rise	8+	12	120
25	PC1	Precast Concrete Tilt-Up Walls		All	1	15
26	PC2L		Low-Rise	1 - 3	2	20
27	PC2M	Precast Concrete Frames with Concrete Shear Walls	Mid-Rise	4 — 7	5	50
28	PC2H		High- Rise	8+	12	120
29	RM1L	Reinforced Masonry Bearing Walls with	Low-Rise	1 - 3	2	20
30	RM1M	Wood or Metal Deck Diaphragms	Mid-Rise	4+	5	50
31	RM2L		Low-Rise	1 - 3	2	20
32	RM2M	Reinforced Masonry Bearing Walls	Mid-Rise	4 - 7	5	50
33	RM2H	with Precast Concrete Diaphragms	High- Rise	8+	12	120
34	URML	Unreinforced Masonry Bearing Walls	Low-Rise	1 - 2	1	15
35	URMM	onieinioiced masonry Bearing walls	Mid-Rise	3+	3	35
36	МН	Mobile Homes		All	1	10

## Table 3H(f). Occupancy Classes—HAZUS Codes

HAZUS occupancy class	Description
AGR1	Agriculture Escilities and Offices
110111	Agriculture Facilities and Offices
COM1	Retail Trade
COM2	Wholesale Trade
COM3	Personal and Repair Services
COM4	Professional/Technical Services
COM5	Banks
COM6	Hospital
COM7	Medical Office and Clinic
COM8	Entertainment & Recreation
COM9	Theaters
COM10	Parking Garages
EDU1	Grade Schools and Admin. Offices
EDU2	Colleges and Universities
GOV1	Government - General Services
GOV2	Government - Emergency Response
IND1	Heavy Industrial
IND2	Light Industrial
IND3	Food/Drugs/Chemicals
IND4	Metals/Minerals Processing
IND5	High Technology
IND6	Construction Facilities and Offices
REL1	Churches and Non-Profit Organizations

RES1	Single Family Dwellings
RES2	Manufactured Housing
RES3A	Duplex
RES3B	3 to 4 Units
RES3C	5 to 9 Units
RES3D	10 to 19 Units
RES3E	20 to 49 Units
RES3F	At lease 50 Units
RES4	Temporary Lodging
RES5	Institutional Dormitories
RES6	Nursing Homes
UNK	Unknown

NIBS (2002) and California Governor's Office of Emergency Services (2004).

## Table 3I. Event (Earthquake) Information

CAUTION: There are two forms of *Subscript*ing for most *Event* tags, each with a distinct, specific use and purpose:

**Subscript**ing certainly may be used to show **Event...** solutions from multiple institutions (which we recommend), or to show a history of solutions from one institution (which we do not recommended). The form of that **Subscript**ing is "**Event.Hypocenter(n)...**".

Similarly, a *Subscript* may be used when a record contains the traces of more than one *Event*, as may happen in very active aftershock and swarm sequences. This form of that *Subscript*ing, in contrast, is "*Event(m)*...". This is a form of *Subscript*ing that should never be used for any other purpose or confusion may result about what information goes with what event on a multiple-*Event* record.

If any of the *Event.Hypocenter....* tags are given, then all marked CONDITIONAL are REQUIRED:

```
Event[(m)].CommonName txt = "<value>";
                                                                                   | Optional
            | A common name for this earthquake, such as "1994 Northridge".
Event[(m)].Hypocenter[(n)].Agency txt = "<value>";
                                                                                    CONDITIONAL
            Originating provider (Agency from table 3E). This Agency
              applies to the next 11 tags. If an Event.Hypocenter is
            provided, this tag is REQUIRED.
Event((m)).Hypocenter((n)).Identifier txt = "<Agency's Identifier>";
                                                                                   | Recommended
            | (Unique) identifying string issued by the Agency
              issuing the Event information. for example, "nc51153328",
              or "[Test] Record of ..."
Event[(m)].Hypocenter[(n)].DateTime txt =
                                   "YYYY-MM-DD hh:mm:ss.s[ss][Z|[+|-]hh[:mm]]";
                                                                                   | Recommended
             DateTime is either the date of computation or the date
            these data were obtained from the Agency. It is not the
            origin time of the Event, which is the next tag:
Event[(m)].Hypocenter[(n)].OriginTime.DateTime txt =
                                   "YYYY-MM-DD hh:mm:ss.s[ss][Z|[+|-]hh[:mm]]";
                                                                                   | CONDITIONAL
              ISO DateTime of Event origin time. Do not use
            Event. Hypocenter. Date Time for origin times.
Event[(m)].Hypocenter[(n)].OriginTime.TimeError dbl = <value> s;
                                                                                   || Optional
            || Estimated two-sigma error
Event[(m)].Hypocenter[(n)].Latitude dbl = <value> deg;
                                                                                   | CONDITIONAL
            | Positive North, decimal degrees
Event[(m)].Hypocenter[(n)].Longitude dbl = <value> deg;
                                                                                   | CONDITIONAL
            | Positive East, decimal degrees
Event((m)).Hypocenter((n)).Depth dbl = <value> <units>;
                                                                                   | CONDITIONAL
            | Depth below datum (km preferred)
Event[(m)].Hypocenter[(n)].HorizontalDatum txt = "<from table 3G>";
                                                                                   | Optional
            | "Horizontal" or "Mixed" datum (rarely known)
Event[(m)].Hypocenter[(n)].VerticalDatum txt = "<value table 3G>";
                                                                                   | Optional
            | "Vertical" or "Mixed" datum (rarely known)
```

```
Event[(m)].Hypocenter[(n)].HorizontalError dbl = <value> <units>;
                                                                                      Optional
Event[(m)].Hypocenter[(n)].VerticalError dbl = <value> <units>;
                                                                                      Optional
            | | (km is the preferred for <units>)
              We note the possibility of representing a full uncertainty
              ellipsoid and seek comment on this matter. For now, these
            tags describe only an error spheroid.
Event[(m)].Hypocenter[(n)].URL txt = "<Web address>";
                                                                                      Optional
Event((m)).Hypocenter((n)).Citation txt = "<Citation>";
                                                                                      Optional
Event[(m)].Hypocenter[(n)].Comments txt = "<Comments>";
                                                                                      Optional
             Any Comments or Web site URL regarding these Event data.
            If in Comments you need more than one line of text for a
            particular (n), put them all into one, long string with
             "lines" separated by the character string "/\n/".
```

*Magnitudes*, moment tensors, focal solutions, and ShakeMap tags may or may not be from the same *Agency* as the rest of the *Event* information, so those *Agencies* must be identified separately.

```
Event[(m)].Magnitude[(n)].Type txt =
                  [ "Mw" | "MS" | "mb" | "MD" | "ML" | "Me" | "Mn" | ... ];
                                                                                       CONDITIONAL
Event[(m)].Magnitude[(n)].Value dbl = <value>;
                                                                                       CONDITIONAL
Event((m)).Magnitude((n)).ValueError dbl = "<value>";
                                                                                       Optional
Event[(m)].Magnitude[(n)].Agency txt = "<from table 3E(b)>";
                                                                                       CONDITIONAL
Event((m)).Magnitude((n)).DateTime txt = "YYYY-MM-DD( ...]";
                                                                                       CONDITIONAL
Event[(m)].Magnitude[(n)].NumberOfStationsUsed int = <value>;
                                                                                       Optional
Event((m)).Magnitude((n)).URL txt = "<Web address>";
                                                                                       Optional
Event((m)).Magnitude((n)).Citation txt = "<Citation>";
                                                                                       Optional
Event((m)).Magnitude((n)).Comments txt = "<Comments>";
                                                                                       Optional
              The CONDITIONAL Event.Magnitude tags above are all REQUIRED
              if any of them is given.
            ValueError is optional and is the accuracy of Value. (The
            DateTime is either the date (± time) when the Agency computed
            this magnitude, or the date (± time) when these data were
            | obtained from the named Agency. Omit if unavailable.)
```

The strings "Mw", "MS", "mb", "MD", "ML", "Me", and "Mn" mean, respectively: the Moment Magnitude (Hanks and Kanamori, 1979); the teleseismic 20-s surface-wave Magnitude (Gutenberg and Richter, 1956); the teleseismic body-wave Magnitude (IASPEI, 2005, formula); the local-Event coda-duration Magnitude; any equivalent of the Wood-Anderson local-Event Richter Magnitude (Richter, 1935); the energy Magnitude (Choy and Boatwright, 1995); and the Nuttli Magnitude (Nuttli, 1963).

This is a USER-EXTENSIBLE LIST. Any user-defined magnitude name <u>must</u> begin with "User's own: " and end with the short name of the user's magnitude method. For example, one could name a magnitude "Mx" and thus indicate "User's own: Mx".

**Event.Magnitude.NumerOfStationsUsed** is the number of stations contributing to the computation of this **Magnitude**.

URL is Recommended for alternately defined magnitudes, "Other",
and for any "Mw" defined in a manner significantly different
from that used by the USGS. The USGS equation is an IASPEIrecommended modification of the method of Hanks and Kanamori
(1979; equation (7)) (which rearrangement makes a difference
of only about 0.03 units):

Mw=(2/3)(log(Mo)-16.1),

where Mo is in units of dyne-cm. Since there is such a small difference from the original Hanks and Kanamori equation (7), that equation also may be used for "Mw" without giving a **URL**:

 $Mw = (2/3) \log(Mo) - 10.7$ .

In any case, this **URL** should point to a complete definition of the magnitude indicated as "Other" or "Mw", including the computational method used.

```
|| If, in Comments, you need more than one line of text
|| for a particular Event(m), or a particular solution for
|| Magnitude(n), put it all into one string with "lines"
|| separated by the character string "/\n/".
```

Note that *Moment* is different from a Moment *Magnitude*, though they are related, of course.

```
Event[(m)].Moment.Value dbl = <value> < Nm | dyne-cm >;
                                                                                      Optional
Event[(m)].Moment.Agency txt = "";
                                                                                      CONDITIONAL
Event[(m)].Moment.DateTime txt = "YYYY-MM-DD[ hh:mm:ss[Z|[+|-]hh[:mm]]]";
                                                                                      CONDITIONAL
Event[(m)].Moment.URL txt = "<Web address>";
                                                                                      Optional
Event((m)).Moment.Citation txt = "<Citation>";
                                                                                      Optional
Event[(m)].Moment.Comments txt = "<Comments>";
                                                                                      Optional
            DateTime is either the date (± time) of computation or
            when these data were obtained from the named Agency.
            In some cases, the Moment will need an explanation,
              Comments. URL points to some reference.
Event((m)).FaultRupture((n)).Length dbl = <value> <units>;
                                                                                      Optional
Event[(m)].FaultRupture[(n)].Width dbl = <value> <units>;
                                                                                      Optional
Event[(m)].FaultRupture[(n)].SurfaceArea dbl = <value> <units>;
                                                                                      Optional
Event((m)).FaultRupture((n)).MeanSlip dbl = <value> <units>;
                                                                                      Optional
Event((m)).FaultRupture((n)).BestSlip dbl = <value> <units>;
                                                                                      Optional
Event[(m)].FaultRupture[(n)].RiseTime dbl = <value> s;
                                                                                      Optional
Event[(m)].FaultRupture[(n)].SlipVelocity dbl = <value> <units>;
                                                                                      Optional
Event[(m)].FaultRupture[(n)].StaticStressDrop dbl = <value> <units>;
                                                                                      Optional
Event[(m)].FaultRupture[(n)].Mach dbl = <value> <units>;
                                                                                      Optional
Event((m)).FaultRupture((n)).ShallowestAsperityDepth dbl = <value> <units>;
                                                                                      Optional
Event[(m)].FaultRupture[(n)].FaultName[(i)] txt = "<name>";
                                                                                      Optional
Event[(m)].FaultRupture[(n)].Agency txt = "";
                                                                                      CONDITIONAL
Event((m)).FaultRupture((n)).DateTime txt =
                                   "YYYY-MM-DD[ hh:mm:ss[Z|[+|-]hh[:mm]]]";
                                                                                   | CONDITIONAL
```

```
Event[(m)].FaultRupture[(n)].URL txt = "<Web address>";
                                                                                         Optional
Event[(m)].FaultRupture[(n)].Citation txt = "<Citation>";
                                                                                         Optional
Event[(m)].FaultRupture[(n)].Comments txt = "<Comments>";
                                                                                         Optional
               Various measures or models of average rupture behavior for
               rupture model (n).
               MeanSlip might be estimated from Moment. Value in units of
               dyne-cm and FaultRupture.SurfaceArea in units of km^2 as
                    MeanSlip = Moment. Value / (3.58*10^{11} * SurfaceArea * 10^{10}).
               Similarly, StaticStressDrop in bars might be estimated from
               Moment. Value and SurfaceArea as
                    (7/16) * Moment. Value / (SurfaceArea * 10^{10} / \pi)<sup>1.5</sup> / 10^{6}.
               Mach is the ratio of rupture velocity to S-wave velocity.
              For example, FaultName might be "San Andreas fault". It is
               the fault on which the rupture is believed to have occurred.
               The (i) is for situations like the 1992 Landers earthquake,
               where the rupture subsumes faults with several names.
If an Event,MomentTensor is specified, all the CONDITIONAL tags below are REQUIRED:
Event[(m)].MomentTensor[(n)].Agency txt = "";
                                                                                         CONDITIONAL
Event((m)).MomentTensor((n)).DateTime txt =
                                     "YYYY-MM-DD[ hh:mm:ss[Z|[+|-]hh[:mm]]]";
                                                                                       | CONDITIONAL
            | | DateTime is either the date (± time) of computation or
            when these data were obtained from the named Agency.
```

```
Event[(m)].MomentTensor[(n)].CoordinateSystem txt =
                                         <"[U|D][N|S][E|W]" in (x,y,z) order>;
                                                                                   | CONDITIONAL
             These are the directions of the positive axes. The most common
              coordinate system is that of Aki and Richards (1980), that is,
              CoordinateSystem "NED".
              However, Harvard moment tensors are CoordinateSystem "USE".
Event[(m)].MomentTensor[(n)].M(i) cpx = <value> [ Nm | dyne-cm ];
                                                                                   | CONDITIONAL
              These are the six values of a symmetric moment tensor in
              Newton-meters or dyne-centimeters (n=1,2,3,4,5,6). They
              are given as a vector in this order only:
                 1 2 3 |
            Again, while the above is the most common in numerical
              processing, Harvard does it differently:
                 | 1 6 5 |
```

```
Therefore, the Agency producing this VTF file is responsible
              for rearranging the Harvard (or any other atypical) order into
              the order listed first above when storing such moment tensors
            in a VTF file.
                                                                                      Optional
Event[(m)].MomentTensor[(n)].URL txt = "<Web address>";
Event[(m)].MomentTensor[(n)].Citation txt = "<Citation>";
                                                                                      Optional
Event((m)).MomentTensor((n)).Comments txt = "<Comments>";
                                                                                      Optional
            | If you need more than one line of text in Comments,
            | use one long string with the "lines" separated by
            | the character string "/\n".
Event((m)).FocalSolution((n)).Agency txt = "";
                                                                                      CONDITIONAL
Event((m)).FocalSolution((n)).DateTime txt =
                                   "YYYY-MM-DD[ hh:mm:ss [Z|[+|-]hh[:mm]]]";
                                                                                      CONDITIONAL
Event((m)).FocalSolution((n)).Strike dbl = <value> deg;
                                                                                      CONDITIONAL
Event[(m)].FocalSolution[(n)].Dip dbl = <value> deg;
                                                                                      CONDITIONAL
Event((m)).FocalSolution((n)).Rake dbl = <value> deg;
                                                                                      CONDITIONAL
Event((m)).FocalSolution((n)).Mechanism txt = "<Use NGA definitions>";
                                                                                      Optional
Event((m)).FocalSolution((n)).PaxisPlunge dbl = <value> deg:
                                                                                      Optional
Event((m)).FocalSolution((n)).PaxisTrend dbl = <value> deg;
                                                                                      Optional
Event((m)).FocalSolution((n)).TaxisPlunge dbl = <value> deg;
                                                                                      Optional
Event[(m)].FocalSolution[(n)].TaxisTrend dbl = <value> deg;
                                                                                      Optional
Event((m)).FocalSolution((n)).URL txt = "<Web address>";
                                                                                      Optional
Event((m)).FocalSolution((n)).Citation txt = "<Citation>";
                                                                                      Optional
Event[(m)].FocalSolution[(n)].Comments txt = "<Comments>";
                                                                                    Optional
            | All CONDITIONAL FocalSolution tags are REQUIRED if any of
              them is given. As a group they are Optional as are those
              labeled Optional.
             DateTime is either the date (± time) of computation or when
            these data were obtained from the named Agency.
```

| Strike is 0-360 degrees clockwise from North, Dip is 0-90° | down to the right when facing in the Strike direction (thus, the hanging wall is on the viewer's right), and Rake is rupture motion 0-360 degrees counterclockwise from the Strike direction when viewed from the hanging wall side).

These are the same definitions used by Aki and Richards (1980), p.106.

**MeanSlip** is the average amount of slip over the entire rupture surface, for example in cm.

Mechanism is the NGA-style summary of the faulting mechanism:

Value	Rake Angles
	-180 < <b>Rake</b> < -150
"Strike Slip"	-30 < <b>Rake</b> < 30
	150 < <b>Rake</b> < 180
"Normal"	-120 < <b>Rake</b> < -60
"Reverse"	60 < <b>Rake</b> < 120
"Reverse-Oblique"	30 < <b>Rake</b> < 60
Reverse-Oblique	120 < <b>Rake</b> < 150
"Normal-Oblique"	-150 < <b>Rake</b> < -120
Normar-obitque	-60 < <b>Rake</b> < -30

The four tags *PaxisPlunge*, *PaxisTrend*, *TaxisPlunge*, and *TaxisTrend* may be used to describe inferred orientations of the maximum, "P", and minimum, "T", axes of the compressive principal stresses.

For *Comments*, If multiple "lines" of text are needed for a particular (n), join them into a single text string, with the line breaks indicated by the character string " $/\n/$ ".

```
Event[(m)].ShakeMap[(n)].Agency txt = "";
                                                                                      CONDITTONAL
Event[(m)]. ShakeMap[(n)]. DateTime txt = "YYYY-MM-DD[ hh:mm:ss [Z|[+]]hh[:mm]]]";
                                                                                      CONDITIONAL
Event[(m)].ShakeMap[(n)].URL txt = "<Web address>";
                                                                                     CONDITIONAL
Event[(m)].ShakeMap[(n)].Citation txt = "<Citation>";
                                                                                     Optional
            | If one of these tags is given, the first three tags are
              Recommended. As a group they are Optional.
              DateTime is either the date (± time) of computation or when
             these data were obtained from the named Agency. URL is the
              Web address of the ShakeMap for this Event. Agency is the
              entity that computed the ShakeMap.
Event[(m)].ShakeMap[(n)].Comments txt = "<Comments>";
                                                                                   | Optional
            || If multiple "lines" of text are needed for a particular
            (n), join them into a single text string, with the line
            breaks indicated by the character string "/\n/".
```

In the following, where one of the CONDITIONAL tags is present for a given rupture segment (i), all other such CONDITIONAL tags are REQUIRED for that (i):

```
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].Latitude dbl = <value> deg;
                                                                                        CONDITIONAL
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].Longitude dbl = <value> deg;
                                                                                        CONDITIONAL
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].Depth dbl = <value> km;
                                                                                        CONDITIONAL
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].Length dbl = <value> <units>;
                                                                                        CONDITIONAL
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].Width dbl = <value> <units>;
                                                                                        CONDITIONAL
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].Strike dbl = <value> deg;
                                                                                        CONDITIONAL
Event[(m)].DetailedFaultRupture[(n)].Seqment[(i)].Dip dbl = <value> deq;
                                                                                        CONDITIONAL
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].Rake dbl = <value> deg;
                                                                                        Optional
Event((m)).DetailedFaultRupture((n)).Segment((i)).SurfaceArea dbl = <value> <units>; | |
                                                                                       Optional
              These tags form vectors describing the geometry and the
              sense of motion on each rupture-surface segment (i) in a
               particular model (n) of a particular Event solution (m).
              The Strike, Dip, and Rake are as defined (Aki and Richards,
              1980) as in Event. Focal Solution.
```

```
Latitude, Longitude, and Depth are of the "upper left" corner
              of the segment, as viewed from the hanging-wall side. Depth is
              relative to mean sea level.
              SurfaceArea is the surface area of that Segment(i) of the rupture
              model. Of course, this can be computed from Length and Width, so
              if both are given they must be consistent.
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].MeanSlip dbl = <value> <units>;
                                                                                      Optional
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].BestSlip dbl = <value> <units>;
                                                                                      Optional
Event[(m)].DetailedFaultRupture[(n)].Seqment[(i)].RiseTime dbl = <value> s;
                                                                                      Optional
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].SlipVelocity dbl =
                                                                 <value> <units>; || Optional
Event[(m)].DetailedFaultRupture[(n)].Seqment[(i)].StaticStressDrop dbl =
                                                                 <value> <units>:
                                                                                      Optional
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].Mach dbl = <value> <units>;
                                                                                      Optional
Event[(m)].DetailedFaultRupture[(n)].Segment[(i)].ShallowestAsperityDepth dbl =
                                                                 <value> <units>: || Recommended
              Details of slip on Segment(i). MeanSlip is the mean slip on
              that segment of the rupture surface, for example in meters.
            Mach is the ratio of rupture velocity to S-wave velocity.
            ShallowestAsperityDepth (depth to the top of the shallowest
              asperity) is sometimes needed for ground-motion models.
Event((m)).DetailedFaultRupture((n)).Agency txt = "";
                                                                                   | CONDITIONAL
Event[(m)].DetailedFaultRupture[(n)].DateTime txt =
                                   "YYYY-MM-DD[ hh:mm:ss [Z|[+|-]hh[:mm]]]";
                                                                                   || Optional
             The above is a detailed rupture model (n) supplied by
            | this Agency on this date (± time), where DateTime is
            either the model creation date or the date when it
            | | was provided for this VTF file):
Event[(m)].DetailedFaultRupture[(n)].URL txt = "<Web address>";
                                                                                      Optional
Event[(m)].DetailedFaultRupture[(n)].Citation txt = "<Citation>";
                                                                                      Optional
Event[(m)].DetailedFaultRupture[(n)].Comments txt = "<Comments>";
                                                                                      Optional
              URL, Citation, and Comments, can be used to point to or
              provide a more detailed description and/or attribution
              of the DetailedFaultRupture(n) model.
```

```
To be clear: (m) is a particular Event, the (n) above indicates a particular model while (i) above indicates a particular rupture segment within that model. (There will often be an (i), sometimes an (n), but only rarely an (m) which would indicate one of several Events recorded in this single DataSeries.)
```

# Table 3J(a). Data-Acquisition Unit (DAU; Recorder; Data Logger) Information

"DAU" means "Data Acquisition Unit", the recording, timing, and telemetry system. It does not include the Sensor. (Tat is, a "DAS" is a DAU plus Sensors in ANSS parlance.)

Where not otherwise indicated, provide values for <u>the particular recording stream</u> issuing the time series leading to this *DataSeries*.

```
DAU.Model txt = "<value table 3J(b)>";
                                                                                       REQUIRED
DAU.Manufacturer txt = "<value table 3J(b)>";
                                                                                      REOUIRED
DAU.SerialNumber txt = "<value>";
                                                                                      REOUIRED
            || For the DAU capturing the time series leading to
            this DataSeries. All these values are given by
             the DAU manufacturer.
DAU.StorageMedium txt = [ "Film" | "Photo Paper" | "Floppy"
                 "Cassette" | "Hard Disk" | "Flash" | "CD"
                                                             "DVD" | ... 1;
                                                                                    | Optional
              Select one of those media listed whenever applicable.
              Others types will emerge as technology advances, thus, this
            is a USER-EXTENSIBLE LIST. If none of the media listed above
            applies, please invent some new, terse, very clear, English
            name (which must begin with "User's description: ").
```

DAU.TotalChannels_int = <value>;    Total number of channels in this DAU (cf.,   Array.TotalChannels, Array.TotalRecorders,   Sensor.ArrayChannel, and Sensor.DAUchannel).  DAU.TotalChannelsRecorded_int = <value>;   Total number of channels in this DAU.</value></value>	Recommended
DAU.ChannelGain_dbl = <value> dB;     The total gain applied between the output of the <b>Sensor</b> and    the input of the ADC (as decibels, so that zero means "no    gain applied", "unity gain").</value>	REQUIRED
DAU.AntiAliasFilter[(m)].Corner_dbl = <value> Hz;    Analog or digital anti-alias (low pass) filter -3 dB point.  DAU.AntiAliasFilter[(m)].Decay_dbl = <value> dB/octave;  DAU.AntiAliasFilter[(m)].Comments_txt = "<value>";    Generally, the analog anti-alias filter roll-off corner and decay rate, but sometimes also a digital downsampling filter (usually part of a "delta-sigma" ADC but sometimes computed in a signal-processing unit to create multiple "streams" of recorded data taken at differing sample rates).    The two CONDITIONAL tags are REQUIRED unless (as we advise) the anti-alias filter(s) are subsumed as a parts of the   DAU.TransferFunction. If they can be subsumed in this way, then these tags are Avoid.    Both the analog anti-alias filter and any digital "anti-alias" downsampling filter(s) properly are part of and should be described as part of (often "most of") the   DAU.TransferFunction rather than being separated out and called an AntiAliasFilter. These AntiAliasFilter tags are provided as alternative, lesser descriptions for use only when information is scarce.</value></value></value>	CONDITIONAL    CONDITIONAL    Optional

Of course, if such a description is <a href="truly unavailable">truly unavailable</a> (it will almost always be available from the ADC manufacturer), then the tags \*AntiAliasFilter\* may be used instead. However, given the complex nature of such ADC filters (typically defined by "sync" or FIR filter functions) this is a poor substitute for the full \*DAU.TransferFunction\* description—we do not recommend using \*Decay\* and \*Corner\*\* to describe any anti-alias filter, particularly not an ADC downsampling filter. If these, truly must be used, then use the tag \*AntiAliasFilter.Comments\* to describe the digital downsampling filters more completely.

CAUTION: Unless the **Sensor**'s bandwidth and <u>all</u> sources of noise (such as EMI) <u>exclude</u> the possibility of energy above half the internal sampling rate of a delta-sigma ADC, <u>it still will be necessary</u> for the **DAU** to have an analog anti-alias <u>filter</u>. There is some misunderstanding of this fact among at least seismologists, who sometimes state that delta-sigma ADCs do not require "external" (that is, analog) anti-alias filtering. This claim is false.

The transfer function of the DAU is best described by poles and zeroes, or by their polynomial equivalents, ideally in the Laplace (S) plane but alternately in the z-plane. These are the preferred forms.

<u>If little is known</u> about the *TransferFunction*, you may use the *DAU.AntiAliasFilter.Corner*, *Comments*, and *DAU.ChannelGain* tags for at least a general description of the *DAU*. Remember to include *Comments* when the ADC is a delta-sigma type, that is, contains a digital downsampling filter, since those alternative descriptions typically will not describe such filters accurately.

**DAU.TransferFunction.FilterName** and one or the other set of tags below are jointly REQUIRED to the degree of a sufficient description whenever any is given. The **Constant**s may or may not be needed, They default to a real value of one (1) if not provided (and the imaginary part will default to 0j of omitted, leaving a real).

Detailed definitions of these *Filter* and *TransferFunction* tags is to be found in the comments describing *Processing.Filters*.

```
DAU.TransferFunction.FilterName txt = "<from table 30(a)>";
                                                                                      | CONDITIONAL
               Preferably one of the following:
                   "S-plane Poles/Zeros"
                   "z-plane Poles/Zeros"
                   "S-plane Polynomial"
                   "z-plane Polynomial"
                   "Other Transfer Function"
               as in table 30(a). For less complete or general
               descriptions of a TransferFunction, use the value
               "Other Transfer Function", as when providing only
               DAU. AntiAlias Filter and DAU. Channel Gain.
DAU. TransferFunction. Causality txt = [ "Causal" | "Acausal" | "Zero Phase" ];
                                                                                     || Optional
             The causality of the DAU. TransferFunction is implicit in
               either of the following formal descriptions, but may be
             indicated here if desired. Modern delta-sigma recorders
             are in all cases lagged "Zero Phase", though this fact
               matters primarily at high frequencies.
Either the set:
DAU. TransferFunction.PoleZero.Numerator.NumberOfRoots int = <0, 1, 2, ...>;
                                                                                        CONDITIONAL
DAU. TransferFunction.PoleZero.Denominator.NumberOfRoots int = <0, 1, 2, ...>;
                                                                                        CONDITIONAL
DAU.TransferFunction.PoleZero.Numerator.Root(m) cpx = <real> <imaginary>;
                                                                                        CONDITIONAL
DAU.TransferFunction.PoleZero.Denominator.Root(m) cpx = <real> <imaginary>;
                                                                                        CONDITIONAL
DAU.TransferFunction.PoleZero.Numerator.Constant cpx = <real> <imaginary>;
                                                                                        CONDITIONAL
DAU. TransferFunction. PoleZero. Denominator. Constant cpx = <real> <imaginary>;
                                                                                        CONDITIONAL
```

### or the set:

DAU.TransferFunction.Polynomial.Numerator.NumberOfCoeff_int = <1, 2, 3,>; DAU.TransferFunction.Polynomial.Denominator.NumberOfCoeff_int = <1, 2, 3,>; DAU.TransferFunction.Polynomial.Numerator.Coefficient(m)_cpx = <real> <imaginary>; DAU.TransferFunction.Polynomial.Denominator.Coefficient(m)_cpx =</imaginary></real>	CONDITIONAL   CONDITIONAL   CONDITIONAL
<pre><real> <imaginary>;</imaginary></real></pre>	CONDITIONAL
DAU.CountSize_dbl = <value> <units>;    Size of one count (that is, the least significant bit) of the   DAU analog-to-digital converter. <units> may be V, mV, uV,   g_local, mg_local, ug_local, g_standard, mg_standard,   ug_standard, cm/s/s, or any other time-series unit that   makes sense in this context.</units></units></value>	REQUIRED
DAU.FullScale_dbl = <value> <units>;    Same units as DAU.CountSize but the (two-sided)   full-scale range of the digitizer. For example, a   Quanterra Q330 has 40 V peak-to-peak differential   inputs, so <value> would be 40.</value></units></value>	Recommended
DAU.WordLength_int = <value>;    In bits. For example, and ADC (analog-to-digital converter)   recording in two's-compliment integers of length N, the ADC   output values will be in the range ±(2<sup>N-1</sup> - 1). So for N=16,   the range is ±32767 (a few systems also allow -32768, a   slight asymmetry inherent in two's-complement encoding).</value>	REQUIRED
DAU.DynamicRange_dbl = <value> dB;    Effective system dynamic range, Sensors plus recorder, as   defined by ANSS, near 1 Hz or mid-band: Ratio between just-   clipping sine wave RMS and noise RMS in one-half-octave bin   centered at (about) 1.0 Hz (if that is well inside the pass-   band), or otherwise near the center of the pass-band.</value>	Recommended

```
|| Optional
DAU.EffectiveBits dbl = <value>;
               Bits = log<sub>2</sub>(<total ADC counts> / (2 * <RMS noise counts>)).
               Equally, the peak-to-peak g's versus the RMS noise g's are
             reduced to "bits" by a base-2 log. Therefore, let "R" be
             DAU.DynamicRange expressed as an amplitude ratio, hence
            R = < peak-to-peak g's > / <root 2> / <noise RMS g's>. Thus,
            | | DAU.EffectiveBits = log,(DAU.DynamicRange) - 0.5; (It would
            || be "-1.0" to account for the double-sidedness of bit-counts
             | versus the single-sidedness of RMS, however, a dynamic range
             involves a clipping sine wave while bit counts compares the
             | full range of the ADC-another square root of two separates
              the metrics.)
DAU.CompressionUsed.Type txt = [ "None" | "Steim" | "Reed-Solomon" | "Wavelet" | ...
                               "Cosine" | "Other Lossless" | "Other Lossy" |;
                                                                                         | | Optional
DAU.CompressionUsed.Citation txt = "<Citation>";
                                                                                         || Optional
            | Any compression algorithm applied to the data prior to its
             || storage or transmission by the DAU. The Type should be || supplied. At least in cases of "Other ..." the Citation also
             || should be given.
                                                                                         || Optional
DAU.Comments txt = "<Comments>";
             | \ | Any comments about the DAU or its operation (for
             items not covered by defined DAU tags). If multiple
            "lines" of text are needed, join them into a single
             text string, with the line breaks indicated by the
            | character string "/\n/".
```

NOTE: Pre-*Event* and post-*Event* durations have been moved to Record data, since they may vary by record. Film digitizer Y-step has moved similarly.

## Table 3J(b). DAU Models and Manufacturers

Model Name	Manufacturer	
Analog Recorders:		
"USCGS Standard"	U.S. Coast and Geodetic Survey	
"AR-240"	Teledyne	
"RFT-250"		
"RFT-350"		
"MO-2"	(Nov. Zooland)	
"MO2A"	(New Zealand)	
"RMT-280"	Teledyne	
"SMA-1"	Kinemetrics	
"SMA-2"		
"SMA-3"		
"CRA-1"		

Digital Recorders:		
"QDR"		
"DSA-1"	Kinemetrics	
"DSA-3"		
"PDR-1"		
"PDR-2"		
"SSA-1"		
"SSA-2"		
"SSA-16"		
"SSR-1"		
"K2"		
"Etna"		
"Mt Whitney"		
"Everest"		
"DR-100"	Sprengnether	
"DR-200"		
"DR-300"		
"DR-3016"		
"DR-3024"		
"DCA-300"	Terratech	
"DCA-310"		
"DCA-333"		
"DCA-333R"		
"GSR-12"	GeoSIG (Terratech a sometime reseller)	
"GSR-18"		
"IDS-3602"	Terratech (IDS)	
"IDS-3602A"	Terratech (IDSA)	

"A700"	
"A800"	
"A900"	Geotech
"A900A"	
"GEOS"	
"DST"	
"Earthworm"	U.S. Geological Survey
"TREMOR"	
"Q4120"	
"Q4128a"	
"Q730"	Quanterra
"Q736"	
"Q980"	
"72A"	
"130-ANSS"	RefTek
"130-SM"	
"VSE-355G3"	Tokyo Sokushin
Miscellaneous:	
"Other Analog"	Unknown; legacy
"Other Digital"	Unknown; legacy
""	USER-EXTENSIBLE LIST (use established, terse, clear, English names which must begin with "User's description: ")

Manufacturers of DAUs and of Sens	sors:
"Akashi"	
"Canadian Geological Survey"	
"GeoSIG"	
"Geotech"	
"Guralp"	
"Kinemetrics"	
"Lennartz"	
"Mark Products"	
"(New Zealand)"	
"Quanterra"	
"RefTek"	
"Sprengnether"	
"Streckeisen"	
"Teledyne"	
"Terratech"	
"Terratech (IDS)"	
"Terratech (IDSA)"	
"Tokyo Sokushin"	
"USCGS"	
"U.S. Geological Survey"	
"Wilcoxon"	
<i>u</i>	USER-EXTENSIBLE LIST (use established, terse, clear, English names, but not necessarily the full, official corporate name as long as it is quite clear. Any user-defined manufacturer name must begin with "User's description: ")

## Table 3K(a). Sensor Information

```
Sensor.Model_txt = "<value table 3K(b)>";

Sensor.Manufacturer_txt = "<value table 3J(b)>";

Sensor.SerialNumber_txt = "<value>";

| For the Sensor capturing the time series leading to
| this DataSeries. All these values are given by the
| Sensor manufacturer.
```

The orientation in space of a vector (the *Sensor*'s <u>positive active axis</u>) is always uniquely and fully representable in the three-space on or near a planet's surface by <u>two</u> numbers, inclination and azimuth (the third element of this vector in effect represented by the *GeoLocatio.Location* and the figure of the planet).

This two-element vector is the preferred description of orientation.

**Inclination** is always given relative to plumb (Earth acceleration vector) from 0 to 180° with zero meaning <u>positive</u> output for <u>upward ground motion</u>. (Absolute) **Azimuth** is always represented Eastward from North, ranging between 0 and 359.999..., with zero being North. Azimuth also may be represented <u>relative to some stated **Array** (reference) **Azimuth** (in the same format as an absolute **Azimuth**). This **RelativeAzimuth** is always given <u>clockwise</u> from the **Array** (reference) **Azimuth**, and is often the best option for an **Array**, such as in a structure.</u>

#### Absolute orientation:

<pre>Sensor.Azimuth.Value_dbl = <value> deg;    Sensor azimuth, clockwise from true geographic North (always)   give zero "Azimuth" for an Inclination of 0 or 180°).</value></pre>	CONDITIONAL
REQUIRED unless Sensor.RelativeAzimuth.Value and also   Array.Azimuth.Value are given.  Sensor.Inclination.Value_dbl = <value> deg;   Positive up = 0, horizontal = 90, positive down = 180.</value>	REQUIRED
Relative azimuth:	
<pre>Sensor.RelativeAzimuth.Value_dbl = <value> deg;</value></pre>	CONDITIONAL
Array.Azimuth.Value_dbl = <value> deg;    Azimuth of reference "North" versus true geographic North,   following the same rules as for Sensor.Azimuth.Value.   The absolute Azimuth of the Sensor is the (modulo 360) sum   of Sensor.RelativeAzimuth.Value and Array.Azimuth.Value</value>	CONDITIONAL
So this means, for example, that a Sensor oriented to cardinal    northeast but represented relative to	
Array.Azimuth.Value_dbl = 90 deg;	
(East) would be given as	
Sensor.RelativeAzimuth.Value_db1 = 315 deg;	

```
notwithstanding that it also could have been represented in
              absolute terms as
                    Sensor.Azimuth.Value_dbl = 45 deg;
              Sensor.RelativeAzimuth.Value and Array.Azimuth.Value
              are jointly REQUIRED if Sensor. Azimuth. Value is not given.
                                                                                     | Optional
Sensor.Azimuth.ValueError dbl = <value> deg;
            || Two-sigma error estimate for absolute
Sensor.Inclination.ValueError dbl = <value> deg;
                                                                                     Optional
            || Two-sigma error estimate for absolute
Sensor.RelativeAzimuth.ValueError dbl = <value> deg;
                                                                                     || Optional
            | Two-sigma error estimate for relative
Array.Azimuth.ValueError dbl = <value> deg;
                                                                                    || Optional
            || Two-sigma error estimate for reference
Alternate description:
Sensor.Orientation txt = "<from table 3K(c)>";
                                                                                     Avoid
             This tag is supplied only for backward compatibility
            or, possibly, for preliminary use-its application
            | is discouraged.
```

Of the orientation tags above, Sensor. Azimuth. Value and Sensor. Inclination. Value are the preferred set.

However, in many *Array*s the azimuths are given relative to a structure's orientation, or to some other reference azimuth, and in this case <u>use the tag set</u> *Sensor.RelativeAzimuth.Value* plus *Array.Azimuth.Value*, but by absolute *Sensor.Inclination.Value* since floors are more or less level.

Only as a last choice should you ever use *Sensor.Orientation*. (In any case, note that most of these can be translated into one of the other two representations.)

## Associated tags are:

<pre>Sensor.ArrayChannel_int = <value>;</value></pre>	Recommended
Sensor.DAUchannel_int = <value>;     The DAU-channel that this Sensor recorded on (if on   film, count down from the top, the first being 1, not 0)   (cf. Array.TotalChannels, Array.TotalRecorders,   and DAU.TotalChannels)</value>	Recommended
<pre>Sensor.Sensitivity_dbl = <value> <units>;    Sensor.Sensitivity is in units, for film of cm/g_standard   or cm/g_local, for example. <units> for other systems are   V/g_standard, V/g_local, V/(cm/s/s) or V/(<units>), where   <units> is one of the other units of acceleration, velocity,   displacement, rotation, pressure, ustrain, and so forth.   Parentheses are required when <units> is compound,   as in the example.</units></units></units></units></units></value></pre>	REQUIRED
NB: <u>In all cases</u> , this <b>Sensitivity</b> value is to be as measured as close as possible to the raw <b>Sensor</b> —thus, in the case of FBAs and similarly "conditioned" <b>Sensor</b> s, at the output of that <b>Sensor</b> system. <b>Sensitivity</b> is to be measured <u>before</u> any <b>DAU</b> amplification and filtering, so well before digitization.	
<pre>Sensor.FullScaleOut_dbl = <value> <units>;</units></value></pre>	REQUIRED
Sensor.FullScaleIn_dbl = <value> <units>;    Peak unclipped Earth signal in <units> apropos to that   Sensor; generally g_local, g_standard, or cm/s/s for   accelerometers. The same measurement rules apply as   for Sensor.Sensitivity.</units></units></value>	Recommended

```
Sensor.SensitivityContext_txt = "<where measured>";

| The context in which Sensor.Sensitivity,
| Sensor.FullScaleOut, and Sensor.FullScaleIn
| were measured. For example, "At output of [ FBA |
| geophone | sensor system ]".

Sensor.Causality_txt = [ "Causal" | "Acausal" ];
| All fully-analog Sensors are by definition "Causal".
| However, sensors containing digital signal conditioning,
| likely including those with a digital output, may
| contain a delta-sigma ADC or some other "Acausal"
| elements that make the whole "Acausal".

| The default is "Causal" since this is most often the case.
| This tag is REQUIRED if the Sensor (package) is in any
| way "Acausal" (most commonly for Sensor packages having
| built-in signal conditioning and digitizing and digital
| output).
```

The **Sensor.TransferFunction** is most completely and generally described by **PoleZero** or the equivalent **Polynomial** coefficients, preferably in the Laplace- (S-)plane, but alternately in the z-plane. These are the <u>preferred forms</u>. Alternate, less precise forms are given below those forms.

If *TransferFunction.FilterName* is given, then the specified set of tags are jointly REQUIRED, to the extent they form a complete representation of the *TransferFunction*.

In *PoleZero* representations, either the *Numerator* or the *Denominator* may have <u>no roots</u> in which case there will only be a *Constant* for that portion (and this may be omitted if it is equal to a real value of one (1) (the default value of *Constant*; the imaginary part will default to 0j of omitted, leaving a real). The corresponding *NumberOfRoots* will be zero, no roots will be listed for that portion. It is common to gather both *Constants* into the *Numerator*, but that is not required.

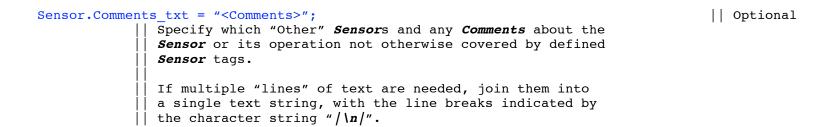
**Polynomial** representations, of course, have a constant built in at the zeroth-order **Coefficient**, and **M+1 Coefficient**s are always required (**Subscript**ed from 1 through **M+1**), even if some of these are zeros.

Detailed definitions of these filter classes is to be found among the comments describing *Processing.Filters* and in table 3O(a).

```
Sensor.TransferFunction.FilterName txt = "<from table 30(a)>";
                                                                                        CONDITIONAL
               One of the following:
                   "S-plane Poles/Zeros"
                   "z-plane Poles/Zeros"
                   "S-plane Polynomial"
                   "z-plane Polynomial"
                   "Other Transfer Function"
              For less complete or general methods of describing sensor
               response, use the latter value along with a "sufficient"
               set of the tags described after Polynomial.
Use either the set:
Sensor.TransferFunction.PoleZero.Numerator.NumberOfRoots int = <0, 1, 2, ...>;
                                                                                        CONDITIONAL
Sensor.TransferFunction.PoleZero.Denominator.NumberOfRoots int = <0, 1, 2, ...>;
                                                                                        CONDITIONAL
Sensor.TransferFunction.PoleZero.Numerator.Root(m) cpx = <real> <imaginary>;
                                                                                        CONDITIONAL
Sensor.TransferFunction.PoleZero.Denominator.Root(m) cpx = <real> <imaginary>;
                                                                                        CONDITIONAL
Sensor.TransferFunction.PoleZero.Numerator.Constant cpx = <real> <imaginary>;
                                                                                        CONDITIONAL
Sensor.TransferFunction.PoleZero.Denominator.Constant cpx = <real> <imaginary>;
                                                                                        CONDITIONAL
or the set:
Sensor.TransferFunction.Polynomial.Numerator.NumberOfCoeff int = <1, 2, 3, ...>;
                                                                                        CONDITIONAL
Sensor.TransferFunction.Polynomial.Denominator.NumberOfCoeff int = <1, 2, 3, ...>;
                                                                                        CONDITIONAL
Sensor.TransferFunction.Polynomial.Numerator.Coefficient(m) cpx =
                                                             <real> <imaginary>;
                                                                                        CONDITIONAL
Sensor.TransferFunction.Polynomial.Denominator.Coefficient(m) cpx =
                                                             <real> <imaginary>;
                                                                                      | CONDITIONAL
```

Less complete or general descriptions of *Sensor* behavior:

```
Sensor.NaturalFrequency.Value dbl = <value> Hz;
                                                                                      CONDITIONAL
            | | Sensor's natural frequency.
Sensor.Damping.Value dbl = <value>;
                                                                                    | CONDITIONAL
            | Sensor's damping (as a fraction of critical).
              This pair jointly REQUIRED if either is given.
Sensor.NaturalFrequency.ValueError dbl = <value> Hz;
                                                                                       Optional
Sensor.Damping.ValueError dbl = <value>;
                                                                                       Optional
            || Estimated two-sigma errors.
                                                                                    | CONDITIONAL
Sensor.HighPass.Corner dbl = <value> Hz;
             Sensor low-frequency corner (0.0 Hz for many
            | accelerometers)
Sensor.HighPass.Decay dbl = <value> dB/octave;
                                                                                    | CONDITIONAL
             Sensor low-frequency roll-off, if
              Sensor.HighPass.Corner is not zero.
              This pair jointly REQUIRED if either is given.
Sensor.LowPass.Corner dbl = <value> Hz;
                                                                                    | CONDITIONAL
            | | Sensor high-frequency corner, if present in
            addition to Sensor. Natural Frequency. Value.
Sensor.LowPass.Decay dbl = <value> dB/octave;
                                                                                    | CONDITIONAL
             Sensor high-frequency roll-off.
            This pair jointly REQUIRED if either is given.
```



## NOTES:

- (1) We realize that some of the "required" tags will not always be known, particularly for legacy data—these tags still must be present in the VTF file and given the value NULL (no quotes).
- (2) "Gain applied to sensor output ..." has moved to **DAU** information, because amplifiers and buffers are part of the recorder. In the case of high-output analog **Sensor**s (for example, force-balance accelerometers), the internal circuitry is considered part of the **Sensor**.
- (3) For **Sensor** azimuths, now see: **Sensor.Azimuth.Value** or **Sensor.RelativeAzimuth.Value** plus **Array.Azimuth.Value** or, as a last choice, **Sensor.Orientation**.
- (4) For location offsets (in meters, for example) of *GeoLocations* in *Array*s, now see *GeoLocation.Location.NorthOffset*, *GeoLocation.Location.EastOffset*, and *GeoLocation.Location.ElevationOffset* in table 3F.

# Table 3K(b). Sensor Models (use Table 3J(b) for Manufacturers)

Model name	Manufacturer
Accelerometers:	
"Optical-Mechanical" (SMA, RFT,)	Any
"FBA-1"	
"FBA-3"	
"FBA-11"	
"FBA-13"	
"FBA-13DH"	Kinemetrics
"FBA-23"	
"FBA-23DH"	
"EpiSensor"	
"EpiSensor ES-U"	
"FBX-23"	Sprongnother
"FBX-26"	Sprengnether
"SSA 120"	
"SSA 220"	Terratech
"SSA 320"	
"731A"	Wilcoxon
"CMG-5"	Guralp
Velocity <b>Sensor</b> s/Seismometers:	
"SS-1 Ranger"	Kinemetrics
"S-3000"	Sprengnether

"CMG-1"	
"CMG-3T"	1_ ,
"CMG-3ESP"	Guralp
"CMG-40"	
"STS-1"	Gt
"STS-2"	Streckeisen
"L4"	Marsh Duaduata
"L22D"	Mark Products
"SA-102A"	(New Zealand)
Other <b>Sensor</b> s (which may be specified	in Sensor.Comments):
"Other Accelerometer"	Unknown or legacy <b>Sensor</b> (in preference, please use the
"Other Velocity Seismometer"	User-Extensible option and provide a real model name)
"Pressure Sensor"	
"Dilatometer"	Various (in preference,
"Relative Displacement Sensor"	please use the User- extensible option and provide
"Rotational Sensor"	a real model name)
"Other Sensor"	
""	USER-EXTENSIBLE LIST (use established, terse, clear, English names which must begin with "User's description: ")

(It is clear that this table must grow. Please e-mail the authors or use the extensible-list option to communicate suggestions to us.)

## Table 3K(c). Sensor Direction Codes for use with Sensor. Orientation only (Avoid)

Abbreviation	Orientation*
"1"-"360"	Horizontal azimuth, clockwise (East) from North to the nearest degree (in this case, <u>it is preferable to use</u> <b>Sensor.Azimuth.Value</b> with <b>Sensor.Inclination.Value_dbl = 90 deg;</b> ).
	Horizontal azimuth (deg + 1000) <u>relative</u> to Channel 1, used when the absolute orientation is unknown (for example, Channel 2 is "1090" if it is 90 deg East of Channel 1).
"1001"-"1360"	In this case <u>it is preferable to use</u> <b>Array.Azimuth.Value_dbl = NULL;</b> which still allows one to express a <b>Sensor.RelativeAzimuth.Value</b> , often with the values 0° and 90° or 0° and 270° to indicate orthogonal components in those relationships.
"Up"	Vertical, ground motion positive up ( <u>It is preferable to use</u> Sensor.Inclination.Value_dbl = 0 deg; and Sensor.Azimuth.Value_dbl = 0  deg;).
"Down"	Vertical, ground motion positive down ( <u>It is preferable to use</u> Sensor.Inclination.Value_dbl = 180 deg; and Sensor.Azimuth.Value_dbl =  0 deg;).
"Vertical"	Vertical, sense not known (this is a rare case in which the preferred methods of describing orientation are not adequate).
Specific to geotechnic	cal and other active-source Arrays**
"Radial"	Radial, positive <u>outward from the source</u> . (In these four cases, <u>it is</u> <u>preferable to use</u> <u>Sensor.Inclination.Value_dbl</u> = <value> deg; and <u>Sensor.Azimuth.Value_dbl</u> = <value> deg; (or the relative-azimuth equivalent) plus a SNCL name with <u>"HNT" or "HNR"</u> to indicate this relationship to a source.</value></value>
"AntiRadial"	Radial, positive inward toward the source.
"Transverse"	Transverse, 90° clockwise in map view from the Radial component (to the right as viewed from the source).
"AntiTransverse"	Transverse, 90° clockwise in map view from AntiRadial component (to the left as viewed from the source).

Specific to structures (f	or example, bridges)**
800 or "Longitudinal"	Along long axis of structure, positive in the more northerly of the two possible directions. (It is preferable to use the relative-azimuth option in these cases, with Sensor.RelativeAzimuth.Value_dbl = 0 deg; and Array.Azimuth.Value_dbl = <value> deg; for the structure and the long axis of the structure indicated by Array.Azimuth.Value.)</value>
-800 or "AntiLongitudinal"	Along long axis of structure, positive in the more southerly of the two possible directions. In this case, it is better to use Sensor.RelativeAzimuth.Value_dbl = 180 deg; as above.
900 or "ShortAxis"	Transverse to the structure, 90° clockwise in map view from the Longitudinal component. (It is preferable to do as above, but with Sensor.RelativeAzimuth.Value_dbl = 90 deg;).
-900 or "AntiShortAxis"	Transverse to the structure, 90° clockwise in map view from the AntiLongitudinal component. (It is preferable to do as above, but with Sensor.RelativeAzimuth.Value db1 = 270 deg;).
"H1" "H2"	Horizontal <b>Sensor</b> , azimuths are <u>completely</u> unknown. ( <u>It is preferable</u> to use <b>Sensor.Azimuth.Value_dbl</b> = <b>NULL</b> ; to indicate complete ignorance.)
"Other Orientation"	Some other orientation (describe in <b>Sensor.Comments</b> ) (One can describe any linear or rotational sensor orientation with azimuth and inclination alone—it is much better to use one of the means provided to do so numerically, as described above.)

<sup>\*</sup>The direction specified is the direction of motion <u>of the ground</u> (or the structural element to which the *Sensor* is attached) which corresponds to a positive value in the time series (or for film, of an upward motion of the trace).

<sup>\*\*</sup>Definitions changed from v1.20 for clarity and to follow existing standards.

# Table 3L. Data Series (Including Original): Time-Series or Spectrum Information

```
DataSeries.AgencysIdentifier txt = "<Agency's Identifier>";
                                                                                       | REQUIRED
               for example, "ci14138080", "ak00039525", and so forth.
               The record identification string used by the authoring
               Agency to specify this specific DataSeries.
               Not to be confused with DataSeriesCOSMOSidentifier, which is
               the COSMOS VDC's unique identifier for the same DataSeries,
               used in cross referencing with the GVDC.
               Also, please do no confuse with the identification of the
               "owner" of this record: ThisFile.Preparation.Agency.
               AgencysIdentifier identifies only the DataSeries values
               themselves, from the viewpoint of the DataSeries' creator.
DataSeries.TriggerNumber int = <value>;
                                                                                       || Optional
            || DAU's trigger number or Event counter.
Time-series time stamps (part of the abscissa definition for <u>all</u> time series):
RawSeries.FirstSampleTime.DateTime txt =
                                     "YYYY-MM-DD hh:mm:ss.sss[Z|[+|-]hh[:mm]]";
                                                                                       || Optional
               ISO DateTime (preferably to ms) of the first sample
               in the original file.
```

DataSeries.FirstSampleTime.DateTime_txt =	
"YYYY-MM-DD hh:mm:ss.sss[Z [+ -]hh[:mm]]";     ISO DateTime (preferably to ms) of the first sample     in this file. REQUIRED for all time series,     whether or not the abscissa is implicit.	CONDITIONAL
Note that this is the primary time stamp for this	
<pre>  file's time series (DataSeries) and is a key datum. DataSeries.FirstSampleTime.JulianDay int = <value>;</value></pre>	Avoid
The "Julian Day" (more correctly, the "Cardinal Day") is the day of the year (from 1 to 366) and corresponds to "MM-DD" in DataSeries.FirstSampleTime.DateTime. This tag is provided for backward compatibility—the cardinal day is easily computed and, therefore, redundant.	Avolu
Note that there is no provision in our version of the ISO <b>DateTime</b> for using a cardinal day, so "MM" and "DD" are required (but also easily computed from the cardinal day).	
Information related to time stamps:	
RawSeries.FirstSampleTime.TimeError_dbl = <value> s;    Estimated or computed two-sigma error of</value>	Recommended
RawSeries.FirstSampleTime.DateTime. RawSeries.FirstSampleTime.Source_txt = " <from 3m="" table="">";</from>	CONDITIONAL
· · · · · · · · · · · · · · · · · · ·	
<pre>DataSeries.FirstSampleTime.CorrectionApplied_dbl = <value> s;</value></pre>	CONDITIONAL
Thus, a slow recorder clock produces a positive correction.	

```
DataSeries.FirstSampleTime.TimeError dbl = <value> s;
                                                                                     | Recommended
            || Estimated or computed two-sigma error of
            DataSeries.FirstSampleTime.DateTime.
DataSeries.FirstSampleTime.Source txt = "<from table 3M>";
                                                                                     | REQUIRED
For spectral abscissa:
DataSeries.FirstBinFrequency dbl = <value> Hz;
                                                                                       CONDITIONAL
DataSeries.FirstBinPeriod dbl = <value> s;
                                                                                       CONDITIONAL
              In the case of evenly sampled spectra, these tags are used in
               conjunction with DataSeries.FrequencyBin and DataSeries.PeriodBin
               to define an implicit abscissa for the DataSeries.
              If DataSeries.PeriodBin is used as part of an implicit period
             abscissa, then DataSeries.FirstBinPeriod is required (because
             | a 0-s period bin makes no sense). If DataSeries.FrequencyBin
            is used as part of an implicit frequency abscissa, then
            | | FirstBinFrequency may be used to define the lowest frequency
            | bin. It defaults to 0 Hz, which is apropos most power spectral
              densities and the DFT of a real time series. In all other
              cases, FirstBinFrequency must be given explicitly or the
              abscissa must be explicit.
```

In the case of an <u>evenly-sampled</u> <u>DataSeries</u> that does <u>not</u> have an explicit abscissa provided in the <u>DataSeries</u> listing matrix, <u>exactly one</u> of the following <u>four</u> is REQUIRED, along with <u>DataSeries.FirstSampleTime.DateTime</u>, <u>DataSeries.FirstBinFrequency</u>, or <u>DataSeries.FirstBinPeriod</u>, which together define an implicit abscissa. <u>Note</u> that the units used here <u>must</u> be the same as those declared by <u>DataSeries.AbscissaUnits</u>, one of the first two below for time series and one of the latter two for spectra. The chosen tag below <u>must</u> also make sense in combination with <u>DataSeries.FirstSample-Time.DateTime</u>, <u>DataSeries.FirstBinFrequency</u>, and <u>DataSeries.FirstBinPeriod</u>.

For implicit, evenly spaced time series, the time axis begins at zero seconds and proceeds to later time by intervals declared by *DataSeries.SamplesPerSecond* or *DataSeries.SampleInterval*. The absolute time of the first sample is given by *DataSeries.FirstSampleTime.DateTime*. In the case of evenly sampled spectra with implicit abscissa, the first bin

<u>always is assumed to be</u> at **0 Hz** or **0 s** (the latter does not appear to us to make sense so is unlikely to be used; the former makes sense for the DFT spectrum of a real-valued time series, which we anticipate will be the most common use of *FrequencyBin*).

DataSeries.SamplesPerSecond_db1 = <value> Hz;</value>	CONDITIONAL
Sampling rate of time series	
<pre>DataSeries.SampleInterval_dbl = <value> s;</value></pre>	CONDITIONAL
Sampling interval of time series	
<pre>DataSeries.FrequencyBin_dbl = <value> Hz;</value></pre>	CONDITIONAL
<pre>DataSeries.PeriodBin_dbl = <value> s;</value></pre>	CONDITIONAL
$\mid \mid$ Bin spacing in a spectrum. (For <b>PeriodBin</b> , the first bin	
at "zero period" might apply to PGA supplied with $\mathbf{S}_a$ , for    example, but will more often make no sense. Its use will    be rare.)	
RawSeries.SamplesPerSecond_dbl = <value> Hz;</value>	Optional
Sampling rate of foregoing time series, or	
RawSeries.SampleInterval_dbl = <value> s;</value>	Optional
<pre>  sampling interval of foregoing time series, or RawSeries.UnevenSampling_txt = [ "Yes"   "No" ];</pre>	Optional
RawSeries.AbscissaUnits_txt = " <units>";    Because there is no location in this VTF file for the   RawSeries values, this tag/value pair serves only to   acknowledge the units of measure of the source time   series and to indicate the units in which that rate</units>	CONDITIONAL
<pre>   series and to indicate the units in which that rate    is to be expressed. For example, in a record taken    from film, we might have    RawSeries.AbscissaUnits txt = "um":</pre>	

DataSeries.AbscissaUnits is REQUIRED in all cases and is the single authoritative location in which the units of the abscissa are given (notwithstanding that those same units may well be used with related tags, and when so must at least not conflict with this RawSeries.AbscissaUnits). In the case of implicitly defined even sampling intervals, the abscissa values are implied by a combination of DataSeries.SamplesPerSecond, SampleInterval, FrequencyBin, or PeriodBin, plus a starting value, either DataSeries.FirstSampleTime.DateTime for a time series or DataSeries.FirstBin-Frequency or DataSeries.FirstBinPeriod for spectra.

In the case of <u>uneven sampling</u> of the *DataSeries* in this VTF file, or of an author's desire to provide an explicit abscissa for other reasons, *AbscissaFormat* also is REQUIRED and there <u>must</u> be an additional (first) column (or pair of columns if the abscissa is complex valued) in the main *DataSeries* listing matrix.

What one might have expected as the corresponding first entry in *DataSeries.OrdinateFormat* is not there, not prescribed by *OrdinateFormat*, but is instead given here as *DataSeries.AbscissaFormat*. Thus, the format of an entire row of the *DataSeries* listing matrix is a concatenation of *AbscissaFormat* with *OrdinateFormat*.

DataSeries.AbscissaUnits\_txt = "<units>";
DataSeries.AbscissaFormat\_txt = "<FORTRAN format specification>";

| The units (and if provided explicitly, also the format of the | abscissa). For explicit abscissas AbscissaFormat will describe | the first column (real values) or first and second columns | (complex values) of the DataSeries listing matrix, typically | used in the case of uneven (irregular) sampling.

| If the DataSeries is unevenly sampled or for any other reason, | including user preference an explicit abscissa is listed in the | DataSeries listing matrix, then both tags are REQUIRED as is/are | abscissa column(s) in the DataSeries listing matrix.

listing matrix, however, this value can be either real or complex. | If it is complex, AbscissaFormat must have two entries, generally abutting and always given as a single text string containing one format specification for the real and one for the imaginary part of each value. (Note that FORTRAN format-repetition counts are not allowed, so there would have to be two explicit formats for complex values.) DataSeries.Span dbl = <value> [ s | Hz ]; || Optional The time duration or bandwidth of the whole DataSeries. Optional because it is computed easily from other values. If given, therefore, it also must equal one of the following: DataSeries.NumberOfSamples / DataSeries.SamplesPerSecond | DataSeries.NumberOfSamples \* DataSeries.SampleInterval DataSeries.NumberOfSamples \* DataSeries.FrequencyBin DataSeries.NumberOfSamples \* DataSeries.PeriodBin and so forth, OR, when there is/are abscissa column(s) in the DataSeries listing matrix, the difference between the | last and first abscissa values. RawSeries.Span dbl = <value> s; || Optional | | Same thing, but for the original record (which must have been a time series, of course).

A single abscissa value is allowed for each row of the DataSeries

The following two tags relate to double-integration initial conditions. Also see *RawSeries.Mean*, *DataSeries.Mean*, *Processing.InitialValue.Velocity* and *Processing.InitialValue.Displacement* and/or the ultimate statement of baselines—providing them explicitly with *DataSeries.PhysicalParameter* = "Acceleration Correction Baseline"; in a separate VTF file.

```
DataSeries.Pad.FirstOfOriginal int = <value>;
                                                                                       CONDITIONAL
DataSeries.Pad.LastOfOriginal int = <value>:
                                                                                       CONDITIONAL
              Both are REOUIRED if the DataSeries retains padding on either
               or both ends as used in Processing (as is recommended by Boore
               and Akkar, 2003) and also by us.
               These are the (integer) indexes of first and last samples of
              the unpadded part of the DataSeries (the first sample of the
              padded trace contained in this VTF file being index 1).
              For example, if 10 zeros were added to each end of a 100-point
               original time series, then we would have
                    DataSeries.NumberOfSamples int = 120;
                                                                     (10 + 100 + 10),
                                                                   | | (10 + 1), and
                    DataSeries.Pad.FirstOfOriginal int = 11;
                    DataSeries.Pad.LastOfOriginal int = 110;
                                                                  | | (10 + 100).
               The same would apply if no padding was appended to the rear in this
               example, except that the trace length would be smaller by 10.
               However, if no padding is prefixed to the front, then
               DataSeries.Pad.FirstOfOriginal int = 1;.
RawSeries.TriggerTime.DateTime txt = "YYYY-MM-DD hh:mm:ss.sss[Z|[+|-]hh[:mm]]";
                                                                                       Optional
DataSeries.TriggerTime.DateTime txt = "YYYY-MM-DD hh:mm:ss.sss[Z|[+|-]hh[:mm]]";
                                                                                     | Optional
            | ISO DateTime (preferably to ms) of the TRIGGER time. Not to be
            confused with the first-sample times, FirstSampleTime.
RawSeries.TriggerTime.TimeError dbl = <value> s;
                                                                                       Optional
DataSeries.TriggerTime.TimeError dbl = <value> s;
                                                                                       Optional
            || Estimated or computed two-sigma error
```

In modern systems, which only rarely are short of event-storage memory, we recommend a *PreTriggerDuration* of at least 60 s and a *PostDeTriggerDuration* of at least 120 s. While these intervals are significantly larger than typically are used presently, they aid greatly with:

- 1. baseline removal and integration,
- 2. ambient-noise characterization, and
- 3. making it much more likely that rapid sequences of triggered records will overlap, thus allowing unambiguous reconstruction of data from more *Events* in such a sequence.

There is no longer much, if any practical need for shorter pre- and post-*Event* intervals. In contrast, their value to analysts is substantial, sometimes critical.

## DataSeries.Peak((n)).Value dbl = <value> <units>; | Recommended Peak data value in this (usually processed) DataSeries. This may be a PGA, a PGV, a maximum $S_{al}$ or other measure of shaking strength, depending on what type of DataSeries this is. In the case of response spectra, **Peak(n).Value** is necessarily a tag array of length equal to the size of DataSeries. Response-SpectrumDamping(n) (that is, there will be one peak value per spectrum, per damping). Use of **Subscript**ing, **Peak(n)**, is restricted to that instance since PGA and so forth will have exactly one such value per DataSeries. DataSeries.Peak((n)).Locus dbl = <value> [ s | Hz ]; CONDITIONAL The location in the DataSeries where DataSeries.Peak(n).Value was encountered, expressed either in time (in seconds since first sample) or the period or frequency of the spectral maximum (for DataSeries which are spectra) of the values (and in the same order). Thus, if the peak occurs at the Nth point of a DataSeries, this value is N \* <sampling rate> for time series or | (N-1) \* < bin width> for evenly binned spectra. Forunevenly sampled spectra, this value simply corresponds to the frequency of a particular spectral line. | CONDITIONAL DataSeries.Peak[(n)].ResponseSpectrumPeakTime dbl = <value> s; If and only if DataSeries.Peak(n).Value are for response spectra, then also record the TIME in the original time series (seconds since first sample) at which this spectral maximum is encountered. This tag also will be a tag array of length N equal to the size of **ResponseSpectrumDamping(n)**, that is one spectrum, one peak, one peak's period, and one TIME in the RawSeries (the accelerogram) where this peak was encountered. DataSeries.Peak(n).Locus and/or DataSeries.Peak(n).ResponseSpectrumPeakTime are REQUIRED if DataSeries.Peak(n).Value is present (both must be given if the DataSeries is a response spectrum,

otherwise only the former).

```
RawSeries.FilmDigitizer.Ystep dbl = <value> um;
                                                                                       CONDITTONAL
RawSeries.FilmDigitizer.Tstep dbl = <value> um;
                                                                                       CONDITIONAL
RawSeries.FilmDigitizer.Tmean dbl = <value> [ s | um ];
                                                                                       Optional
RawSeries.FilmDigitizer.Tsigma dbl = <value> [ s | um ];
                                                                                       Optional
RawSeries.FilmDigitizer.Tminimum dbl = <value> [ s | um ];
                                                                                       Optional
RawSeries.FilmDigitizer.Tmaximum dbl = <value> [ s | um ];
                                                                                       Optional
             If this record derives from a film-recorded RawSeries,
              then these tags are the sizes of the digitizer X- (time)
              and Y-steps (amplitude), and statistics pertaining to
              them, as used in digitizing that film record.
              CONDITIONALs are REQUIRED for film-derived records.
```

## DataSeries.ResponseSpectrumDamping(n)\_dbl = <value>;

|| CONDITIONAL

REQUIRED if the **DataSeries** are response spectra,  $S_a$ ,  $S_v$ ,  $S_d$ , PSA, or PSV.

A tag array that is as large as (and in exactly the same order as)
the ordinate columns in the main **DataSeries** listing matrix. This
tag array gives the value(s) of "structure" damping used for
those calculations. It is fairly common, for example, to provide
response-spectral values at 2-, 5-, and 10-percent structural damping.

| Values are in <u>fractions of critical</u>, never in percent. So, for | the above example, the damping would given as 0.02, 0.05, and 0.1.

Typically, there also will be an explicit-abscissa column in the **DataSeries** listing matrix, giving the periods (or conceivably the frequencies). An explicit abscissa is likely because it is almost invariably the case that the sampling periods of the response spectrum are unevenly spaced, often at periods approximating a logarithmic sequence, or at some other irregular spacing.

A selected subset of linear  $S_a$ ,  $S_v$  or,  $S_d$  values also <u>may be</u> given for time series (for  $S_a$  we recommend periods including 0.2, 0.3, 1.0 and 3.0 s for compatibility with ShakeMap and other processes). These selected values typically are included when the *DataSeries* is the processed ("volume 2") time series, as a convenience for users and for ShakeMap and similar analyses.

We note that this is an incomplete set of response-spectral tag names—one might desire to include PSA and PSV and both linear and nonlinear versions of any values given here. Please e-mail the authors if this turns out to be an issue—such tags are easily added.

```
DataSeries.Sa[(n)].Period dbl = <value> s;
                                                                                        CONDITIONAL
DataSeries.Sa[(n)].StructureDamping dbl = <value>;
                                                                                        CONDITIONAL
DataSeries.Sa[(n)].Value dbl = <value> cm/s/s;
                                                                                       CONDITIONAL
             Period, damping fraction, and response-spectral acceleration
             value sets. If any one such tag is present for a given (n),
            then all three are REQUIRED for that (n).
DataSeries.Sv[(n)].Period dbl = <value> s;
                                                                                       CONDITIONAL
DataSeries.Sv[(n)].StructureDamping dbl = <value>;
                                                                                       CONDITIONAL
DataSeries.Sv[(n)].Value dbl = <value> cm/s;
                                                                                       CONDITIONAL
DataSeries.Sd[(n)].Period dbl = <value> s;
                                                                                       CONDITIONAL
DataSeries.Sd[(n)].StructureDamping dbl = <value>;
                                                                                        CONDITIONAL
DataSeries.Sd[(n)].Value dbl = <value> cm;
                                                                                       CONDITIONAL
            || Equivalently for response-spectral velocity and displacement.
```

Most of the following are meaningful only when this VTF file's *DataSeries* is a time series.

```
DataSeries.CAV dbl = <value> [ m/s | cm/s ];
                                                                                     | Optional
            Cumulative Absolute Velocity of this time series
DataSeries.SI dbl = <value> [ m | cm ];
                                                                                     | Optional
            | Housner Intensity (SI) of this time series
DataSeries.AriasIntensity dbl = <value> [ m/s | cm/s ];
                                                                                     | Optional
            | Arias Intensity of this time series
DataSeries.ObservationalMMI[(n)] int = <value; 1-12>;
                                                                                     || Optional
              MMI of this time series, determined by human
               inspection of effects at the site. (There may be
            | more than one observer with differing opinions.)
DataSeries.InstrumentalMMI dbl = <value, 0.0-12.0>;
                                                                                     || Optional
               Instrumentally estimated MMI from this time series
               (may, therefore, be noninteger). Generally saturates
               at about 10.
               (Many practitioners believe that the MMI scale effectively
               saturates at intensity X, 10, with all higher values in
               effect being synonyms for "10". However, this matter is
               not yet "given wisdom".)
              If other common forms of intensity measure are desired
               please e-mail the authors with a scale name, Citation,
               and description; such tags are easily added to the VTF.
DataSeries.Duration.Over5PctG dbl = <value> s;
                                                                                     | Optional
            | Duration of shaking more than 5 percent of g standard
            (980.665 \text{ cm/s/s}, \text{ thus } 49.03 \text{ cm/s/s}) \text{ of this time series.}
DataSeries.Duration.AriasInterval5To95Pct dbl = <value> s;
                                                                                     || Optional
             | Interval to accumulate from 5 to 95 percent of the final Arias
            Intensity for this time series.
DataSeries.Pick[(n)].PDateTime txt = "YYYY-MM-DD hh:mm:ss.ss[s][Z|[+|-]hh[:mm]]";
                                                                                     | | Recommended
            | P-Wave arrival time Pick(s) at this GeoLocation (there may
            be more than one opinion on the time of the pick).
DataSeries.Pick[(n)].SDateTime txt = "YYYY-MM-DD hh:mm:ss.ss[s][Z|[+|-]hh[:mm]]";
                                                                                     | Recommended
            | | S-Wave arrival time Pick(s) at this GeoLocation (there may
            be more than one opinion on the time of the pick).
```

<pre>DataSeries.Pick[(n)].Agency_txt = "";</pre>	CONDITIONAL
or individual (Agency from table 3E) that made the pick(s).  DataSeries.Pick[(n)].DateTimeMade_txt = "YYYY-MM-DD[ hh:mm:ss [Z [+ -]hh[:mm]]]";    REQUIRED if either DataSeries.Pick.PDateTime or    DataSeries.Pick.SDateTime is given, and is the date    (± time) on which the pick(s) were made (that is, the    pedigree of the Pick(s), not the Pick time itself) to    facilitate tracking updates to the Pick(s).	CONDITIONAL
<pre>RawSeries.Comments_txt = "<comments>";</comments></pre>	Optional
<pre>Comments about the RawSeries on matters not covered lessewhere.</pre>	
<pre>DataSeries.Comments_txt = "<comments>";</comments></pre>	Optional
Comments about the DataSeries on matters not covered   elsewhere.	11 2
These tags are for any <i>Comments</i> about the <i>DataSeries</i> or <i>RawSeries</i> not otherwise covered by defined <i>DataSeries</i> or <i>RawSeries</i> tags, or simply to hold a comment that the providing <i>Agency</i> considers important.	
If multiple "lines" of text are needed, join them into   a single text string, with the line breaks indicated by   the character string "/\n/".	

# **Table 3M. Recorder Timing Method**

 $\label{lem:source} The following values are used for \textit{RawSeries.FirstSampleTime.Source} and \textit{DataSeries.FirstSampleTime.Source}.$ 

Code	DateTime reference source for this record
"No Clock Present"	No clock is present.
"Human-set Clock"	Recorder's clock was set by hand.
"Auxiliary, Continuous Clock"	Auxiliary, continuous clock (for example, a TCG, Time Code Generator).
"Recorded Radio DateTime Signal"	Radio time signal on record (for example, WWV, WWVB, WWVH, DCF).
"Radio-tracking Clock"	Precision chronometer which tracks a (generally binary coded) radio signal continuously (WWVB, and so forth).
"GPS one-time set Clock"	Precision chronometer set only once (in this recording period) from GPS signals.
"GPS intermittent Clock"	Precision chronometer set periodically from GPS signals.
"GPS-tracking Clock"	Precision chronometer that tracks GPS signals.
"Other DateTime Source"	Unspecified or unknown time source (it is better to use the User-Extensible option if the method is known).
""	USER-EXTENSIBLE LIST (use established, terse, clear, English names which <u>must</u> begin with "User's description: ").

## **Table 3N. Processing Information**

Processing.DateTime txt = "YYYY-MM-DD hh:mm:ss.sss[Z|[+|-]hh[:mm]]"; CONDITIONAL Authoritative ISO DateTime when the record was processed to its final form (that is, the form in this VTF file). This tag-value pair is REQUIRED if this is not an unprocessed RawSeries (thus, nearly always). Note that **Processing.DateTime** identifies the originating-Agency's authoritative creation DateTime for the DataSeries in | this file, while **ThisFile.Preparation.DateTime** is the **DateTime** when this VTF file is created (often by means of translation from the originating-Agency's format). Clearly, therefore, Processing. Date Time must not be later than ThisFile.Preparation.DateTime, but it is possible for these two DateTimes to be the same if the originating-Agency directly creates this VTF file. Processing.Agency txt = "<value>"; CONDITIONAL Processing organization or individual (Agency from table 3E). If said Agency also provides the file in VTF, this value likely will be the same as ThisFile.Preparation.Agency. REOUIRED if Processing. DateTime is present (which is nearly always).

**Processing.Filter** information is REQUIRED if one or more **Filter**s are applied during **Processing**. Furthermore, if any **Processing.Filter** tag is given, then a "sufficiently descriptive" set of such tags is REQUIRED (enough that another practitioner can determine what was done and its affect on the **DataSeries**).

If there is more than one *Filter*, *Subscript* them as *Processing.Filter(m)*....; if only one *Processing.Filter* is used, the *Subscript* may (should) be omitted and will default to "1".

Note that there are <u>three methods</u> for describing a filter—poles and zeros (*PoleZero*), *Polynomial* equivalents of those poles and zeros, and much less complete, general parameters (*OtherDescription*) such as corner frequencies and roll-off rates. The *PoleZero* and *Polynomial* forms are <u>preferred</u>, the former above the latter. Also note that there are a number of global *Processing.Filter* tags which can be applied to any *Filter*.

In this order, we describe *Filter* global tags, *PoleZero* tags, *Polynomial* tags, and *OtherDescription* tags:

The following group of global tags apply to all three types of *Filter* description:

```
Processing.Filter[(m)].Comments txt = "<Comments>";
                                                                                      CONDITIONAL
              This tag is REQUIRED if an unusual filtering scheme
              was applied or if any unusual issues were encountered.
              This tag is Optional if you have other Comments.
             If multiple "lines" of text are needed, join them into
             a single text string, with the line breaks indicated by
            the character string "/\n/"-that is, Comments is not
              Subscripted.
Processing.Filter((m)).Mode txt = [ "Digital" | "Analog" ];
                                                                                    | CONDITIONAL
              Whether the filter is digitally computed or is an analog
               (almost always an electronic) filter. If not given,
              "Digital" is assumed, so this tag is REQUIRED for
              "Analog" filters.
Processing.Filter[(m)].Domain txt = [ "Time" | "Frequency" ];
                                                                                    | CONDITIONAL
              The domain of digital-filter "Digital" computation or
              "Analog" implementation (the latter usually "Time").
Processing.Filter((m)).Causality txt = [ "Causal" | "Acausal" | "Zero Phase" ];
                                                                                    | CONDITIONAL
            || Is the filter causal or acausal? "Zero Phase" is, a particular
             | type of "Acausal" filter, commonly an FIR filter, or else an
             | IIR filter that has been run in both directions across a time
             series. (The latter not recommended because it produces "soft
              shouldered" filters that are generally 6 dB down at their
              intended -3-dB corner(s).)
```

```
Processing.Filter[(m)].FrequencyBandType txt = "<from table 30(b)>";
                                                                                      CONDITTONAL
Processing.Filter[(m)].PeriodBandType txt = "<from table 30(b)>";
                                                                                      CONDITIONAL
            | Filter bandpass character (for example, "High Pass") from
              table 30(b).
              Most scientists are likely to use FrequencyBandType, while
              many structural engineers may prefer to use PeriodBandType.
             (This distinction between types of abscissa, seconds or Hertz,
            matters only to the meanings of "Low Pass" and "High Pass"
            | filters. The distinction is at which end of the passband
            | that filter corner resides. Thus, for example, is it
            described by a "high-pass period" or a "high-pass"
            || frequency".)
Processing.Filter[(m)].FilterName txt = "<from table 30(a)>";
                                                                                   | REQUIRED
              The common name of this Filter.
              For PoleZero or Polynomial descriptions, use only one
              of the following:
                  "S-plane Poles/Zeros"
                  "z-plane Poles/Zeros"
                  "S-plane Polynomial"
                  "z-plane Polynomial".
              For OtherDescription, use one of the common names of the Filter,
              such as "Ormsby" (a zero-phase cosine-tapered bandpass filter-
             the name "Ormsby" is not commonly used outside strong-motion
              seismology and earthquake engineering).
            Naturally, for a PoleZero description, only the values
              "S-plane Poles/Zeros" or "z-plane Poles/Zeros" from
              table 30(a) are allowed.
              Similarly, for a Polynomial description, only the values
              "S-plane Polynomial" or "z-plane Polynomial" from table 30(a)
```

are allowed.

Poles and zeros, particularly Laplace (S-plane) poles and zeros, <u>are the preferred method</u>, with **Polynomial**s being the next most desirable method. An exception, of course, is that it will generally be preferable to describe an FIR filter by its z-plain **Polynomial.Numerator** coefficients (which are the same as the filter's "taps").

If using a *PoleZero* representation, then <u>a sufficient set</u> of the following six tags is REQUIRED. Either the *Numerator* or the *Denominator* of a *PoleZero* description may have <u>no roots</u> and therefore be only a constant (which will often be just a "1"). In all cases, a *Constant* may be given for that portion (*Numerator* or *Denominator*), but will default to a real value of one (1) if not provided—often the desired result. (*Constant* is given type \_cpx for generality, but we expect it to be real in most cases; remember that if you do not give the imaginary part, it will default to 0j, a real). The corresponding *NumberOfRoots* will be zero and no *Roots* will be listed for that portion. Where there are roots and the *Constant* = 1; the *Constant* will typically will be omitted, defaulted to a real-value of one (1). This commonly will be the case for filters with no net gain:

```
Processing.Filter[(m)].PoleZero.Numerator.NumberOfRoots int = <0, 1, 2, ...>;
                                                                                      CONDITIONAL
            | Number of zeros in the filter (must be ≥0).
Processing.Filter((m)).PoleZero.Denominator.NumberOfRoots int = <0, 1, 2, ...>;
                                                                                    | CONDITIONAL
            | Number of poles in the filter (must be ≥0).
Processing.Filter[(m)].PoleZero.Numerator.Root(n) cpx = <real> <imaginary>;
                                                                                    | CONDITIONAL
              A complex zero. Repeat (n) exactly
              Processing.Filter.PoleZero.Numerator.NumberOfRoots times.
Processing.Filter((m)).PoleZero.Denominator.Root(n) cpx = <real> <imaginary>;
                                                                                    | CONDITIONAL
            | A complex pole. You must repeat (n) exactly
            Processing.Filter.PoleZero.Denominator.NumberOfRoots times.
Processing.Filter((m)).PoleZero.Numerator.Constant cpx = <real> <imaginary>;
                                                                                    | | CONDITIONAL
              A net gain or attenuation, or unity, in the case of
              a Numerator with no roots. Defaults to one (1) if
             | not provided.
Processing.Filter((m)).PoleZero.Denominator.Constant cpx = <real> <imaginary>;
                                                                                      CONDITIONAL
              A net gain or attenuation, or unity, in the case of
              a Denominator with no roots. Defaults to one (1) if
              not provided.
```

**Polynomials** are close relatives of pole/zero descriptions, being the enumeration of polynomial coefficients for the **Numerator** and **Denominator** of a filter transfer function, simply the **PoleZero** form multiplied out. These may be in the Laplace- (S) or z-plane, as indicated by **Sensor.TransferFunction.FilterName**, the former preferred.

The order (maximum power) of the Polynomial is N = NumberOfCoeff - 1. The ordering of the *Coefficients* is from 0-to-N, corresponding to *Subscripts* 1-to-*NumberOfCoeff*. Thus, these *Coefficients* are given in <u>ascending order</u> from the constant, n=1, up to n=NumberOfCoeff=N+1, the *Nth*-degree coefficient. Any zero-valued *Coefficient* also <u>must be given explicitly</u> to create a complete vector of *NumberOfCoeff* elements (some applications will be confused by missing *Coefficients*).

If either the *Numerator* or *Denominator* has no roots, then the value of *Coefficient(1)* will act as a simple gain factor while *NumberOfCoeff* will equal one (1). This is a somewhat different rule than for the *PoleZero* form where the number of poles or zeros may be zero (0), but a *Constant* is always present, even if defaulted to unity (1).

```
Processing.Filter[(m)].Polynomial.Numerator.NumberOfCoeff_int = <1, 2, 3, ...>; | CONDITIONAL Processing.Filter[(m)].Polynomial.Denominator.NumberOfCoeff_int = <1, 2, 3, ...>; | CONDITIONAL Processing.Filter[(m)].Polynomial.Numerator.Coefficient(n)_cpx = <value>; | CONDITIONAL Processing.Filter[(m)].Polynomial.Denominator.Coefficient(n)_cpx = <value>; | CONDITIONAL CONDITIONAL Processing.Filter[(m)].Polynomial.Denominator.Coefficient(n)_cpx = <value>; | CONDITIONAL CON
```

<u>If not using either the *PoleZero* or *Polynomial forms*, the following tags are another way (in combination with *Processing.Filter.FilterName*) to describe processing filters, albeit less generally or accurately. A sufficiently complete group of these tags is REQUIRED if neither poles and zeroes nor polynomial coefficients are given, but a filter was used.</u>

Processing.Filter[(m)].OtherDescription.Corner[(n)]_dbl = <value> [ Hz   s ];    Filter Corner [generally -3 dB point(s) but sometimes the   -6 dB point(s), as for a time-domain filter run in both   directions], in across a time series units of either   period or frequency (which unit must match your choice   of FrequencyBandType or PeriodBandType). There may be   either one (low pass, high pass, notch), two (bandpass,   bandstop), or several Corners(n) (rare, but possible).   The Subscript (n) need only be present when there are   two or more Corners in the filter.    Note that giving Corner at the -6 dB point is allowed only   for a bidirectional time-domain Processing.Filter that is   properly identified by FilterName. It is not allowed for   any TransferFunction, neither Sensor nor DAU.</value>	CONDITIONAL
<pre>Processing.Filter[(m)].OtherDescription.Decay[(n)]_dbl = <value> dB/octave;</value></pre>	CONDITIONAL
<pre>Processing.Filter[(m)].OtherDescription.TransitionBandwidth_dbl = <value> Hz; Processing.Filter[(m)].OtherDescription.StopBandAttenuation_dbl = <value> dB;</value></value></pre>	CONDITIONAL

#### Processing.Filter[(m)].OtherDescription.Length dbl = <value> s;

| CONDITIONAL

Length of filter operator, even if symmetric, when doing <a href="time-domain">time domain</a> filtering (for example, FIR, IIR). For an FIR, this value often is called the "number of taps" although a few users define "taps" as <1 + ((Length - 1) / 2)>, which is the least number of multiply-accumulate operations required for a symmetric (zero phase) FIR filter, notable for their symmetric, odd-length impulse responses.

|| Note that this value is in seconds for generality.

Processing.Resampling[(m)].InitialSamplingRate\_dbl = <old sample rate> Hz;
Processing.Resampling[(m)].FinalSamplingRate\_dbl = <new sample rate> Hz;
Processing.Resampling[(m)].FilterSubscript int = <index>;

| CONDITIONAL | CONDITIONAL | CONDITIONAL

Legacy data were sometimes <u>decimated</u> to reduce computation times and storage requirements (back when computers were much less capable than they were in 2008). Similarly, some modern data occasionally are <u>up-sampled</u> to make identification of peak values and arrival times easier and more accurate.

The first two tags are REQUIRED if this **DataSeries** was either decimated <u>or</u> up-sampled, with both values being samples per second; the pair, therefore, implying the up- or down-sampling factor.

If desired, users may employ *Processing.Filter* values to describe resampling filter(s) more completely. If you do this, make it clear with the corresponding *Comments* and *FilterSubscript* which *Processing* filter is, in fact, the *Resampling Filter* (that is, it will be *Processing.Filter(Processing.Resampling.FilterSubscript)*. So the third tag is REQUIRED when using *Filter* to describe *Resampling*.

The index (m) can be used to describe multiple steps in decimation and/or up-sampling. For example, some legacy CSMIP data first decimate by four then by an additional amount for computing long-period results. This would be described with (m), (1) being the initial decimation.

The following four tags relate to double-integration initial conditions. Also see *DataSeries.Pad.FirstOfOriginal* and *DataSeries.Pad.LastOfOriginal*.

<pre>RawSeries.Mean_dbl = <value> <units>;</units></value></pre>		Recommended Recommended
<pre>Processing.Report[(n)].Citation_txt = "<citation>"; Processing.Report[(n)].URL_txt = "<web address="">";</web></citation></pre>		Optional Optional
Processing.InitialValue.Velocity_dbl = <value> <units>; Processing.InitialValue.Displacement_dbl = <value> <units>;    Often it is necessary to begin an integration (of acceleration to velocity, or velocity to displacement) from an initial value other than zero in order to produce a trace with is found in this VTF file as the DataSeries. The tag Displacement, for example, is the constant of integration and corresponds to "remembering" the acceleration baseline initial correction needed to integrate the RawSeries into either this VTF file's DataSeries, or into an intermediary velocity signal.</units></value></units></value>	!!	Recommended Recommended
Processing.InitialValue.AccelerationCorrectionRecord_txt = " <name file="" id="" of="" or="">";    This third tag points to some more general description of baseline   corrections (including the fact that an offset (mean) correction in   velocity is a Dirac delta in acceleration, an offset correction in   displacement is the derivative of a Dirac delta in acceleration,   and so forth). That is, AccelerationCorrectionRecord points to   a providing-Agency's filename, VTF filename, or unique identifier   for a time series in which the DataSeries.PhysicalParameter is   effectively "Acceleration Correction Baseline".</name>		Recommended

Corrections made prior to integration routinely include such delta functions (typically convolved with a smoothing filter for convenience). Smoothed baseline-correction time series also regularly include by one or more higher-order corrections (such as a piecewise-linear velocity baseline, which translate into an acceleration step). Thus, the most complete description of a baseline correction is a complete acceleration time series of the same length and sampling rate as the (commonly padded) acceleration RawSeries.

(We do not now include velocity-correction equivalents because these are subsumed with the acceleration correction. However, it will be desirable to add such tags whenever the VTF begins to be used for primary velocity records, such as those of the Tokyo Sokushin VSE-355G3.)

#### Processing.ConstantsUsed txt = [ "Precise" | "Nominal" | "Poor" ];

Describes the <u>accuracy</u> of conversion and correction constants used in correcting and *Processing* the seismogram. The list of possible values is from best to worst, with "Nominal" meaning that up to a few percent total gain and phase error is possible in the pass band, while "Precise" means that no more than 1-percent total error is likely.

## 

If no issues with data **Processing** are known to have existed at any time, then "None" (that is "No Problems") is given.

If problems with the **DataSeries** are known to be, or to have been, present at any time, then you must include at least one **Processing.Problem.Comments(n)** tag explaining what the problems are or were. Such problems are either "Corrected" or "Not [yet] Corrected". "Problem of Unknown Status" may be used when the correction status is unknown, but it is believed there has been a problem. "Presence of Problem Uncertain" just means there is neither exculpatory nor indicting knowledge.

Recommended

| REQUIRED

	The <b>Subscript</b> (n) allows the listing of more than one <b>Problem</b> , but will often be only an implicit (1).  blem[(m)].Comments[(n)]_txt = "Description";  If <b>Processing.Problem.Status</b> is anything other than "None", then this tag is REQUIRED for each (n) to describe the nature of the problem(s) and indicate their current disposition.	П	CONDITIONAL
	If multiple "lines" of text are required for any particular $(n)$ , then concatenate them into a single text string with the lines separated by the character string " $/ \ n / "$ .		
	<pre>ments_txt = "<comments>"; For general Comments about Processing that are not appropriate to Processing.Problem.Comments or Processing.SpecialProcessing.</comments></pre>		Recommended
	As above, for multiple "lines" of text in any particular $Comments(n)$ , concatenate them into a single text string with the lines separated by the character string " $/ \n/$ ".		
Processing.Sta Processing.Hum Processing.Ins Processing.Spe	eBookVolume_int = [0-3];  ge_txt = [ "Raw"   "Preliminary"   "Final" ];  anReview_txt = [ "None"   "Reviewed"   "Updated" ];  tance_int = <positive integer="">;  cialProcessing_txt = "<description>";  One of the two tags Processing.BlueBookVolume or  Processing.Stage is required to indicate the stage of the Processing. Furthermore, within each Processing  stage, there may be one or more instances of the release of a DataSeries, indicated by Processing.Instance. The latter is REQUIRED, but will often be exactly 1, the first and only such Processing instance.</description></positive>		CONDITIONAL CONDITIONAL REQUIRED REQUIRED CONDITIONAL

It also is REQUIRED that the degree of human analysis of the data (versus <u>automated</u> computer **Processing**) be indicated. If the data are, so far, only processed automatically then set **Processing.HumanReview\_txt** = "None";. (This often will be the case with the initial release of data from modern, digital systems.) At subsequent steps in the **Processing** scheme, use the other values to indicate that the data have received a preliminary review by a human, or that they have been processed fully and subjected to full quality-control procedures. <u>Legacy and older data</u> generally are predominantly human-processed and are, therefore, given the value **Processing.HumanReview\_txt** = "Updated"; in most cases. (We are not so optimistic as to offer the value "Final".)

## Processing.BlueBookVolume takes the values:

- 0 = unprocessed data
- 1 = converted and baseline-corrected ground motion
- 2 = filtered acceleration, velocity, or displacement
- 3 = a spectrum or spectra, including response spectra

Finally, if the *DataSeries* has been processed in a manner that is <u>not</u> typical of the originating *Agency*, indicate this fact by including the tag *Processing.SpecialProcessing* (REQUIRED when there is unusual processing) and using its contents to describe what was unusual about the *Processing*.

#### NOTES:

- (1) Channel numbers and sensing directions are now in table 3K(a).
- (2) All geographic-location information is now in tags defined in table 3F.

## Table 3O(a). Codes for Filter Types Used in Processing

Table 3O(a) describes the possible values of *Processing.Filter(n).FilterName*. The first four values also may be used for *Sensor.TransferFunction.FilterName* or *Sensor.TransferFunction.FilterName*.

Note that the causality of filters are indicated by the formal definitions of those filters and by **Processing.Filter.Causality** and **DAU.TransferFunction.Causality**, while **Sensor** transfer functions are assumed to be causal. This may pose a conflict for **Sensors** packaged with digitizers, but it should be possible to differentiate the two systems—we take the position that a digitizer, even when packaged inside the **Sensor**, is part of the **DAU**, and the appropriate descriptive tags will be found there.

Phrase	Any FilterName		
"S-plane Poles/Zeros"	A list of complex Laplace-plane poles and zeros follows.		
"z-plane Poles/Zeros"	A list of complex z-plane poles and zeros follows.		
"S-plane Polynomial"	A list of real Laplace-plane polynomial coefficients.		
"z-plane Polynomial"	A list of real z-plane polynomial coefficients		
(the following not allowed for pole-zero descriptions)			
"None"	No filtering performed (just leave out the variables entirely)		
"Rectangular"	Rectangular		
"Cosine Bell"	Cosine Bell		
"Ormsby"	Ormsby		
"Butterworth"	Butterworth, single direction (state "Causal" or "Acausal" please).		
"Bessel"	Bessel, single direction (state "Causal" or "Acausal" please).		

"IIR"	Generalized time- domain IIR filter.	It is bost to treat these as a plane poles and	
"FIR"	<pre>FIR (state filter's causality).</pre>	It is best to treat these as z-plane poles and zeros.	
"Other Transfer Function"	Use for <b>DAU</b> or <b>Sensor TransferFunction</b> s not described formally.		
"Other Processing Filter"	Any other <i>Filter</i> response not listed above.		
""		(use established, terse, clear, English names "User's description: ").	

# Table 30(b). Codes for Filter Types Used in Processing

Similarly, table 3O(b) shows the values that Processing.Filter(n).FrequencyBandType or Processing.Filter(n). PeriodBandType may take.

Phrase	Filter FrequencyBandType or PeriodBandType
"High Pass"	A high-pass filter, with respect to frequency or to period.
"Low Pass"	A low-pass filter, with respect to frequency or to period.
"Bandpass"	A band-pass filter.
"Bandstop"	A band-stop filter.
"Notch"	A notch filter.
"Deconvolution"	A transfer-function deconvolution filter.
ип	USER-EXTENSIBLE LIST (use established, terse, clear, English names which <u>must</u> begin with "User's description: ").

### **Table 3P. Relational Tags Involving Two Geographic Locations**

```
EventGeoLocation.EpicentralDistance.Value dbl = <value> km;
                                                                                        Optional
EventGeoLocation.EpicentralDistance.ValueError dbl = <value> km;
                                                                                        Optional
            | Epicenter-to-Sensor GeoLocation distance and error.
EventGeoLocation.ForeAzimuth.Value dbl = <value> deg;
                                                                                        Optional
EventGeoLocation.ForeAzimuth.ValueError dbl = <value> deg;
                                                                                       Optional
            || Epicenter-to-Sensor GeoLocation azimuth and error.
EventGeoLocation.BackAzimuth.Value dbl = <value> deg:
                                                                                        Optional
EventGeoLocation.BackAzimuth.ValueError dbl = <value> deg;
                                                                                       Optional
            | Sensor GeoLocation-to-Epicenter azimuth and error.
EventGeoLocation.Comments txt = <value>;
                                                                                     | Optional
               Comments, including any needed identification of which
               Event and GeoLocation are being combined. We currently
               do not have an elegant or unique way to do this.
              If multiple "lines" of text are required, then
               concatenate them into a single text string, with
              the lines separated by the character string "/ n".
GeoLocationRupture[(m)].ClosestRuptureDistance.Value dbl = <value> km;
                                                                                        Optional
GeoLocationRupture[(m)].ClosestSurfaceDistance.Value dbl = <value> km;
                                                                                        Optional
GeoLocationRupture[(m)].ClosestSeismogenicDistance.Value dbl = <value> km;
                                                                                        Optional
GeoLocationRupture[(m)].RMSdistance.Value dbl = <value> km;
                                                                                        Optional
GeoLocationRupture[(m)].SegmentDistance.Segment(i).Value dbl = <value> km;
                                                                                        Optional
            | | Values and ...
GeoLocationRupture[(m)].ClosestRuptureDistance.ValueError dbl = <value> km;
                                                                                        Optional
GeoLocationRupture[(m)].ClosestSurfaceDistance.ValueError dbl = <value> km;
                                                                                        Optional
GeoLocationRupture[(m)].ClosestSeismogenicDistance.ValueError dbl = <value> km;
                                                                                        Optional
GeoLocationRupture[(m)].RMSdistance.ValueError dbl = <value> km;
                                                                                        Optional
GeoLocationRupture[(m)].SegmentDistance.Segment(i).ValueError dbl = <value> km;
                                                                                       Optional
              their errors.
```

ground-motion model calculations). Subscripts (m) and (i) refer to a particular rupture model and must be the same as the Subscripts in Event.DetailedFaultRupture(m).Segment(i).Latitude and related tags. Note that ClosestSurfaceDistance is the Boore and others (1993, 1994, 1997) distance. ClosestSeismogenicDistance is the Campbell (1997) distance, and ClosestRuptureDistance is "ClstD" in the NGA flatfile. The first four tags are distances either to a simple, one-segment rupture model, or to a rupture taken as a whole. In contrast, the fifth tag is for distances to each segment of a modeled rupture surface area: GeoLocationRupture(m).SegmentDistance.Segment(i).Value Of course, any of these tags can be computed from the Sensor's GeoLocation together with the rupture description in Event.DetailedFaultRupture.Segment(i) and, clearly, should be consistent. GeoLocationRupture[(m)].SurfaceRupture txt = [ "Yes" | "No" | "Inferred" | "Unclear" ]; | Optional Whether or not this *Event* has an associated surface rupture. (If nothing is known about surface ruptures, you may set the value to **NULL**, or leave out the tag.) | (While this and the next two tags might be more appropriately located in class **Event**, we believe there is a significant need | to make explicit the correlation with other *GeoLocationRupture* || tags.) | Optional GeoLocationRupture[(m)].SurfaceRuptureInference txt = "<value>"; | The reason for any inference of surface rupture.

Sensor GeoLocation-to-Fault-Rupture (and -to-Rupture-Surface-Projection) closest distances. Such values are often used in

```
GeoLocationRupture[(m)].Comments_txt = <value>; || Optional || Comments, including any needed identification of which || Event and GeoLocation are referenced here. || || If multiple "lines" of text are required, then || concatenate them into a single text string with || the "lines" separated by the character string "/\n/".
```

We note that there is no indication above of which *Event.Hypocenter*, *FaultRupture*, or *DetailedFaultRupture* and which *Sensor GeoLocation* are referenced by *EventGeoLocation* and *GeoLocationRupture*. Should there be several of these tags listed in the header, then as a rule these *EventGeoLocation* and *GeoLocationRupture* values are likely to be assumed to reference the most authoritative versions of *Event* and *GeoLocation*, unless otherwise indicated in *EventGeoLocation.Comments* or *GeoLocationRupture.Comments*. Currently, we do not know of any more elegant solution to this referencing issue. (Two-dimensional tag arrays are not supported and, in any case, double indexing is opaque in meaning, violating the "self-evident file" design goal.

# Table 3Q. Private Tags (Avoid)

An *Agency* may (but ideally should not) define internal-use tag sets of the following format. *Private* tags, of course, may be followed by "ll" comments as desired. The *Private* tag definition is formally:

where **Terse Tag Name>** must be a self-evident descriptive phrase, <u>in English</u>. **MeaningAndUse** shall be added to define the meaning and use of the tag clearly, also <u>in English</u>. The goal is that <u>any</u> future user, who is completely <u>unfamiliar</u> with your work, <u>must be able to understand your tag</u> and why it is used.

The "CONDITIONALs" mean that once a *TagName* is created, for any (n), all other CONDITIONALs must be present too, including the *DataType*, data *Units* (NULL if unitless, but remember that a unit "counts" is available and meaningful), and the individual or organization (*Agency*) creating that *Private* tag. The *Value* to which this tag is set must be included too, noting that every *Value* shall be encoded as text, including numerical data. *TextValueError* need not be given.

"Avoid" means the *Private*-tag class generally should not be necessary and will be hard for others to use—<u>try not to invoke it</u>.

We have attempted to anticipate all user needs by providing appropriate tags, however, we expect the need for additional options will arise. Thus, *Private* tags may be used for quality-control information, data not yet supported by the VTF, specialized network data, and so forth, assuming that using some already-available *Comments* instead is not an acceptable alternative.

The *Private*-tag mechanism is one way in which new tags may be proposed to COSMOS, although a more formal proposal is preferred (that is, please contact one of the authors).

VTF parsers will treat all *Private* tags as simple text when read for *Processing*, and they will be passed through unchanged, as viable tag sets, within the data files themselves. That is, *DataType* is advisory, suggesting how to parse the *Value*. (Your own parser may read them in, and use them as, particular types of values. Since the VTF source code will be available to all users, it should be a relatively simple programming job to modify parsers, output generators, and translators to read and write your *Private* tags.)

### Table 3R. DataSeries Tags Closely Associated with Table 4

DataSeries.NumberOfSamples int = <number of samples in the **DataSeries**>; | REQUIRED Number of samples in time (or bins in frequency, or periods), regardless of whether the interval between samples, frequency bins, or periods is regular or irregular. This value must equal the number of rows (lines) in the DataSeries listing matrix between "DataSeries.NumberOfSamples int = {" and "};", the sense being "the number of values per damping value" in the case of response spectra. Any zero-value-abscissa bin is included in this count. It is also the number of lines in any ASCII listing accessed through any of the DataSeries.Remote...URL txt tags. There is no provision at present for differing formats or line counts between DataSeries.DataSeriesValues and a remote file. So if the local and remote copies of the DataSeries differ in size or format, only one should be provided. The same is true of DataSeries.OrdinateUnits and a few related tags. DataSeries.OrdinateUnits[(m)] txt = "<units>"; REQUIRED A list of units for the ordinate(s) in the DataSeries listing matrix (from table 2), one index for each ordinate column in the DataSeries listing matrix. There will be as few as one set of units in the case of any DataSeries except response spectra. (The <units> for the abscissa are given by DataSeries.AbscissaUnits.) These units must conform to the type of data (from table 3B(b)) indicated by DataSeries.PhysicalParameter. DataSeries.OrdinateFormat[(m)] txt = "<FORTRAN format specification>"; | CONDITIONAL REQUIRED whenever the DataSeries is provided, either here in this VTF file or at a URL as an ASCII file. The Fortran-style format(s) for the ordinate portion(s) of the DataSeries listing matrix.

Where there are multiple-ordinate column vectors in the ordinate, the format of each and every ordinate column (except for complex values) is indicated by a <u>separate</u> (m). That is, <u>there are no repetition counts</u> in these formats.

(The exception is that, because there must be two columns for every complex-valued ordinate, it is important that each such real/imaginary <u>pair</u> associate to a <u>single</u> (m). That is, each format for a complex value is given as an concatenated pair of formats in a single string, and that it must include the requisite white space between the real and imaginary columns.)

In the case where both this VTF file and a **URL** to an ASCII file are present, this single **OrdinateFormat** must apply to both. That is, at present, the VTF does not have a way to express different **OrdinateFormat**s (or **AbscissaFormat**s) in local and remote ASCII **DataSeries** listings.

While the **OrdinateFormat** defined here might be expected to include an entry for the abscissa column-vector's format, that role is filled by **DataSeries.AbscissaFormat**.

Most often, the **DataSeries** listing matrix will have <u>exactly</u> <u>one</u> value per line, a single column of real values. This will be true of all real, evenly sampled time series. Thus there most often will be a **DataSeries.OrdinateUnits(1)** and a **DataSeries.OrdinateFormat(1)**.

#### Critical Notes on Formats

Authors are cautioned to provide enough text precision in every format to preserve the precision of the original recording, with no conversion or round-off errors. (They should, therefore, have a mantissa at least as precise as the analog-to-digital converter [ADC] and generally somewhat longer). For 24-bit records in cm/s/s, for example, try "F11.5". For related complex spectra try "E16.9 E16.9". Over-specifying is not recommended because it may imply greater precision than is available from the data, but under-specifying is worse, damaging the data.

In all DataSeries listing matrices it is essential that the AbscissaFormat and OrdinateFormat(s) you specify be wide enough to ensure (1) that there will always be at least one blank space between each value, and (2) that columns also will align Fortran style. This rule is necessary to ensure the coexistence of older languages like Fortran and newer ones like C, MatLab<sup>TM</sup>, and Excel<sup>TM</sup>. That is, the VTF requires both column alignment and white-space separation in the DataSeries listing matrix.

Response spectra generally will have both an abscissa column (a real-valued period or frequency) plus <u>exactly</u> as many real-valued ordinate column vectors as there are damping values in DataSeries.ResponseSpectrumDamping(n). Thus, there will be N+1 columns in the DataSeries listing matrix, while both DataSeries.OrdinateFormat(n) and DataSeries.OrdinateUnits(n) must have the same dimensions and order as ResponseSpectrumDamping(n).

Tags for remote *DataSeries* (those external to this VTF file):

```
DataSeries.Remote.RealDataSeriesURL txt = "<Web address>";
                                                                                        CONDITIONAL
DataSeries.Remote.ComplexDataSeriesURL txt = "<Web address>";
                                                                                        CONDITIONAL
DataSeries.Remote.DataType txt = [ "IEEE float" | "XDR IEEE float" |
                                                                                        CONDITIONAL
               These tags give the URL and the form of file containing an
               "original" copy of the DataSeries, generally maintained by
               the Agency providing of the data. We do not yet support
               integer DataSeries or nonstandard floating-point formats.
               The aims are to support, compactly, (1) a "dataless" redistribution
              of updated metadata for a file and (2) dual reference to the DataSeries,
            ert ert both stored within this VTF file and at the URL. While we allow dataless
               VTF files, we strongly recommend that the full DataSeries listing also
               be provided here every time (that is, by using the
               "DataSeries.DataSeriesValues_txt = { ... }; " DataSeries listing matrix).
               We urge provision of either (1) a complete VTF file with DataSeries
               or (2) that complete VTF file plus a URL.
```

One of the first two tags is REQUIRED if (1) this is <u>not</u> a "dataless" file and (2) the **DataSeries** is <u>not</u> provided below, in this file (by "**DataSeries.DataSeriesValues\_txt** = { ... };", the **DataSeries** listing matrix). Otherwise, these tags are Optional and <u>may be provided</u> in addition to the **DataSeries** listing within this file, as desired.

Again, we do not recommend either dataless or URL-only usage since a key goal of the VTF is to present, in s single file, a complete **DataSeries** with all its supporting metadata.

The third tag, <code>DataSeries.Remote.DataType</code>, is <code>REQUIRED</code> whenever one of the first two tags is given. "<code>IEEE float</code>" implies that the data found at that <code>URL</code> will be in the provider's local flavor of <code>IEEE</code> double or <code>IEEE complex double</code> (whichever is implied by this <code>VTF file</code>). "<code>XDR IEEE float</code>" indicates that the data are in the "<code>XDR</code>" (eXternal Data Representation) version of those formats (cf. below).

Other, machine dependent, floating-point formats and binary-integer formats are not supported at this time.

"ASCII" indicates that the data are text in exactly the same format used for the DataSeries listing matrix, just as presented in this VTF file. That is, it must use exactly the same OrdinateFormat and AbscissaFormat as in this VTF file. There is currently no provision for differing ASCII formats between this file and any remote "ASCII" file.

| XDR encoding of IEEE floating-point values is strongly recommended (that is, DataSeries.Remote.DataType\_txt = "XDR IEEE float";), simply because XDR-binary format is well-established and fully portable between computers of differing architectures and, therefore, is easily translated between them. XDR input and output subroutines also exist for every architecture supporting NFS file systems, which includes all versions of UNIX and, therefore, every major computer architecture in use).

DataSeries.Remote.BytesToSkip\_int = <bytes>;
DataSeries.Remote.LinesToSkip int = <lines>;

CONDITIONAL CONDITIONAL

These tags describe how far to skip into the file to get beyond any preamble (for example, a header) in that remote file. (This skip distance can be described in bytes for IEEE-float files or in lines for ASCII files). Since the goal is to use the VTF header given above, header information in the remote file must be skipped (there is no provision to read remote header information from VTF software). Further, a concatenated remote file can be handled by defining the correct number of samples and how far into the remote file to skip before reading.

(Note that we <u>do not recommend</u> concatenating files for various **DataSeries** anywhere, since these can be difficult for users to parse or sort. There is no longer any reason to save a few bytes by such concatenation.)

Whichever of these two tags fits the type of file at the URL, that tag is REQUIRED whenever the first byte of that remote file is <u>not</u> the first character of the *DataSeries*. (So, as mentioned, use *BytesToSkip* for binary files and *LinesToSkip* for ASCII files.)

Both <u>default to zero</u> if not given.

DataSeries.Checksum int = <value>;

Optional checksum for the **DataSeries** listing matrix only, that listed in "**DataSeries.DataSeriesValues** txt = { ... };".

This checksum is computed as follows: sum all the mantissa and exponent digits, if any, (first subtracting five from each digit so that the values summed range from -5 to +4); add to this sum each sign, valued as +1 for each positive and as -1 for each negative (these without first subtracting five, so values of either ±1); to this sum, add nothing for each NaN.

(Note that this value must be recomputed every time <u>any</u> change is made to the *DataSeries* listing matrix, including to any of its formats.)

Recommended

# Table 4. The Time Series or Spectrum (the *DataSeries Values*)

where "N" is the number of lines in the *DataSeries* and, therefore, <u>must</u> equal to *DataSeries.NumberOfSamples*. The "{" and "};" are *DataSeries* listing "matrix" (or "vector" for a single, real value) delimiters.

```
Although DataSeries.DataSeriesValues indicates type "_txt", the values will be parsed, as appropriate, into "_int", "_dbl", "_cpx", or a mix of these.
```

We recommend that the *DataSeries* be provided here in the VTF file in addition to any URL pointer to an *Agency* original and even when only the metadata have changed and one might be tempted to distribute a "dataless" file.

If the first line is present, the entire *DataSeries* listing matrix is REQUIRED, as well as the closing line, "};". Avoid blank lines within the *DataSeries* listing matrix, though they are allowed.

Notwithstanding this recommendation, the *DataSeries* listing matrix is allowed be provided <u>only</u> by way of a URL. Similarly, a VTF file is allowed to be "dataless" (that is, containing only a header and <u>neither</u> a *DataSeriesValues* <u>nor</u> a URL pointing to the original *DataSeries*); in this case, this VTF file is intended only for use in the distribution of revised header metadata applicable to a *DataSeries* previously disseminated with the same *ThisFile.DataSeriesCOSMOSidentifier* (analogous to a "dataless SEED" file as used within the CISN, for example).

The one or more columns of the *DataSeries* value listing matrix go between "{\n" and "\n};", to the exclusion of all comments and preferably to the exclusion of blank lines. (Here "\n" means "newline" or "line break", not printable characters in the *DataSeries* listing matrix.)

<u>Missing values must</u> be replaced by "NaN" ("Not a Number") which parses in most systems into the equivalent IEEE value "NaN". In rare systems "NaN" will have to be replaced by some extremal value that is then announced to the user reading in the *DataSeries*. Default NaN value replacements may be suggested to the parser with *ThisFile.NullComplexValue*, *ThisFile.NullRealValue*, or *ThisFile.NullIntValue*.

Common forms of *DataSeries* listing matrix include, but are not limited to the following:

(1) A real-valued time series will form a single-column matrix (a vector):

#### <amplitude>

where time begins at *DataSeries.FirstSampleTime.DateTime* and constantly increases, either in steps of (1 / *DataSeries.SamplesPerSecond*) or *DataSeries.SampleInterval*, or as given in an explicit abscissa, hence

where **<time>** is seconds from *DataSeries.FirstSampleTime.DateTime*, and the value of the first element of the **<time>** vector usually will be zero.

(3) Regularly-binned spectra (for example, a DFT or PSD spectrum) without an explicit abscissa will form either one or two columns (for real or complex spectral values):

<amplitude>

or

<real> <imaginary>

where the abscissa is taken to be <u>equal-width</u> frequency or period bins (the latter rare) with the first centered at *DataSeries.FirstBinFrequency* or *DataSeries.FirstBinPeriod* and proceeding to positive abscissa values in steps of *DataSeries.FrequencyBin* or *DataSeries.PeriodBin*. The longest-period bin, while it could be "Inf s" period, need not correspond to 0-Hz, nor to the first finite frequency of a DFT, nor any other particular value. It is worth noting that a spectrum regularly sampled by period <u>will not</u> have an abscissa that is the inverse of a DFT's frequencies, since the latter tend to be spaced evenly in frequency, so hyperbolically in period.

For irregularly-binned spectra (or whenever an explicit abscissa is desired) the *DataSeries* matrix listing will form two or three columns (assuming the abscissa is real-valued), either:

```
[ <frequency> | <period> ] <amplitude>
[ <frequency> | <period> ] <real> <imaginary>
```

or

where **<frequency>** and **<period>** refer to bin centers and must be in ascending order of the abscissa.

(5) Response spectra (for example,  $S_a$ ) typically will be irregularly sampled and therefore form two or more columns (assuming a real-valued abscissa):

```
[ <frequency> | <period> ] <amplitude(Damping 1)> [ <amplitude(Damping 2)> [...]]
```

where **<frequency>** and **<period>** refer to (structural) resonator natural frequencies or periods and where exactly one of the response spectral amplitudes must be given for each damping value given in the tag array DataSeries.ResponseSpectrumDamping(n)—that is, there would be N+1 columns.

#### References

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- Boore, D.M., and Akkar, S., 2003. Effect of causal and acausal filters on elastic and inelastic response spectra, *Earthquake Eng. Struct. Dyn.*, **32**, 1729–1748.
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